

City of Marina

City Manager's Office – City Hall 211 Hillcrest Avenue Marina, CA 93933 P: 831.884.1278 www.cityofmarina.org

REQUEST TO AMEND AND COMBINE (PER MMC 19.08.010 C.1) COMMERCIAL CANNABIS APPLICATION

| ORIGINAL BUSINESS, INFORMATION |
|---|
| Provide information on the businesses that originally applied for a Commercial Cannabis Permit in the City of Marina. |
| ORIGINAL BUSINESS #1 LEGAL NAME: Pacific Roots Marina, LLC |
| DBA: |
| Proposed Address/Location: 3100 Del Monte Blvd., Marina, CA 93933 |
| ORIGINAL BUSINESS #2 LEGAL NAME: MCC Operations, LLC |
| DBA: |
| Proposed Address/Location: 445 Reservation Road, Suite D & E, Marina, CA 93933 |
| NEW (COMBINED) BUSINESS INFORMATION |
| Provide information on the proposed new combined business. |
| NEW BUSINESS LEGAL NAME: Pacific Roots Marina, LLC |
| DBA: |
| Proposed Address/Location: 3100 Del Monte Blvd., Marina, CA 93933 |
| Phone: |
| 24-Hour Contact Information |

BUSINESS STRUCTURE

Identify which sections from the original applications the applicants intend to be applicable to the new, combined business.

Select only **ONE** column per line item.

| | Original Business | Original Business |
|---------------------------------------|-------------------|--------------------------|
| Application section | #1 | #2 |
| Business Plan | X | |
| Local Enterprise & Community Benefits | | X |
| Neighborhood Compatibility | X | |
| Safety & Security Plan | X | |

ADDITIONAL INFORMATION REGARDING THE NEW COMBINED BUSINESS THAT YOU WOULD LIKE TO PROVIDE TO THE CITY REGARDING THE NEW (COMBINED) BUSINESS TO CONSIDER: Pacific Roots Marina, LLC is adopting the Local Enterprise and Community Benefits Plan submitted by MCC Operations, LLC and is committing to hiring local employees identified in aforementioned plan and supporting the non-profit organizations identified the aforementioned plan. The two individual owners of MCC Operations, LLC are being added as member-owners of Pacific Roots Marina, LLC. OWNERSHIP STRUCTURE Describe the new business's ownership structure. The applicant is Pacific Roots Marina, LLC, the same limited liability company that was the applicant in Pacific Roots Marina, LLC's application. The individuals identified in the ownership section below are each equity holding members of Pacific Roots Marina, LLC. OWNER INFORMATION Provide information on all owners, principals, and/or officers of the new business. _____ Ownership Percentage _22.5% Name Aaron Herzberg Title Managing Member _____ Signature ___ Name Alberto Marciano _____ Ownership Percentage __22.5% Title Member Signature _ Name Aaron Johnson Title Member Name Brandon Gesicki Ownership Percentage Title Member Signature Name Joey Espinoza **Ownership Percentage** Title Member **Signature** Name Andrew Gogliucci Ownership Percentage 5% Title Member Signature

Add more pages as necessary to accommodate all Commercial Cannabis Business Owners.

Name N/A

Signature

Ownership Percentage _



Table of Contents

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City of Marina

City Manager's Office – City Hall 211 Hillcrest Avenue Marina, CA 93933 P: 831.384.3715 www.ci.marina.ca.us

DISPENSARY & NON-DISPENSARY PERMIT APPLICATION

| APPLICANT (BUSINESS) LEGAL | NAME: Pacific Roc | ots Marina, LLC | |
|---|--|--|--|
| Proposed Address/Location: | 3100 Del Monte Blvd. | . Marina. CA 93933 | |
| Assessor's Parcel Number (API | | | |
| PRIMARY CONTACT: Aaron H | | | |
| Title: Owner | | | |
| Address: | | | |
| Phone: | | Emaile | |
| 24-Hour Contact Information: | | Email: | |
| 24-Hour Contact Information. | | | |
| PROPERTY OWNER NAME: A | nthony Lombardi | | |
| Address: | | | |
| Phone: | | Email: | |
| category, indicate whether yo type of license you are applyin ☑ Retailer (A/M) – Type: ☐ Manufacturing (A/M) – ☐ Cultivation (A/M) | u are applying for Adu g for per the State's lic <u>A & M</u> - Type: | It-Use ("A") or Medicinal ("ense types. Testing Lab (A/M) Distribution (A/M) | e following categories. For each (M"), and, when applicable, which |
| | 19 and any additional re | | e Application Procedure Guidelines, application process. All documents |
| OFFICE USE ONLY | Fees Paid | | Date Paid |
| Date submitted: | - | | l l |
| Application #: | | | |
| Submitted to: | | | |
| | Phase 4: | | |

OWNER BACKGROUND INFORMATION (Must be completed by all Owners)

Under penalty of perjury, I acknowledge that I have personal knowledge of the information stated in this application and that the information contained herein is true. I also understand that the information provided in this application, except the Safety and Security Plan and certain confidential information such as Driver's License and Social Security number(s) which can be redacted, may be public information and subject to disclosure under the California Public Records Act.

| Name: Aaron Herzberg |
|---|
| Title: Owner |
| Home Address: |
| Phone: |
| Signature: |
| Under penalty of perjury, I acknowledge that I have personal knowledge of the information stated in this application and that the information contained herein is true. I also understand that the information provided in this application, except the Safety and Security Plan and certain confidential information such as Driver's License and Social Security number(s) which can be redacted, may be public information and subject to disclosure under the California Public Records Act. |
| Name: Alberto Marciano |
| Title: Owner |
| Home Address: |
| Phone: |
| Signature: Date: 05/23/2019 |
| Under penalty of perjury, I acknowledge that I have personal knowledge of the information stated in this application and that the information contained herein is true. I also understand that the information provided in this application, except the Safety and Security Plan and certain confidential information such as Driver's License and Social Security number(s) which can be redacted, may be public information and subject to disclosure under the California Public Records Act. Name: Aaron Johnson |
| Name. |

Add more pages as necessary to accommodate all Commercial Cannabis Business Owners.

Signature:

Title: Owner

Phone:

Home Address:

Date: _____

OWNER BACKGROUND INFORMATION (Must be completed by all Owners)

Under penalty of perjury, I acknowledge that I have personal knowledge of the information stated in this application and that the information contained herein is true. I also understand that the information provided in this application, except the Safety and Security Plan and certain confidential information such as Driver's License and Social Security number(s) which can be redacted, may be public information and subject to disclosure under the California Public Records Act.

| Name: Brandon Gesicki |
|--|
| Title: Owner |
| Home Address: |
| Phone: |
| Signature: |
| Under penalty of perjury, I acknowledge that I have personal knowledge of the information stated in this application and that the information contained herein is true. I also understand that the information provided in this application, except the Safety and Security Plan and certain confidential information such as Driver's License and Social Security number(s) which can be redacted, may be public information and subject to disclosure under the California Public Records Act. |
| Name: |
| Title: |
| |
| Home Address: |
| Home Address: Phone: |
| |
| Phone: Signature: Date: Under penalty of perjury, I acknowledge that I have personal knowledge of the information stated in this application and that the information contained herein is true. I also understand that the information provided in this application, except the Safety and Security Plan and certain confidential information such as Driver's License and Social Security number(s) which can be redacted, may be public information and subject to disclosure under the California Public Records Act. |
| Phone: Signature: |
| Phone: |
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| Phone: |
| Phone: |

Add more pages as necessary to accommodate all Commercial Cannabis Business Owners.

ADDITIONAL INFORMATION

List whether the applicant(s) has other licenses and/or permits issued to and/or revoked from the applicant in the three years prior to the year of the permit application, such other licenses and/or permits relating to similar business activities as in the permit application. If yes, list the type, current status, and issuing/denying agency for each license/permit. Please attach a separate document with an explanation, if necessary. Aaron Herzberg, Alberto Marciano, and Brandon Gesicki are each owners of other licensees. The attached separate document lists the licenses. None of those licenses have been revoked by the licensing agency. Some of the state license are inactive due to backlog in review by state agencies and others were intentionally allowed to expire due to reorganization of licenses by the licensee. List any and all Owners who have been convicted of a felony or have engaged in misconduct that is substantially related to the qualifications, functions or duties of a cannabis operator, applicant, owner or employee. A conviction within this section means a plea or verdict of guilty, or a conviction following a plea of no contest. Attach a separate document with an explanation, if necessary. N/A Describe the Commercial Cannabis Business' organizational status: Pacific Roots Marina, LLC is an active limited liability company that is registered with the California Secretary of State. Pacific Roots Marina, LLC has the following four members, all of whom are listed as owners on the application: Aaron Herzberg, Alberto Marciano, Aaron Johnson, and Brandon Gesicki. Name and address of school closest to proposed location: Marina del Mar Elementary - 3066 Lake Dr., Marina, CA 93933 Name and address of existing alcohol-related establishment closest to proposed location: Marina Liquors - 3108 Del Monte Blvd., Marina, CA 93933 Have you received a Zoning Verification Letter? (Please check the appropriate response) ☑ Yes (include the letter in your application) □ No Describe the neighborhood around the proposed location (i.e., surrounding uses; nearby sensitive uses such as schools, youth centers, churches, parks, daycare centers, or libraries; transit access to site; etc.): The proposed location, 3100 Del Monte Blvd., is zoned for commercial use. It is located in a commercial complex and is surrounded to the north and the south by other commercial uses. Behind the parking lot to the east is apartment complex that zoned commercial-residential and across Del Monte Blvd. is zoned residential. There are no parks, schools, youth or daycare

centers with 600 feet.

| Owner | Legal Business Name | License Type | State Agency | Local Jurisdication | State License Number | Status |
|-----------------------------------|----------------------------------|---|--------------|----------------------|----------------------|----------|
| Aaron Herzberg & Alberto Marciano | Modern Buds, LLC | Cannabis - Retailer Temporary License | BCC | Long Beach | C10-18-0000127-TEMP | Active |
| Aaron Herzberg | Bud and Bloom | Cannabis - Retailer License | BCC | Santa Ana | C10-0000044-LIC | Active |
| Aaron Herzberg | Pure Dispensaries LLC | Cannabis - Retailer Temporary License | BCC | San Bernardino | C10-18-0000191-TEMP | Active |
| Brandon Gesicki | Testa Sol, LLC | Temporary Cannabis Manufacturing License | CDPH | King City | CDPH-T00001999 | Active |
| Brandon Gesicki | Testa Sol, LLC | Cannabis - Retailer Nonstorefront Temporary License | BCC | King City | C9-18-0000188-TEMP | Active |
| Brandon Gesicki | Testa Sol, LLC | Cannabis - Distributor Temporary License | BCC | King City | C11-18-0000623-TEMP | Active |
| Brandon Gesicki | Dionysus Management Company, LLC | Temporary Cannabis Cultivation License | CDFA | Santa Barbara County | TML18-0008092 | Active |
| Brandon Gesicki | Dionysus Management Company, LLC | Temporary Cannabis Cultivation License | CDFA | Santa Barbara County | TML18-0017489 | Inactive |
| Brandon Gesicki | Dionysus Management Company, LLC | Temporary Cannabis Cultivation License | CDFA | Santa Barbara County | TML18-0017488 | Inactive |
| Brandon Gesicki | Dionysus Management Company, LLC | Temporary Cannabis Cultivation License | CDFA | Santa Barbara County | TML18-0004266 | Inactive |
| Brandon Gesicki | Dionysus Management Company, LLC | Temporary Cannabis Cultivation License | CDFA | Santa Barbara County | TML18-0004265 | Inactive |
| Brandon Gesicki | Dionysus Management Company, LLC | Temporary Cannabis Cultivation License | CDFA | Santa Barbara County | TML18-0004264 | Inactive |
| Brandon Gesicki | Dionysus Management Company, LLC | Temporary Cannabis Cultivation License | CDFA | Santa Barbara County | TML18-0004263 | Inactive |
| Brandon Gesicki | Dionysus Management Company, LLC | Temporary Cannabis Cultivation License | CDFA | Santa Barbara County | TML18-0004256 | Inactive |
| Brandon Gesicki | Dionysus Management Company, LLC | Temporary Cannabis Cultivation License | CDFA | Santa Barbara County | TML18-0004249 | Inactive |
| Brandon Gesicki | Dionysus Management Company, LLC | Temporary Cannabis Cultivation License | CDFA | Santa Barbara County | TML18-0004237 | Inactive |
| Brandon Gesicki | Dionysus Management Company, LLC | Temporary Cannabis Cultivation License | CDFA | Santa Barbara County | TML18-0004236 | Inactive |
| Brandon Gesicki | Dionysus Management Company, LLC | Temporary Cannabis Cultivation License | CDFA | Santa Barbara County | TML18-0004235 | Inactive |
| Brandon Gesicki | Canna Rios LLC | Temporary Cannabis Cultivation License | CDFA | Santa Barbara County | TML18-0017482 | Inactive |
| Brandon Gesicki | Canna Rios LLC | Temporary Cannabis Cultivation License | CDFA | Santa Barbara County | TML18-0017481 | Inactive |
| Brandon Gesicki | Canna Rios LLC | Temporary Cannabis Cultivation License | CDFA | Santa Barbara County | TML18-0004886 | Inactive |
| Brandon Gesicki | Canna Rios LLC | Temporary Cannabis Cultivation License | CDFA | Santa Barbara County | TML18-0004885 | Inactive |
| Brandon Gesicki | Canna Rios LLC | Temporary Cannabis Cultivation License | CDFA | Santa Barbara County | TML18-0004883 | Inactive |
| Brandon Gesicki | Canna Rios LLC | Temporary Cannabis Cultivation License | CDFA | Santa Barbara County | TML18-0004882 | Inactive |
| Brandon Gesicki | Canna Rios LLC | Temporary Cannabis Cultivation License | CDFA | Santa Barbara County | TML18-0004881 | Inactive |
| Brandon Gesicki | Canna Rios LLC | Temporary Cannabis Cultivation License | CDFA | Santa Barbara County | TML18-0001832 | Inactive |
| Brandon Gesicki | Canna Rios LLC | Temporary Cannabis Cultivation License | CDFA | Santa Barbara County | TML18-0001357 | Inactive |
| Brandon Gesicki | Canna Rios LLC | Temporary Cannabis Cultivation License | CDFA | Santa Barbara County | TML18-0001356 | Inactive |
| Brandon Gesicki | Canna Rios LLC | Temporary Cannabis Cultivation License | CDFA | Santa Barbara County | TML18-0001354 | Inactive |
| Brandon Gesicki | Canna Rios LLC | Temporary Cannabis Cultivation License | CDFA | Santa Barbara County | TML18-0001353 | Inactive |
| Brandon Gesicki | Canna Rios LLC | Temporary Cannabis Cultivation License | CDFA | Santa Barbara County | TML18-0001351 | Inactive |
| Brandon Gesicki | Canna Rios LLC | Temporary Cannabis Cultivation License | CDFA | Santa Barbara County | TML18-0001348 | Inactive |
| Brandon Gesicki | Canna Rios LLC | Temporary Cannabis Cultivation License | CDFA | Santa Barbara County | TML18-0001347 | Inactive |
| Brandon Gesicki | Canna Rios LLC | Temporary Cannabis Cultivation License | CDFA | Santa Barbara County | TML18-0001345 | Inactive |
| Brandon Gesicki | Canna Rios LLC | Temporary Cannabis Cultivation License | CDFA | Santa Barbara County | TML18-0001344 | Inactive |
| Brandon Gesicki | Canna Rios LLC | Temporary Cannabis Cultivation License | CDFA | Santa Barbara County | TML18-0001343 | Inactive |
| Brandon Gesicki | Canna Rios LLC | Temporary Cannabis Cultivation License | CDFA | Santa Barbara County | TML18-0001342 | Inactive |
| Brandon Gesicki | Canna Rios LLC | Temporary Cannabis Cultivation License | CDFA | Santa Barbara County | TML18-0001341 | Inactive |
| Brandon Gesicki | Canna Rios LLC | Temporary Cannabis Cultivation License | CDFA | Santa Barbara County | TML18-0000338 | Inactive |

APPLICATION SUBMITTAL CHECKLIST

| A complete application will consist of the following items: Commercial Cannabis Business Application Property Owner Consent (page 5 of the CCB Application) Application filing fee(s) Limitations on City's Liability waiver Commercial Cannabis Business Application Financial Responsibility, Indemnity and Consent to Inspection Proof of comprehensive general liability insurance (minimum \$1M per occurrence) Approved Zoning Verification Letter Live Scan/Background Check information for each Owner/Principal, including: Proof of submittal of Live Scan application and payment of fee to Oxnard Police Department Cannabis Permit Employee/Owner Background Application Copy of Social Security card Copy of Driver's License or other valid government-issued photo identification Supplemental information to be evaluated in Phases 2 and 3 (see Appendix A of the Application Procedum Guidelines) | re |
|---|----------------|
| APPLICANT CERTIFICATION | |
| I hereby certify, under penalty of perjury, on behalf of myself and all owners, managers and supervisors identified in this application that the statements and information furnished in this application and in the attached exhibit present the data and information required for this initial evaluation to the best of my ability, and that the fact statements, and information presented are true and correct to the best of my knowledge and belief. I understant that a misrepresentation of fact is cause for rejection of this application, denial of the permit, or revocation of permit issued. | ts s, id |
| In addition, I understand that the filing of this application grants the City of Marina permission to reproduce submitted materials, including but not limited to, plans, exhibits, and photographs, for distribution to start Commission, Board, and City Council Members, and other Agencies in order to process the application. Nothing this consent, however, shall entitle any person to make use of the intellectual property in plans, exhibits an photographs for any purpose unrelated to the City's consideration of this application. | ff, in |
| Furthermore, by submitting this application I understand and agree that any business resulting from an approv shall be maintained and operated in accordance with requirements of the Marina Mulicipal Code and State law. Auron Herzberg Name Signature | al |
| <u>Co-owner</u> <u>05/30/2019</u> <u>Date</u> | |



PROPERTY OWNER CONSENT

If applicant is other than the property owner(s), the owner(s) must provide a signed statement consenting to filing pursuant to Chapter 19 of the Marina Municipal Code. Original signatures only.

I/We, as the owner(s) of the subject property, consent to the filing of this application and use of the property for the purposes described herein. We further consent and hereby authorize City representative(s) to enter upon my property for the purpose of examining and inspecting the property in preparation of any reports and/or required environmental review for the processing of the application(s) being filed.

ANTHONY 6. LOMBARDI Name TRUSTES /MANAGER/OWNER

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document, to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California

(ound of Alameda

Subscribed and sworn to before me this day of May, 20 19, proved to me on the basis of satisfactory evidence to be the person(s) who appeared before me.

> PERRY VONGSENA COMM. # 2235682 TARY PUBLIC CALIFORNIA ALAMEDA COUNTY My Commission Expires MARCH 24, 2022



City of Marina Limitations on City's Liability and Certifications, Assurances and Warranties

(Must be completed by all applicants)

a. WAIVER AND RELEASE OF LIABILITY AND AGREEMENT TO INDEMNIFY THE CITY OF MARINA

The applicant and all owners and operators hereby waive and release the City from any and all liability for monetary damages related to or arising from the application for a permit, the issuance of the permit, or the enforcement of the conditions of the permit. The applicant certifies that under no circumstances shall the applicant cause any cause of action for monetary damages against the City of Marina, the permitting official or any City employee or agent as a result of this permit application or issuance or the enforcement of the conditions of the permit.

b. RELEASE CITY OF MARINA FROM LIABILITY FOR ISSUING THE APPLICANT A PERMIT

By applying for a permit pursuant to the Marina City Commercial Cannabis Business Permit Program and by accepting a permit from the City of Marina Administrative Offices acting as the Marina City Local Permitting Authority, the applicant/permittee, owners and operators, and each of them, waives and releases Marina City, and its elected officials, employees, agents, insurers and attorneys, and each of them, from any liability for injuries, damages, costs and expenses of any nature whatsoever that result or relate to the investigation, arrest or prosecution of business owners, operators, employees; clients or customers of the applicant/permittee for a violation of state or federal laws, rules or regulations relating to cannabis activities.

c. AGREEMENT TO INDEMNIFY CITY OF MARINA

By applying for a permit pursuant to the Marina City Commercial Cannabis Permit Program and by accepting a permit from the Marina City Administrative Offices acting as the Marina City Local Permitting Authority, the applicant/permittee, owners and operators, and each of them, jointly and severally if more than one, agrees to indemnify, defend and hold harmless Marina City, and its elected officials, employees, agents, insurers and attorneys, and each of them, against all liability, claims and demands, of any nature whatsoever, including, but not limited to, those arising from bodily injury, sickness, disease, death, property loss and property damage, arising out of or in any manner related to the operation of the commercial cannabis business that is the subject of the permit.

d. CERTIFICATION OF LIVE SCAN/BACKGROUND CHECK

The applicant, commercial cannabis business manager and anyone with an ownership interest in the business referenced herein represents and certifies they have submitted to a Live Scan and/or background check no earlier than 30 days prior to the date of this application.

e. PERMIT RENEWAL CERTIFICATION

For renewals, the applicant represents and certifies that they continue to hold in good standing any permit/license required by the State of California where applicable for a commercial cannabis business operation.

f. PROSECUTION UNDER FEDERAL LAW

The applicant understands that operators, employees and members of the commercial cannabis business may be subject to prosecution under Federal Laws.

g. AUTHORIZED TO SIGN

Limitations on City's Liability

The person whose signature appears below is authorized to sign this application on behalf of the business and has submitted this information and all attachments as required by the application process to obtain a commercial cannabis permit from the City of Marina.

| product to detain a common and common permits | |
|---|---|
| | Action provided on this form is true and correct and do Ordinance Chapter 19 and in compliance with Marina licable sections of this Ordinance. Action Herzherg, Printed Name and Title |
| , . | rtificate verifies only the identity of the individual who tached, and not the truthfulness, accuracy, or validity |
| State of California City of LOS ANGELES Subscribed and sworn to (or affirmed) before me o ARLON HERZBERG prov the person(s) who appeared before me. (Seal) Signature SOPHIA NARANJO Notary Public - California Los Angeles County Commission # 2253918 My Comm. Expires 42253918 | on this <u>28</u> day of <u>MAY</u> , 20 <u>19</u> , by yed to me on the basis of satisfactory evidence to be |

City of Marina Limitations on City's Liability and Certifications, Assurances and Warranties

(Must be completed by all applicants)

a. WAIVER AND RELEASE OF LIABILITY AND AGREEMENT TO INDEMNIFY THE CITY OF MARINA

The applicant and all owners and operators hereby waive and release the City from any and all liability for monetary damages related to or arising from the application for a permit, the issuance of the permit, or the enforcement of the conditions of the permit. The applicant certifies that under no circumstances shall the applicant cause any cause of action for monetary damages against the City of Marina, the permitting official or any City employee or agent as a result of this permit application or issuance or the enforcement of the conditions of the permit.

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The applicant understands that operators, employees and members of the commercial cannabis business may be subject to prosecution under Federal Laws.

g. AUTHORIZED TO SIGN

The person whose signature appears below is authorized to sign this application on behalf of the business and has submitted this information and all attachments as required by the application process to obtain a commercial cannabis permit from the City of Marina.

I declare under penalty of perjury that the information provided on this form is true and correct and do hereby apply for a permit pursuant to Marina City Ordinance Chapter 19 and in compliance with Marina City Ordinance Section 19.02.020 and all other applicable sections of this Ordinance.

| | Alberto Avi Marciano, Owner |
|---------------------|-----------------------------|
| Applicant Signature | Printed Name and Title |
| 05/23/2019 | |
| | |
| Date | |

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

| State of California City of Los Angeles | | |
|---|-------------------------|------------------|
| Subscribed and sworn to (or affirmed) before me on this 23 | day of May | 20_19_ by |
| Alberto Avi Marciano, proved to me on t | he basis of satisfactor | y evidence to be |
| the person(s) who appeared before me. | | |
| | | |
| (Seal) Signature | | _ |
| SOPHIA NARANJO Notary Public - California Los Angeles County Commission # 2253918 My Comm. Expires Aug 13, 2022 | | |

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For renewals, the applicant represents and certifies that they continue to hold in good standing any permit/license required by the State of California where applicable for a commercial cannabis business operation.

f. PROSECUTION UNDER FEDERAL LAW

The applicant understands that operators, employees and members of the commercial cannabis business may be subject to prosecution under Federal Laws.

g. AUTHORIZED TO SIGN

The person whose signature appears below is authorized to sign this application on behalf of the business and has submitted this information and all attachments as required by the application process to obtain a commercial cannabis permit from the City of Marina.

I declare under penalty of perjury that the information provided on this form is true and correct and do hereby apply for a permit pursuant to Marina City Ordinance Chapter 19 and in compliance with Marina City Ordinance Section 19.02.020 and all other applicable sections of this Ordinance.

Brandon Gesichi, Owner

Applicant Signature

5-31-19

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

Ay Comm. Expires Aug 16, 2022

City of Marina Limitations on City's Liability and Certifications, Assurances and Warranties

(Must be completed by all applicants)

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The applicant and all owners and operators hereby waive and release the City from any and all liability for monetary damages related to or arising from the application for a permit, the issuance of the permit, or the enforcement of the conditions of the permit. The applicant certifies that under no circumstances shall the applicant cause any cause of action for monetary damages against the City of Marina, the permitting official or any City employee or agent as a result of this permit application or issuance or the enforcement of the conditions of the permit.

b. RELEASE CITY OF MARINA FROM LIABILITY FOR ISSUING THE APPLICANT A PERMIT

By applying for a permit pursuant to the Marina City Commercial Cannabis Business Permit Program and by accepting a permit from the City of Marina Administrative Offices acting as the Marina City Local Permitting Authority, the applicant/permittee, owners and operators, and each of them, waives and releases Marina City, and its elected officials, employees, agents, insurers and attorneys, and each of them, from any liability for injuries, damages, costs and expenses of any nature whatsoever that result or relate to the investigation, arrest or prosecution of business owners, operators, employees; clients or customers of the applicant/permittee for a violation of state or federal laws, rules or regulations relating to cannabis activities.

c. AGREEMENT TO INDEMNIFY CITY OF MARINA

By applying for a permit pursuant to the Marina City Commercial Cannabis Permit Program and by accepting a permit from the Marina City Administrative Offices acting as the Marina City Local Permitting Authority, the applicant/permittee, owners and operators, and each of them, jointly and severally if more than one, agrees to indemnify, defend and hold harmless Marina City, and its elected officials, employees, agents, insurers and attorneys, and each of them, against all liability, claims and demands, of any nature whatsoever, including, but not limited to, those arising from bodily injury, sickness, disease, death, property loss and property damage, arising out of or in any manner related to the operation of the commercial cannabis business that is the subject of the permit.

d. CERTIFICATION OF LIVE SCAN/BACKGROUND CHECK

The applicant, commercial cannabis business manager and anyone with an ownership interest in the business referenced herein represents and certifies they have submitted to a Live Scan and/or background check no earlier than 30 days prior to the date of this application.

e. PERMIT RENEWAL CERTIFICATION

For renewals, the applicant represents and certifies that they continue to hold in good standing any permit/license required by the State of California where applicable for a commercial cannabis business operation.

f. PROSECUTION UNDER FEDERAL LAW

The applicant understands that operators, employees and members of the commercial cannabis business may be subject to prosecution under Federal Laws.

g. AUTHORIZED TO SIGN

The person whose signature appears below is authorized to sign this application on behalf of the business and has submitted this information and all attachments as required by the application process to obtain a commercial cannabis permit from the City of Marina.

L declare under penalty of perjury that the information provided on this form is true and correct and do hereby apply for a permit pursuant to Marina City Ordinance Chapter 19 and in compliance with Marina City Ordinance Section 19.02.020 and all other applicable sections of this Ordinance.

| apple | Aaron P. Johnson |
|---------------------|------------------------|
| Applicant Signature | Printed Name and Title |
| 6-4-19 Date | |

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

| State of California |
|---|
| -City of Country of Monterey |
| Subscribed and sworn to (or affirmed) before me on this |
| Aaron P. Johnson , proved to me on the basis of satisfactory evidence to be |
| the person(s) who appeared before me. |
| (a) |
| (Seal) Signature |
| |
| STITADETH O THIANA |



CITY OF MARINA COMMERCIAL CANNABIS BUSINESS APPLICATION FINANCIAL RESPONSIBILITY, INDEMNITY AND CONSENT TO INSPECTION TERMS

| Dated: | May | 23 | . 201 | a |
|--------|-------|----|-------|---|
| Dated: | IVIAV | 20 | , 201 | y |

I hereby agree to the following terms:

- 1. I am herewith depositing the sum of \$8,000 as an initial deposit for the review and processing of a commercial cannabis business application.
- 2. The entire amount deposited is non-refundable for any reason. There is no guarantee expressed or implied that by submitting the application or making the deposit identified above that I will obtain any land use entitlements or a permit to operate a commercial cannabis business. I understand that City staff may recommend denial of the application for any reason, that staff may change its recommendation at any time, and that staff's recommendation of approval does not guarantee approval by any board or commission.
- 3. All costs incurred by the City in processing said application, including staff time and overhead, shall be paid by me. This is my personal obligation and shall not be affected by sale or transfer of the property subject to the application, changes in business organization, or any other reason. As work proceeds on an application, actual City costs, as established by City Ordinance, will be charged against the deposit account. The City will deduct such costs from said deposits at such times and in such amounts as City determines. The City may demand additional deposits be made by me over the course of processing the application such as prior to each submittal, public review, and hearing(s), as applicable to the permit. "Costs incurred by the City" as identified in this paragraph shall include costs for the services of contractors or consultants. The City shall exercise its sole discretion in determining whether it is necessary to engage the services of an outside contractor to assist with application processing, which costs are to be paid by me.
- 4. To the fullest extent permitted by law, I shall defend, indemnify, save and hold harmless the City of Marina and its agents, officers, elected officials and employees for any claims, damages, or injuries brought against the City, its agents, officers, elected officials and employees arising from the processing of the application and my conduct of a commercial cannabis business. The indemnification shall apply to any damages, costs of suit, attorneys' fees or other expenses awarded against the City, its agents, officers and employees in connection with any such action. In addition, I shall release the City of Marina and its agents, officers, elected officials and employees from any injuries, damages, or liabilities of any kind that result from any arrest or prosecution for violation of state or federal laws. My obligations under this indemnification shall apply regardless of whether a license or any permits or entitlements are issued.

- 5. The City will promptly notify the Applicants and Owner of any such claim, action, or proceeding that is or may be subject to this Agreement. The City may, within its unlimited discretion, participate in the defense of any such claim, action, or proceeding.
- 6. In the event that any claim, action, or proceeding as described above is filed against the City, I shall within 30 days of the filing make an additional deposit of \$5,000 to the City to cover the costs or expenses involved in City defense. If during the litigation process, actual costs or expenses incurred reach 80% of the amount on deposit, I shall deposit additional funds sufficient to bring the balance up to the amount of \$5,000.
- 7. The City shall have the absolute right to approve any and all counsel employed to defend the City. To the extent the City uses any of its resources to respond to such claim, action or proceeding, or to assist the defense, I will reimburse the City for those costs. Such resources include, but are not limited to, staff time, court costs, City Counsel's time, or any other direct or indirect cost associated with responding to, or assisting in defense of, the claim, action or proceedings.
- 8. I consent and expressly allow, authorize, and permit the City, all its departments, agents, and employees (collectively, "City"), to enter upon and inspect the subject property identified herein, with or without prior notice, for the purposes of inspecting, photographing, and/or processing this application and to inspect for compliance with all laws, regulations, and conditions placed on land use approvals or the permit. No additional permission or consent to enter upon the property is necessary or shall be required. By signing this application I further certify and warrant I am authorized to, and hereby do, consent and allow such inspections on my behalf and on the behalf of each and all Owners of the property and Applicants.
- 9. I understand that all materials submitted in connection with my application are public record subject to inspection and copying by members of the public. By filing an application, I agree that the public may inspect and copy these materials and the information contained therein, and that some or all of the materials may be posted on the City's website. For any materials that may be subject to copyright protection, or which may be subject to sections 5500.1 and 5536.4 of the California Business and Professions Code, by submitting such materials to the City I represent that I have the authority to grant, and hereby grant, the City permission to make the materials available to the public for inspection and copying, whether in hardcopy or electronic format.
- 10. This Agreement shall constitute a separate agreement from any permit approval, and that if the permit, in part or in whole, is revoked, invalidated, rendered null or set aside by a court of competent jurisdiction, I agree to be bound by the terms of this Agreement, which shall survive such invalidation, nullification or setting aside.
- 11. This Agreement shall be construed and enforced in accordance with the laws of the State of California and in any legal action or other proceeding brought by either party to enforce or interpret this Agreement; the appropriate venue is the Monterey County Superior Court.

| to fully and timely comply with all of the fore | going terms and conditions. |
|---|-----------------------------|
| Applicant(s)/Owner(s): Aaron Herzberg | Ca Clarky |
| Printed Name | Signature |
| Alberto Avi Marciano | |
| Printed Name | Signature |
| Brandon Gesicki | 12/2 |
| Printed Name | Signature |
| Aaron Johnson | MM |
| Printed Name | Signature / |
| Printed Name | Signature |
| Property Owner(s): (if different) | his h 1_ |
| Anthony Lombardi | |
| Printed Name | Signature |
| Printed Name | Signature |
| Printed Name | Signature |





CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY) 06/03/2019

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

| | ertificate holder in lieu of such endors | | • | • • | luorse | ment. A Stat | ement on th | s certificate does not con | ner nç | jiits to tile | | |
|--|--|-------|---------|--------------------------------|--|---------------------------|------------------|---|------------------|---------------|--|--|
| PRODUCER | | | | | CONTACT NAME: | | | | | | | |
| Hiscox Inc. d/b/a/ Hiscox Insurance Agency in CA | | | | | PHONE (888) 202-3007 FAX | | | | | | | |
| 520 Madison Avenue | | | | | | E-MAIL contact@biscov.com | | | | | | |
| 32nd Floor | | | | | ADDRESS. | | | | | | | |
| | New York, NY 10022 | | | | INSURER(S) AFFORDING COVERAGE INSURER A . Hiscox Insurance Company Inc | | | | | 10200 | | |
| INSU | RED | | | | INSURER A. | | | | | | | |
| | Pacific Roots Marina, LLC | | | | INSURER B: | | | | | | | |
| | 3100 Del Monte Blvd | | | | INSURER C: | | | | | | | |
| | Marina CA 93933 | | | | INSURE | | | | | | | |
| | | | | | INSURE | | | | | | | |
| | VERAGES CER | TIEI | ^ A T E | NUMBER: | INSURER F: | | | | | | | |
| | HIS IS TO CERTIFY THAT THE POLICIES | | | | /E REE | N ISSUED TO | | REVISION NUMBER: | POLIC | V PERIOD | | |
| | DICATED. NOTWITHSTANDING ANY RE | | | | | | | | | | | |
| | ERTIFICATE MAY BE ISSUED OR MAY I | | | | | | | HEREIN IS SUBJECT TO A | ALL TH | HE TERMS, | | |
| INSR | KCLUSIONS AND CONDITIONS OF SUCH | | SUBR | | BEEN | POLICY EFF | POLICY EXP | | | | | |
| LTR | TYPE OF INSURANCE | | WVD | POLICY NUMBER | | (MM/DD/YYYY) | (MM/DD/YYYY) | | | | | |
| | CLAIMS-MADE X OCCUR | | | | | | | EACH OCCURRENCE \$ DAMAGE TO RENTED PREMISES (Ea occurrence) \$ | 1,00 | · | | |
| | 92 | | | | | | • | T INEINIOLO (La dodaliono) | 5,00 | | | |
| Α | | | | UDC-4178047-CGL-1 | 10 | 06/03/2019 | 06/03/2020 | () () | 0 | | | |
| ^ | GEN'L AGGREGATE LIMIT APPLIES PER: | | | UDC-4178047-CGL-1 | | 00/03/2013 | 00/03/2020 | | 2,00 | 0,000 | | |
| | X POLICY PRO- JECT LOC | | | | | | | | \$ S/T Gen. Agg. | | | |
| | OTHER: | | | | | | | | \$ | | | |
| | AUTOMOBILE LIABILITY | | | | | | | COMBINED SINGLE LIMIT \$ | | | | |
| | ANY AUTO | | | | | | | (Ea accident) BODILY INJURY (Per person) \$ | | | | |
| | ALL OWNED SCHEDULED | | | | | | | BODILY INJURY (Per accident) \$ | | | | |
| AUTOS AUTOS NON-OWNED | | | | | | | | PROPERTY DAMAGE | | | | |
| HIRED AUTOS AUTOS | | | | | | | | (Per accident) \$ | | | | |
| UMBRELLA LIAB OCCUR | | | | | | | | EACH OCCURRENCE \$ | | | | |
| EXCESS LIAB CLAIMS-MADE | | | | | | | | AGGREGATE \$ | | | | |
| DED RETENTION \$ | | | | | | | | \$ | | | | |
| WORKERS COMPENSATION | | | | | | | | PER OTH- STATUTE ER | | | | |
| AND EMPLOYERS' LIABILITY ANYPROPRIETOR/PARTNER/EXECUTIVE | | | | | | | | E.L. EACH ACCIDENT \$ | | | | |
| | OFFICER/MEMBER EXCLUDED? (Mandatory in NH) | N/A | | | | | | E.L. DISEASE - EA EMPLOYEE \$ | | | | |
| | If yes, describe under DESCRIPTION OF OPERATIONS below | | | | | | | E.L. DISEASE - POLICY LIMIT \$ | | | | |
| | DESCRIPTION OF OPERATIONS DEIOW | | | | | | | E.E. DIOLAGE - I GLIGIT LIWITI \$ | | | | |
| | | | | | | | | | | | | |
| | | | | | | | | | | | | |
| DES | CRIPTION OF OPERATIONS / LOCATIONS / VEHICL | ES (A | CORD | 101. Additional Remarks Schedu | le. mav b | e attached if more | space is require | ed) | | | | |
| | | • | | | | | | , | | | | |
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| | | | | | | | | | | | | |
| CERTIFICATE HOLDER CANCELLATION | | | | | | | | | | | | |
| <u> </u> | | | | | <u> </u> | | | | | | | |
| | | | | | SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS. | | | | | | | |
| | | | | | AUTHORIZED REPRESENTATIVE // | | | | | | | |
| | | | | | ACTIONIZED ALFREDENTATIVE | | | | | | | |



City of Marina



City of Marina
211 HILLCREST AVENUE
MARINA, CA 93933
831-884-1278; FAX 831-384-9148
www.cityofmarina.org

CANNABIS ZONING VERIFICATION LETTER 2019-06

| Applicant: | Aaron Herzberg | | | | | | |
|--------------------|---|---|--|--|--|--|--|
| APN: | 032-192-018-000 | | | | | | |
| Situs Address: | 3100 Del Monte Boulevard Marina, CA 93933 | | | | | | |
| Property Owner: | Anthony G Lombardi | | | | | | |
| Proposed Land Use: | Adult Cannabis Dispensary | | | | | | |
| | X | Medical Cannabis Dispensary | | | | | |
| Zoning District: | | □ Airport District (AP-2/3) □ Business Park (BP) □ Business Park Small Lot Combining District (BP/P) □ Retail Business District (C-1) □ General Commercial (C-2) □ Planned Commercial (PC) □ Transitional Zoning District (T-B-5) | | | | | |
| Proposed Land Use: | | Indoor Cultivation | | | | | |
| Zoning District: | | □ Airport District (AP-2/3) □ Business Park (BP) □ Business Park Small Lot Combining District (BP/P) □ Transitional Zoning District (T-B-5) | | | | | |
| Proposed Land Use: | | Mixed Light Cultivation | | | | | |
| Zoning District: | | □ Airport District (AP-2/3) □ Business Park (BP) □ Business Park Small Lot Combining District (BP/P) □ Transitional Zoning District (T-B-5) | | | | | |

| Proposed Land Use: | Distribution | | | | | |
|--------------------|--|--|--|--|--|--|
| Zoning District: | □ Airport District (AP-2/3) □ Business Park (BP) □ Business Park Small Lot Combining District (BP/P) □ Retail Business District (C-1) □ General Commercial (C-2) □ Planned Commercial (PC) □ Transitional Zoning District (T-B-5) □ Site where another city permit type conducted | | | | | |
| Proposed Land Use: | Manufacturing | | | | | |
| Zoning District: | □ Airport District (AP-2/3) □ Business Park (BP) □ Business Park Small Lot Combining District (BP/P) □ Retail Business District (C-1) □ General Commercial (C-2) □ Planned Commercial (PC) □ Transitional Zoning District (T-B-5) | | | | | |
| Proposed Land Use: | Testing | | | | | |
| Zoning District: | □ Airport District (AP-2/3) □ Business Park (BP) □ Business Park Small Lot Combining District (BP/P) □ Retail Business District (C-1) □ General Commercial (C-2) □ Planned Commercial (PC) □ Transitional Zoning District (T-B-5) | | | | | |

Adjacent land uses and zoning districts to the site:

North: Retail, C-1 South: Retail, C-1

East: Marina Grange, C-R; Apartments, C-R

West: Apartments, R-4 (across Del Monte Boulevard)

For Adult Cannabis Dispensary – Site distance from another Adult Cannabis Dispensary:

Undetermined at time of letter.

Analysis of Sensitive Uses:

The Cannabis operation must not be within a 600-foot radius of parks, youth centers, schools, and daycare centers, or within 1000-feet of another permitted dispensary as described in Marina Municipal Code Section 19.02.100. Per available records, City staff has determined that the proposed Cannabis use is not located within a 600-foot radius of sensitive uses (measured from nearest building edge containing Cannabis use to parcel edge of sensitive uses listed above, and from parcel edge of Cannabis use to parcel edge of schools) as follows:

- 1. The building is located more than 600 feet away from the nearest park, as shown on the attached map
- 2. The building is located more than 600 feet away from the nearest school, as shown on the attached map
- 3. The building is located more than 600 feet away from the nearest youth center or daycare

| Action for Compliance: | None necessary |
|------------------------|----------------|
| | |
| | |
| | |

This zoning verification is based on information available to the Community Development Department — Planning Office as of the date hereof. To the extent cannabis facilities are allowed land uses under Chapter 19.01 of the Marina Municipal Code, such facilities can be established in the specified zoning district only upon issuance by the Planning Commission of a Conditional Use Permit (CUP). This zoning verification letter does not constitute a land use entitlement and is not equivalent to, a substitute for, or issued in lieu of a required conditional use permit.

Issued by: Alec Barton, Assistant Planner AP Date: May 10, 2019

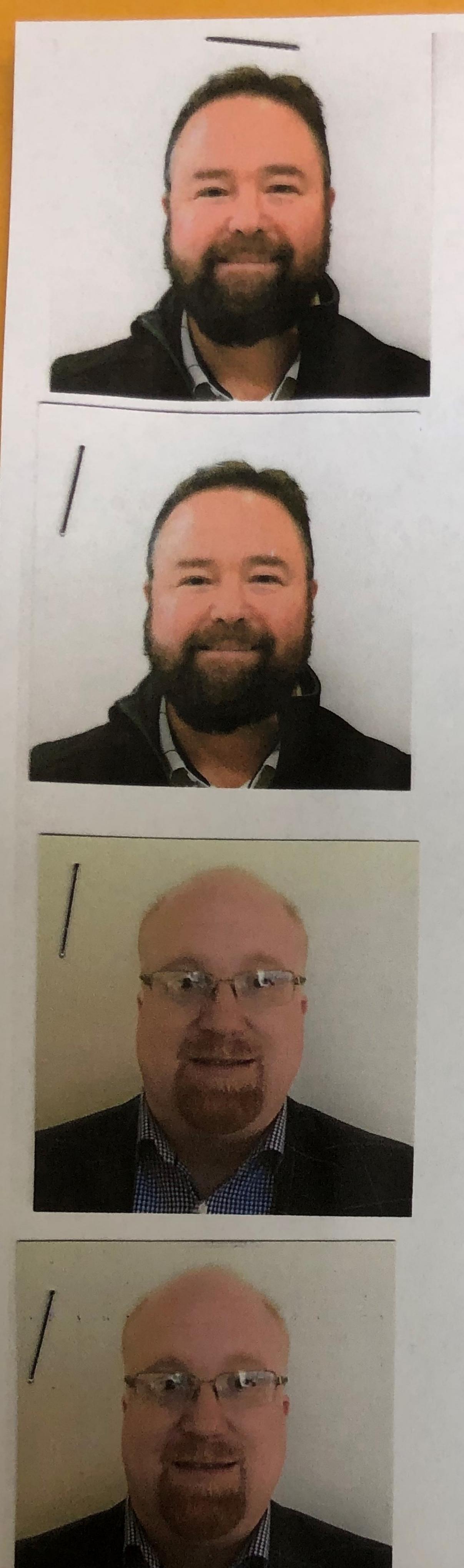
Enclosures: Site Distance Map



This site distance map is based on information available to the Community Development Department - Planning Office as of the date hereof and does not anticipate future land use conditions that may affect the results of this map. This map does not constitute a land use entitlement and is not equivalent to, a substitute for, or issued in lieu of a required conditional use permit.

Updated May 10, 2019

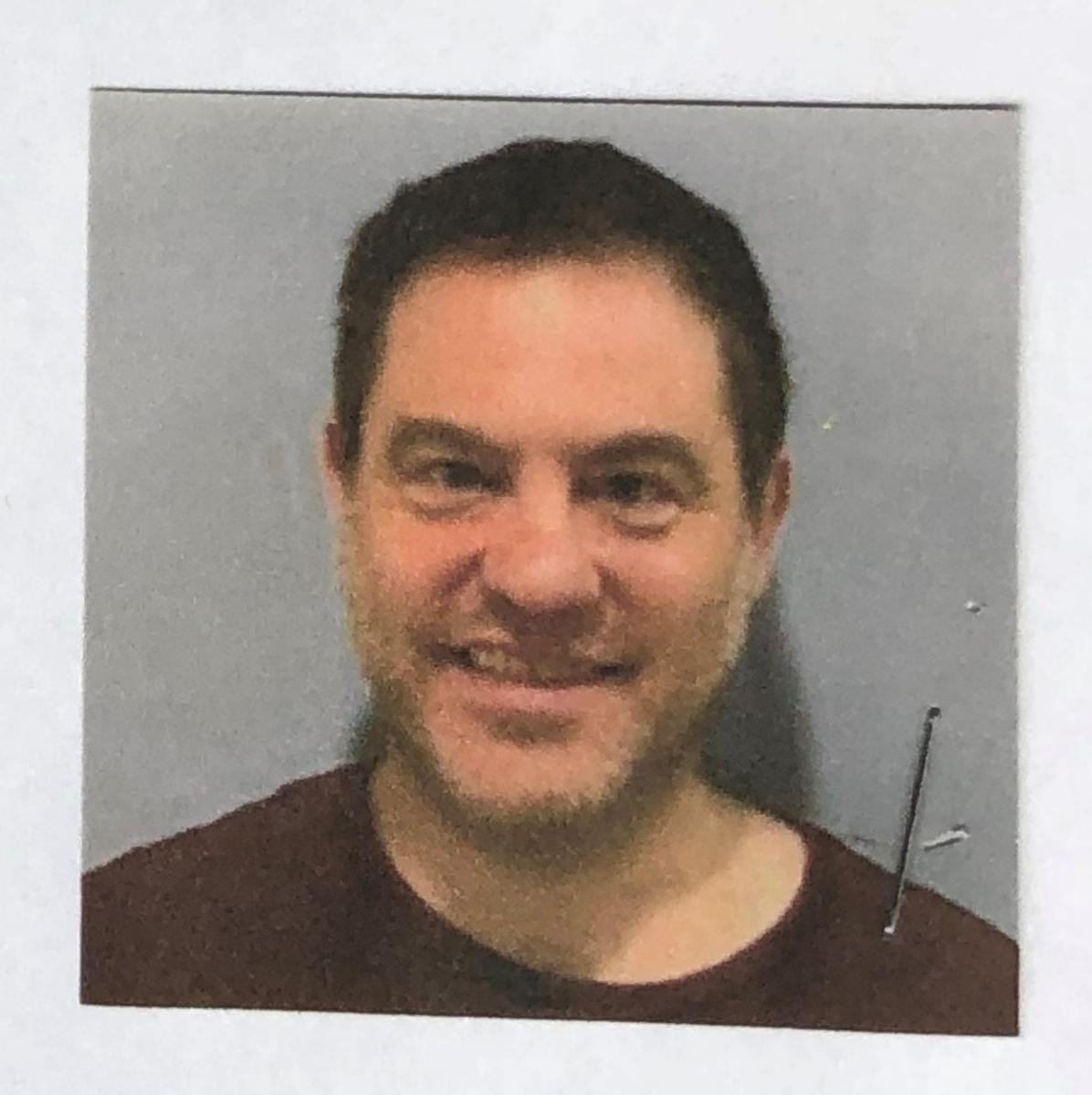














City of Marina

Economic Development Department – City Hall
211 Hillcrest Avenue
Marina, CA 93933
P: 831.384.3715
www.ci.marina.ca.us

CANNABIS BUSINESS EMPLOYEE/OWNER BACKGROUND APPLICATION

| | | | CAN | INABIS BUSI | NESS | INFORMA | ATION | | | | | |
|---|---|---------------|----------------------------------|-----------------------------------|--|--|------------------------------------|------------------|-------------------------------------|-----------------------------------|-----------------------|--|
| CANNABIS BUSINESS NAME/DBA IN THE BUSINESS, ARE YOU AN: (CHOOSE ONE) BADGE ID REQUEST | | | | | | | | GE ID REQUEST | | | | |
| Pacific Roots Marina, LLC | | | | | | ⊠ Owner/Principal □ Employee | | | | e D Reguest | | |
| | NESS ADDRESS, CITY, STAT | | | | | 1 Per-day Patricky, Swychol of Pay Sweet (1974) ■ West (2012) ■ State (1974) ■ Control of Control | | | | JSINESS LICENSE NUMBER (if known) | | |
| 24 | OO Dal Manta Dhu | -l NA: | O A 00000 | | | | | | | | | |
| 31 | 3100 Del Monte Blvd, Marina, CA 93933 | | | | | | | | | | | |
| | APPLICANT INFORMATION LAST NAME ON SOCIAL SECURITY CARD FIRST NAME ON SOCIAL SECURITY CARD MIDDLE NAME ON SOCIAL SECURITY CARD | | | | | | | | | | | |
| | Social Security Nur | mber | | LAST NAME ON SOCIAL SECURITY CARD | | | IN SOCIAL SECO | JATT CARD | WIDDLE NAME ON SOCIAL SECORITY CARD | | | |
| | | | Herzber | g | | Aaron | | | | | | |
| | Driver's License #/5 | State | LAST NAM | IE ON DRIVER'S LICEN | NSE | FIRST NAM | E ON DRIVER'S | LICENSE | MIDDL | LE NAME | ON DRIVER'S LICENSE | |
| | | | Herzber | g | | Aaron | | | | | | |
| | SEX | AGE | DATE | OF BIRTH | RACE | HEIGHT | IGHT WEIGHT | | HAIR | | EYES | |
| ٥ | ĭ Male □ Female | 50 | | | White | | 160 lbs | | Brow | | Hazel | |
| LIST | YOUR CURRENT HOME AD | | ZIP CODE (<u>IVO P.C</u> | . BOXES ALLOWE | <u>D</u>) | 100 100 | | | CELL PHONE # | | | |
| | | | | | | | | | | | | |
| | ANY OTHER NAMES YOU H | IAVE EVER US | ED (Maiden, Mar | ried, Nicknames, e | tc.) | E | BIRTH COUNTRY/STATE LANGUAGES SPOK | | | | | |
| Aa | ron Dishon | | | | | | | | English, Hebrew | | | |
| | | | | CRIMIN | 20/00/04/20/20/20/20/20/20/20/20/20/20/20/20/20/ | | | | | | | |
| | | <u>List a</u> | III arrests or co | onvictions othe | er than | infractions | for traffic | <u>violation</u> | <u>s.</u> | | | |
| | DDITIONAL SPACE IS | | | | | | | | | | | |
| | FALSE OR MISLEAD LICATION, SHALL BE | | | | THIS | APPLICATIO | N OR ON | THE COM | IMERCI | AL CAI | NNABIS BUSINESS | |
| APP | | | | | | | | | | | | |
| | ARREST DATE | | ARRESTING AGEN | NCY / LOCATION / C | COURT | NAME | REASON F | OR ARREST | / VIOLATI | ION COE | DE | |
| 1 | DISPOSITION (WHAT WAS | THE OUTCO | ME OF THE CASE: | Were you sentence | ed? Did | you have to n | av a fine? Pro | hation? Par | ole? Etc.) | | | |
| | The case was rejected | | | | | | · · | | To the | | cords (see attached). | |
| | ARREST DATE | | | NCY / LOCATION / C | | | | OR ARREST | 350 | | | |
| ٦ | | | | | | | | | | | | |
| 2 | DISPOSITION (WHAT WAS | THE OUTCO | ME OF THE CASE: | Were you sentend | ed? Did | you have to pa | ay a fine? Pro | bation? Par | ole? Etc.) | | | |
| | | | | | | | | | | | | |
| | A MARINE SONIE | | | CITY STA | | SE ONLY | | | | | Alles of Leep | |
| | DATE / TIME | \$ FEE A | MOUNT PAID | RECEIP | PT # | CITY STAFF'S NAME | | | CITY | DEPARTMENT | | |
| | | | | | | | | | | | | |

| | | | CRIMINAL HIS | TORY (cont.) | | | | |
|---|--|-------------|---|---|--------------|---|--|--|
| | ARREST DATE | ARRESTING | ESTING AGENCY / LOCATION / COURT NAME REASO | | | ASON FOR ARREST / VIOLATION CODE | | |
| 3 | | | | Supplied in 2 Develop 2 Develop 2 Charles | | | | |
| DISPOSITION (WHAT WAS THE OUTCOME OF THE CASE: Were you sentenced? Did you have to pay a fine? Probation? Parole? Etc.) | | | | | | | | |
| | ARREST DATE | ARRESTING | G AGENCY / LOCATION / COUR | RT NAME | REASON FO | R ARREST | / VIOLATION CODE | |
| 4 | DISPOSITION (WHAT WAS THE OUT | OME OF TH | E CASE: Were you sentenced? | P Did you have to pay | a fine? Prol | oation? Pa | ırole? Etc.) | |
| | | | | | | | | |
| | ARREST DATE | ARRESTING | GAGENCY / LOCATION / COUP | RT NAME | REASON FO | R ARREST | / VIOLATION CODE | |
| 5 | DISPOSITION (WHAT WAS THE OUT | COME OF TH | E CASE: Were you sentenced? | P Did you have to pay | a fine? Prol | oation? Pa | role? Etc.) | |
| | | | | | | | | |
| MARINATUR SENERATUR PROCESS WITTERFO | | PR | IOR REGULATED CA | NNABIS EMPL | .OYERS | | | |
| BUSI | IESS NAME | CITY/STA | TE | PHONE | START | DATE | END DATE | |
| Bu | d and Bloom | Santa | Ana, CA | 714-576-215 | 50 11/ | 2016 | Present | |
| Мо | dern Buds | Long E | Beach, CA | 562-588-9019 | 01/2 | 2019 | Present | |
| 100000000000000000000000000000000000000 | | | STATEMENT | OF PERJURY | | TO SEE HEALT TO SEE | | |
| I DEC KNOV | LARE UNDER THE PENALTY OF PERJU | RY, UNDER | THE LAWS OF THE STATE OF C | ALIFORNIA, THAT THI | e foregoin | ig is trui | E AND CORRECT TO THE BEST OF MY | |
| | APPLICANT SIGNATURE | | JOB TITLE (POSITION | ON THE APPLICATION | N) | *************************************** | DATE | |
| × | 1 / Xlv | 01 | Co-Owner | | | 05/23 | /2019 | |
| 70.50,50 A | CRIMINA | AL BACK | GROUND & CREDIT | HISTORY INVE | STIGAT | ION R | ELEASE | |
| To ¹ | Whom It May Concern: | | | | | | | |
| of t | the City of Marina, and/or his | /her agent | s, employee or lawful re | presentative(s) to | take my | photogra | e City Manager, or Chief of Police aph and fingerprints or use the | |
| obt | | | | | | | eet the qualifications required to d by the City Municipal Code and | |
| l ag | | | | | | | rtment of Justice and the Federal Manager or Chief of Police. | |
| | - , | | | • | • | • | onviction. I further agree to hold | |
| | | | | | | | mages whatsoever or at all which gency for a record check and/or | |
| obt | | - | | | | | cial Cannabis Business Permit or | |
| Fur | Furthermore, I hereby authorize the City Manager or Chief of Police of the City and/or his/her agents, employee or lawful representative(s) to obtain and review my consumer credit report and/or any other credit related information pertaining to me. | | | | | | | |
| | | | | | | | I also understand that falsifying | |
| | or omitting any information on ina Ordinance | this applic | ation may be grounds for o | denial of a permit o | or is groun | ds for te | rmination of employment per the | |
| APP | LICANT SIGNATURE | | APPLICANT NAME (PRINT) | | | DATE | | |
| | Aaron Herzberg 05/23/2019 | | | | | | | |



REQUEST FOR LIVE SCAN SERVICE

| .1300 | | License | | |
|--|------------------------------|---|----------------|--------|
| PRI (Code assigned by DOJ) Canna bis | | Authorized Applicant Type | | |
| ype of License/Certification/Permit OR World | king Title (Maximum 30 chara | cters - if assigned by DOJ, use exact fitle assigned) | | |
| contributing Agency Information: | | | | |
| MARINA POLICE DEPARTMENT gency Authorized to Receive Criminal Record Inf | iomotion. | 00422 Mail Code (five-digit code assigned by DC | | |
| 11 HILLCREST AVE | omiauo | MARIA ESPARZA | N) | |
| itreet Address or P.O. Box | | Contact Name (mandatory for all school s | ubmissions) | |
| | CA 93933 | (831) 884-1293 | | |
| | State ZIP Code | Contact Telephone Number | | |
| pplicant Information: | | | | |
| lerzberg ast Name | | Aaron First Name | Middle Initial | Suffic |
| Diehen | | | Mindie Hilds | Sum |
| ther Name DISTION KA or Alias) Last | | Aaron First | | Suffic |
| 4044000 | [] Famala | 8 | | |
| Sex Male | Female | Driver's License Number | | |
| '8" 160 lbs <u>Hazel</u> | Brown | Billing | | • |
| eight Weight Eye Color | Hair Color | Number (Agency Billing Number) | | |
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| ace of Birth (State or Country) Social Sec | curity Number | Number | | |
| | | (Other Identification Number) | | |
| me | | (Other Identification Number) | | |
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| | years | | State ZIP C | ode |
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| tress Street Address or P.O. Box HOW LONG AT RESIDENCE 5 ur Number: OCA Number (Agency Identifying Num | | PHONE # DOJ | | ode |
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| Street Address or P.O. Box HOW LONG AT RESIDENCE 5 Ur Number: OCA Number (Agency Identifying Num e-submission, list original ATI number: list provide proof of rejection) ployer (Additional response for agencie | es specified by statu | Level of Service: DOJ Original ATI Number te): Mail Code (five digit code assigned by I | ∏ FBI | ode |
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City of Marina 211 Hillerest Avenue Marina, CA 93933

| Cashier: 2019 | 5/20/2019 -00004649 sa Garcia Herzberg y project |
|---|--|
| PD 5600.901 - (F100) Canna bis Live Scan Marina dispensary project - livescans PD 5600.220 - (F100) Credi t Card Convenience Marina dispensary project - livescans | 840.00 25.20 |
| Receipt Total | 865.20 |
| Total Charge | 865.20 |
| Total Remitted | 865.20 |
| Total Received | 865.20 |

Have a nice day!

CITY OF MARINA 211 HILLCREST AVE MARINA, CA 93933

05/20/2019

11:33:13

CREDIT CARD 120/2019 VISA SALE Garcia lerzberg project XXXXXXXXXXXXXXXX8497 Card # VISA Network: CHASE VISA Chip Card: 840.00 A000000031010 AID: 0060 ATC: 9E77EAEBE43BDFD8 25.20 ARQC: SEQ #:

Mode: Tax Amount:

Approval Code:

Entry Method:

Batch #:

INVOICE

SALE AMOUNT

\$865.20

754

09408G

Chip Read

Issuer

\$0.00

865.20

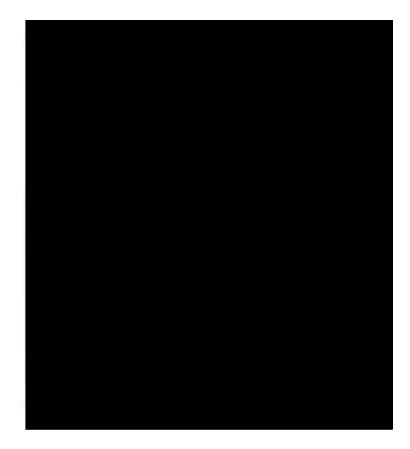
865.20

865.20

865.20

CUSTOMER COPY







ACCOUNT NUMBER SERVICE FOR AARON HERZBERG

DATE MAILED Apr 11, 2019 24 Hour Service 1-800-427-2200 English

1-800-342-4545 Español 1-800-252-0259 TTY

socalgas.com

Н

California is fighting climate change and so can you! Your bill includes a Climate Credit from a state program to cut carbon pollution while also reducing your energy costs. Find out how at EnergyUpgradeCA.org/credit.

Account Summary

| Amount of Last Bill | | | \$112.65 |
|---------------------|----------|-----------|----------|
| Payment Received | 03/28/19 | THANK YOU | - 112.65 |
| Current Charges | | | + .65 |
| Total Amount Due | | | \$0.65 |

This bill reflects modified gas charges due to a rate change.

Current Charges

Climate Zone: 1 Baseline Allowance: 49 Therms Rate: GR - Residential Cycle: 6 Meter Number: 14157410 (Next scheduled read date May 8 2019)

| Billing Period | Days | Current Reading - | Previous Reading | = [| Difference | X | Billing Factor | = | Total Therms |
|---------------------|------|----------------------|---------------------|-----|------------|---|----------------|---|--------------|
| 03/11/19 - 04/09/19 | 29 | 2133 | 2093 | 4 | 40 | | 1.028 | | 41 |

GAS CHARGES Amount(\$) 29 Days x \$.16438 4.77 **Customer Charge**

41 Therms Gas Service (Details below)

Baseline

Therms used 41

Rate/Therm \$1.02220

Charge \$41.91 41.91

California Climate Credit

Total Gas Charges -\$3.55

TAXES & FEES ON GAS CHARGES Amount(\$) State Regulatory Fee 41 Therms x \$.00191 .08 41 Therms x \$.10060 4.12 Public Purpose Surcharge Total Taxes and Fees on Gas Charges \$4.20

(Continued on next page)

DATE DUE May 1, 2019 AMOUNT DUE \$0.65

Gas Usage History (Total Therms used)



| | Apr 18 | Mar 19 | Apr 19 |
|-----------------------|--------|--------|--------|
| Total Therms used | 23 | 87 | 41 |
| Daily average Therms | .8 | 2.7 | 1:4 |
| Days in billing cycle | 29 | 32 | 29 |
| | | | |

Attractive finance rates are available for home energy improvements. Visit socalgas.com/financing

SoCalGas' gas commodity cost per therm for your billing period:

Apr......\$.29803

PLEASE KEEP THIS PORTION FOR YOUR RECORDS. (FAVOR DE GUARDAR ESTA PARTE PARA SUS REGISTROS.)

PLEASE RETURN THIS PORTION WITH YOUR PAYMENT (FAVOR DE DEVOLVER ESTA PARTE CON SU PAGO.)

Save Paper & **Postage**

PAY ONLINE

ACCOUNT NUMBER

SocalGas A Sempra Energy utility

3086.391.9082.7376006 1 AV 0.383 oz 0.552 ակրեակմեվիերեկիկեկներներիրեկնենի AARON HERZBERG

DATE DUE May 1, 2019 \$0.65 **AMOUNT DUE**

Please enter amount enclosed.



Write account number on check and make payable to SoCalGas.

SoCalGas PO BOX C MONTEREY PARK CA 91756-5111



DATE DUE

AMOUNT DUE

May 1, 2019

\$0.65

DATE MAILED Apr 11, 2019

1-800-427-2200 English 1-800-342-4545 Español

1-800-252-0259 TTY

socalgas.com

Page 2 of 2



Total Current Charges \$.65

SoCalGas

Policies and Notices

Electronic Check Processing - When you pay your bill by check, you authorize us to electronically process your payment. If your check is processed electronically, your checking account may be debited on the same day we receive the check. Your check will not be returned by your bank, however, the transaction will appear on your bank statement. If you do not wish to participate in this program, please have your account number ready and call 1-877-272-3303.

Closing your Gas Service - We require two (2) working days and access to the meter to close your gas service

Information about Deposits

Amount of Deposit - The amount of deposit required to establish or re-establish service credit is twice the estimated average periodic bill

Return of Deposit/Interest on Deposit - This deposit, together with any interest due, less the amount of any unpaid bills, will normally be returned either on discontinuance of service or after the deposit has been held for twelve (12) consecutive months, during which time continuous gas service has been received and all bills for such service have been paid in accordance with the rules in effect and filed with the Public Utilities Commission of the State of California. No Interest will be paid if the service is temporarily or permanently discontinued for non-payment of bills.

Billing Term Definitions

Baseline - Amount of gas billed at the bwest residential rate. Billing Factor - Adjusts for differences in elevation, delivery pressure and the heating content of gas.

Climate Zone - Weather zone in which a customer ives. Colder zones receive more baseline albwance.

State Regulatory Fee - A fee used to fund the California Public Utilities Commission. Each customer's fee is determined by the number of therms used.

Gas Commodity Charge - Cost of gas purchased by SoCalGas on behalf of its customers

Public Purpose Surcharge - Charge to fund Public Purpose Programs such as California Alternate Rates for Energy (CARE), Energy Savings Assistance Program (ESAP), energy efficiency and research and development. CARE customers pay a reduced surcharge which excludes CARE program costs

Public Utilities Commission Notice - If you believe there is an error on your bill or have a question about your service, please call SoCalGas customer support at (800) 427-2200

If you are not satisfied with SoCalGas' response, submit a complaint to the California Public Utilities Commission (CPUC) by visiting www.cpuc.ca.gov/complaints/ Billing and service complaints are handled by the CPUC's Consumer Affairs Branch (CAB), 505 Van Ness Avenue, Room 2003, San Francisco CA 94102 phone:800-649-7570.

If you have limitations hearing or speaking, dial 711 to reach the California Relay Service, which is for those needing assistance relaying telephone conversations. Dial one of the numbers below to be routed to the California Relay Service provider in your preferred mode of communication

California Relay Service Phone Numbers:

| Type of Call | Language | Toll-Free 800 Number |
|--------------------------------|----------------------|----------------------|
| TTY/VCO/HCO to Voice | English | 1-800-735-2929 |
| | Spanish | 1-800-855-3000 |
| Voice to TTY/VCO/HCO | English | 1-800-735-2922 |
| | Spanish | 1-800-855-3000 |
| From or to Speech-to-Speech | English & Spanish | 1-800-854-7784 |

To avoid having service turned off while waiting for the outcome of a complaint to the CPUC specifically regarding the accuracy of your bill, please contact CAB for assistance. If your case meets the eligibility criteria, CAB will provide you instructions on how to mail a check or money order to be impounded pending resolution of your case. You must continue to pay your current charges while your complaint is under review to keep your service turned on

Other Important Phone Numbers



1-800-427-0478

For the following, call

Monday - Friday, 8 a.m. - 5 p.m.:

粤語電話 Cantonese 1-800-427-1420 한국어 전화 1-800-427-0471 Korean 國語 電話 1-800-427-1429 Mandarin

Self Service Options available 24

หอ่า TIÊNG VIỆT Vietnamese

hours a day, 7 days a week 1-800-772-5050 For information regarding payment arrangements, office locations, account balance, billing recap, duplicate bill and CARE applications for income qualified customers.

To locate underground cables & gas pipes, please call DigAlert, Monday-Friday, 6 a.m. - 7 p.m. . . . 8-1-1

Payment Options \$

Online: It's fast, easy and free. Just register or sign into My Account at https://myaccount.socalgas.com

Home banking: If you pay bills online through your bank, check with them, to see if you can receive your bill online.

Direct Debit: Have your payment automatically deducted from your account. For more information, call 1-800-427-2200 or visit socalgas.com

Pay by Phone: Call 1-800-427-2700 to enroll or, if already enrolled, call to authorize a payment from your checking account.

By Mail: Mail your check or money order, along with the payment stub at the bottom of your bill, in the enclosed envelope to SoCalGas, PO Box C, Monterey Park, CA 91756

ATM/Debit/Credit Card or Electronic Check: You can use most major ATM/debit cards, VISA and MasterCard credit cards, or the Electronic Check thru BillMatrix. A convenience fee is charged. Contact BillMatrix at 1-800-232-6629 or visit socalgas.com.

In Person: Pay in person at one of our conveniently located payment locations. To find the nearest location and hours of operation, call 1-800-427-2200 or visit socalgas.com.

SoCalGas Payment Locations

Authorize Payment Agencies - Call the Self Service Options number 1-800-772-5050 for the addresses of payment agencies in your area.

Company Offices

Alhambra, 333 E. Main St. Suite J Anaheim, 716 S. State College Blvd. Banning, 60 E. Ramsey St. #A Commerce, 5708 E. Whittier Blvd. Compton, 700 N. Long Beach Blvd. Corona, 341 S. Lincoln Ave. #A Covina, 932 N. Citrus Ave Delano, 1227 Jefferson St. Dinuba, 239 E. Tulare St. El Centro, 1111 W. Main St. El Monte, 11912 Valley Blvd., Suite B Fontana, 9781 Sierra Ave. #C Glendale, 919 S. Central Ave. #B Hanford, 321 N. Douty St., Suite B Hemet, 527 N. San Jacinto St.

Hollywood, 1811 Hillhurst Ave. Huntington Park, 5916 Pacific Blvd. Indio, 45123 Towne Ave. Inglewood, 3530 W. Century Blvd. Ste. 102 Lancaster, 2065 W. Avenue K. Lompoc, 128 S. "H" St Los Angeles, 3739 Crenshaw Blvd. #C Los Angeles, 4619 S Central Ave Los Angeles, 2522 N Daly St Ontario, 962 N. Mountain Ave. Oxnard, 1640 E. Gonzales Rd. Pasadena, 1214 E. Green St. #102 Pomona, 196 E. 3rd St. Porterville, 59 W. Thurman Ave.

Riverside, 7000 Indiana Ave. #105

San Bernardino, 1136 N. Mount Vernon Ave. #305 San Fernando, 444 S. Brand Blvd. Ste 101 San Luis Obispo, 2240 Emily St. Suite 140 San Pedro, 1851 N. Gaffey St. Suite A. Santa Ana, 738 S. Harbor Blvd Santa Barbara, 134 E. Victoria St Santa Fe Springs, 11516 Telegraph Rd. Santa Maria, 550 E. Betteravia Rd. Suite B South Gate, 3530 Tweedy Blvd. Van Nuys, 6550 Van Nuys Blvd Visalia, 1305 E. Noble Ave Watts, 1665 E. 103rd St. Wilmington, 929 N. Avalon Blvd.

Drop Box Location

Burbank, Public Service Department, 164 W. Magnolia



City of Marina

Economic Development Department – City Hall
211 Hillcrest Avenue
Marina, CA 93933
P: 831.384.3715
www.ci.marina.ca.us

CANNABIS BUSINESS EMPLOYEE/OWNER BACKGROUND APPLICATION

| | | | CAN | INABIS BUSIN | ESS | INFORM | ATION | | | | |
|--|-----------------------------------|---------------|--------------------|-----------------------|--------|---|-----------------|---------------|-----------|----------------|------------------------|
| CANI | NABIS BUSINESS NAME/ | DBA | | | IN . | IN THE BUSINESS, ARE YOU AN: (CHOOSE ONE) BADGE ID REQU | | | | GE ID REQUEST | |
| Pacific Roots Marina, LLC | | | | | | X Owner/ | Principal | Employee | е | | ID Request |
| BUSI | NESS ADDRESS, CITY, STA | ATE, ZIP CODE | | | | | | STATE BU | USINE | SS LICENSE | NUMBER (if known) |
| 31 | 00 Del Monte Bl | vd, Marina | a, CA 93933 | | | | | | | | |
| | | | | APPLICANT I | NFC | RMATIO | N | | | | |
| | Social Security No | umber | LAST NAME O | ON SOCIAL SECURITY CA | RD | FIRST NAME | ON SOCIAL SECU | JRITY CARD | MIDD | LE NAME O | N SOCIAL SECURITY CARD |
| | | | Marcian | 0 | | Alberto | | | Ab | raham | |
| | Driver's License # | /State | LAST NAM | E ON DRIVER'S LICENSE | | FIRST NAM | IE ON DRIVER'S | LICENSE | МІ | IDDLE NAME | ON DRIVER'S LICENSE |
| | Diver 3 Election | yotate | Marcian | 0 | | Alberto | | | Ab | raham | |
| | SEX | AGE | DATE O | OF BIRTH F | RACE | HEIGHT | WEI | GHT | | HAIR | EYES |
| > | 🛚 Male 🗌 Female | 60 | | Мо | roccor | 5'7" | 185 lbs | ; | Bro | own | Brown |
| LIST | YOUR CURRENT HOME A | DDRESS, CITY, | ZI. 0002 (<u></u> | XES ALLOWED) | | | | | | CEL | L PHONE # |
| | | | | | | | | | | | |
| 550 | any other names you i Marciano | HAVE EVER US | SED (Maiden, Mar | ried, Nicknames, etc. | .) | BIRTH COUNTRY/STATE LANGUAGES SPOKEN English, Hebrew, Spanish, Arabic | | | | | |
| Av | i Marciano | | | CRIMINA | LUI | STORY | | | | 1011, 11001011 | , epamen, ruaeis |
| | | List o | all arrests or co | onvictions other | | | s for traffic | violation | s. | | |
| | DDITIONAL SPACE | | | | | | | | | ADDITION | TION CAREELILLY |
| | FALSE OR MISLEA | | | | | | | | | | |
| | LICATION, SHALL B | | | | | | | | | | |
| | ARREST DATE | | ARRESTING AGEN | ICY / LOCATION / CO | URT N | IAME | REASON F | OR ARREST | / VIOL | ATION CO | DE |
| 1 | | | | | | | | | | | |
| 1 | DISPOSITION (WHAT WA | AS THE OUTCO | ME OF THE CASE: | Were you sentenced | l? Did | you have to p | oay a fine? Pro | bation? Par | ole? E | tc.) | |
| | ARREST DATE | | ADDECTING ACEN | ICY / LOCATION / CO | LIDTA | IANAE | DEASONE | OR ARREST | / \/ () | ATION CO | DE . |
| | ARREST DATE | | ARRESTING AGE | ici / LOCATION / CO | UKIN | IAIVIE | REASON F | OR ARREST | / VIOL | ATION CO | DE |
| 2 DISPOSITION (WHAT WAS THE OUTCOME OF THE CASE: Were you sentenced? | | | | | | you have to p | ay a fine? Pro | bation? Par | ole? E | tc.) | |
| | | | | | | | | 的 医人类型 | | V . O | |
| | DATE / THAT | 4.555 | ALCUME PAIS | CITY STAF | - | SE ONLY | CITY CT A SS | NAME | 200 | CITY | A DEDARTMENT |
| | DATE / TIME | \$ FEE A | AMOUNT PAID | RECEIPT | # | | CITY STAFF'S | NAME | | CITY | / DEPARTMENT |

| Company of the compan | | | CRIMINAL HIS | STORY (cont.) | | | | | |
|--|---|---|---|--|------------------------|----------------------------|---|--|--|
| | ARREST DATE | ARRESTING | AGENCY / LOCATION / COU | RT NAME | REASON | FOR ARREST | / VIOLATION CODE | | |
| 3 | DISPOSITION (WHAT WAS THE OUTC | OME OF TH | E CASE: Were you sentenced | ? Did you have to pa | y a fine? P | robation? Pa | erole? Etc.) | | |
| | ARREST DATE | ARRESTING | AGENCY / LOCATION / COU | RT NAME | REASON | FOR ARREST | / VIOLATION CODE | | |
| 4 | DISPOSITION (WHAT WAS THE OUTC | OME OF TH | E CASE: Were you sentenced | ? Did you have to pa | y a fine? P | robation? Pa | role? Etc.) | | |
| | ARREST DATE | ARRESTING | AGENCY / LOCATION / COU | RT NAME | REASON | FOR ARREST | / VIOLATION CODE | | |
| 5 | DISPOSITION (WHAT WAS THE OUTC | OME OF TH | ECASE: Were you sentenced | ? Did you have to pa | y a fine? P | robation? Pa | role? Etc.) | | |
| | | PR | IOR REGULATED CA | ANNABIS EMP | LOYER | S | | | |
| BUSII | NESS NAME | CITY / STA | ſE | PHONE | STA | RT DATE | END DATE | | |
| Mo | dern Buds | Long I | Beach, CA | 562-588-90 | 19 0° | 1/2019 | Present | | |
| Ser Timberles | | 7 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 | | | | | | | |
| LDEC | LARE UNDER THE PENALTY OF PERJUI | BY LINDER | STATEMENT | A STATE OF THE STA | HE EOREG | OING IS TRUI | E AND CORRECT TO THE BEST OF MY | | |
| | VLEDGE, | TI, ONDER | | | | 1 | | | |
| | APPLICANT SIGNATURE | > | JOB TITLE (POSITIO | N ON THE APPLICATI | ION) | | DATE | | |
| × | C 1 | * | Co-Owner | | | 05/23 | /2019 | | |
| | CRIMINA | L BACK | GROUND & CREDIT | HISTORY INV | /ESTIG/ | ATION R | ELEASE | | |
| То | Whom It May Concern: | | | | | | | | |
| of info | the City of Marina, and/or his/ ormation in this application for t | her agent he purpos | s, employee or lawful re e of conducting a crimina | epresentative(s) to I background chec | o take m ck to veri | ny photogra fy that I m | e City Manager, or Chief of Police aph and fingerprints or use the eet the qualifications required to d by the City Municipal Code and | | |
| | gree to provide any information r reau of Investigation, or any other | - | | | | - | rtment of Justice and the Federal Manager or Chief of Police. | | |
| the ma obt | I understand this will serve to disclose any record of arrests to which I have been the subject that resulted in conviction. I further agree to hold the City of Marina its officers, agents, or lawfully delegated representatives, harmless from any action(s) or damages whatsoever or at all which may result from the taking of such fingerprints or forwarding them to the appropriate law enforcement agency for a record check and/or obtaining access to any other documentation which pertains to meeting the qualification for a Commercial Cannabis Business Permit or Employee Permit. | | | | | | | | |
| | Furthermore, I hereby authorize the City Manager or Chief of Police of the City and/or his/her agents, employee or lawful representative(s) to obtain and review my consumer credit report and/or any other credit related information pertaining to me. | | | | | | | | |
| and | | | | | | | . I also understand that falsifying rmination of employment per the | | |
| APF | PLICANT SIGNATURE | | APPLICANT NAME (PRINT) | | | DATE | (1112) | | |
| | | | Alberto Marciano | | | 05/2 | 3/2019 | | |



| REQUEST FOR LI | VE SCAN SERVICE |
|--|---|
| Applicant Submission | |
| A1300 DRI (Code assigned by DOJ) | LICENSE Authorized Applicant Type |
| Type of License/Certification/Permit OR Working Title (Maximum 30 characters | s - If assigned by DOJ, use exact fits assigned) |
| Contributing Agency Information: | |
| MARINA POLICE DEPARTMENT | 00422 |
| Agency Authorized to Receive Criminal Record Information | Mail Code (five-digit code assigned by DOJ) |
| 211 HILLCREST AVE Street Address or P.O. Box | MARIA ESPARZA |
| | Contact Name (mandatory for all school submissions) |
| VIARINA CA 93933 City State ZIP Code | (831) 884-1293 Contact Telephone Number |
| Applicant Information: | |
| Marciano | Alberto A. |
| ast Name | First Name Middle Initial Suffi |
| ther Name Marciano | Avi |
| KA or Alias) Last | First |
| ate of Birth Sex Male Female | |
| | Driver's License Number |
| 5'7" 185lbs Brown Brown Hair Color Hair Color | Biling |
| | Number (Agency Billing Number) |
| ace of Birth (State of Country) Social Security Number | Misc. Number |
| * | (Other Identification Number) |
| me dress sineer enginess on P. A. Bus | |
| HOW LONG AT RESIDENCE | PHONE # State ZIP Code |
| UF Number: OCA Number (Agency Identifying Number) | Level of Service: DOJ FBI |
| e-submission, list original ATI number: ust provide proof of rejection) | Original ATI Number |
| ployer (Additional response for agencies specified by statute): | |
| loyer Name | Mail Code (five digit code assigned by DOJ) |
| | |
| et Address or P.O. Box | |
| State ZIP Code | Telephone Number (optional) |
| Scan Transaction Completed By: Of Operator Of Operator | 5/20/19 108- Date 14/MD0319 A |
| nitting Agency LSID | ATI Number Amount Collected/Billed |
| | Amount conected billed |





DATE MAILED May 14, 2019 24 Hour Service

1-800-427-2200 English

1-800-342-4545 Español

1-800-252-0259 TTY

socalgas.com

Account Summary

Amount of Last Bill Payment Received

Current Charges **Total Amount Due** 04/29/19

THANK YOU

4.77

Charge

This bill reflects modified gas charges due to a rate change.

Current Charges

Rate: GR - Residential

Climate Zone: 1

Baseline Allowance: 37 Therms

Meter Number: 13457791 (Next scheduled read date Jun 11 2019)

Cycle: 8

| Billing Period | Days | Current Reading | - 6 | Previous Reading | = | Difference | х | Billing Factor | = | Total Therms |
|---------------------|------|--------------------|-----|---------------------|---|------------|---|----------------|---|--------------|
| 04/11/19 - 05/10/19 | 29 | 29220 | 2 | 29036 | | 184 | | 1.027 | | 189 |

GAS CHARGES Amount(\$) **Customer Charge** 29 Days x \$.16438

Gas Service (Details below)

189 Therms

Baseline Over Baseline Therms used 37 152 Rate/Therm \$.94486 \$1.27842

> \$34.96 + \$194.32

TAXES & FEES ON GAS CHARGES

State Regulatory Fee 189 Therms x \$.00247 Public Purpose Surcharge 189 Therms x \$.10060

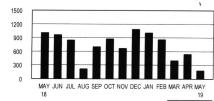
Total Taxes and Fees on Gas Charges 🗬

Total Current Charges

Total Gas Charges

DATE DUE Jun 4, 2019 **AMOUNT DUE**

Gas Usage History (Total Therms used)



| | May 18 | Apr 19 | May 19 |
|-----------------------|--------|--------|--------|
| Total Therms used | 1,016 | 544 | 189 |
| Daily average Therms | 35.0 | 18.8 | 6.5 |
| Days in billing cycle | 29 | 29 | 29 |
| | | | |

CARE to save? Income-qualified customers may receive a 20% discount through the CARE program. Visit socalgas.com/care for details.

¿Quiere ahorrar? El programa CARE ofrece un 20% de descuento en la factura mensual a clientes elegibles. Visite socalgas.com/careparami para más información.

Attractive finance rates are available for home energy improvements. Visit socalgas.com/financing

SoCalGas' gas commodity cost per therm for your billing period:

May.

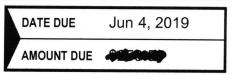
Apr.

PLEASE KEEP THIS PORTION FOR YOUR RECORDS. (FAVOR DE GUARDAR ESTA PARTE PARA SUS REGISTROS. PLEASE RETURN THIS PORTION WITH YOUR PAYMENT. (FAVOR DE DEVOLVER ESTA PARTE CON SU PAGO.)



Save Paper & **Postage** PAY ONLINE socalgas.com

ACCOUNT NUMBER



Please enter amount enclosed.

Write account number on check and make payable to SoCalGas.

AVI MARCIANO

SoCalGas PO BOX C MONTEREY PARK CA 91756-5111

1-800-252-0259 TTY

AMOUNT DUE

Jun 4, 2019



1-800-427-2200 English 1-800-342-4545 Español

socalgas.com

SoCalGas **Policies and Notices**

Electronic Check Processing - When you pay your bill by check, you authorize us to electronically process your payment. If your check is processed electronically, your checking account may be debited on the same day we receive the check. Your check will not be returned by your bank, however, the transaction will appear on your bank statement. If you do not wish to participate in this program, please have your account number ready and call 1-877-272-3303.

Closing your Gas Service - We require two (2) working days and access to the meter to close your gas service.

Information about Deposits

Amount of Deposit - The amount of deposit required to establish or re-establish service credit is twice the estimated average

Return of Deposit/Interest on Deposit - This deposit, together with any interest due, less the amount of any unpaid bills, will normally be returned either on discontinuance of service or after the deposit has been held for twelve (12) consecutive months, during which time continuous gas service has been received and all bills for such service have been paid in accordance with the rules in effect and filed with the Public Utilities Commission of the State of California. No Interest will be paid if the service is temporarily or permanently discontinued for non-payment of bills.

Billing Term Definitions

Baseline - Amount of gas billed at the lowest residential rate.

Billing Factor - Adjusts for differences in elevation, delivery pressure and the heating content of gas.

Climate Zone - Weather zone in which a customer lives. Colder zones receive more baseline allowance

State Regulatory Fee - A fee used to fund the California Public Utilities Commission. Each customer's fee is determined by the number of therms used.

Gas Commodity Charge - Cost of gas purchased by SoCalGas on behalf of its customers

Public Purpose Surcharge - Charge to fund Public Purpose Programs such as California Alternate Rates for Energy (CARE), Energy Savings Assistance Program (ESAP), energy efficiency and research and development. CARE customers pay a reduced surcharge which excludes CARE program costs.

Public Utilities Commission Notice - If you believe there is an error on your bill or have a question about your service, please call SoCalGas customer support at (800) 427-2200.

If you are not satisfied with SoCalGas response, submit a complaint to the California Public Utilities Commission (CPUC) by visiting www.cpuc.ca.gov/complaints/. Billing and service complaints are handled by the CPUCs Consumer Affairs Branch (CAB), 505 Van Ness Avenue, Room 2003, San Francisco CA 94102, phone:800-649-7570

If you have limitations hearing or speaking, dial 711 to reach the California Relay Service, which is for those needing assistance relaying telephone conversations. Dial one of the numbers below to be routed to the California Relay Service provider in your preferred mode of communication

California Relay Service Phone Numbers:

| Type of Call | Language | Toll-Free 800 Number |
|--------------------------------|----------------------|----------------------|
| TTY/VCO/HCO to Voice | English | 1-800-735-2929 |
| | Spanish | 1-800-855-3000 |
| Voice to TTY/VCO/HCO | English | 1-800-735-2922 |
| | Spanish | 1-800-855-3000 |
| From or to Speech-to-Speech | English & Spanish | 1-800-854-7784 |

To avoid having service turned off while waiting for the outcome of a complaint to the CPUC specifically regarding the accuracy of your bill, please contact CAB for assistance. If your case meets the eligibility criteria, CAB will provide you instructions on how to mail a check or money order to be impounded pending resolution of your case. You must continue to pay your current charges while your complaint is under review to keep your service turned on.

Other Important Phone Numbers 🌃

Mandarin



For the following, call

Monday - Friday, 8 a.m. - 5 p.m.:

粤語電話 Cantonese

1-800-427-1420 1-800-427-0471

한국어 전화 Korean 國語 電話

1-800-427-1429

NÓI TIỂNG VIỆT Vietnamese

1-800-427-0478

Self Service Options available 24

hours a day, 7 days a week 1-800-772-5050 For information regarding payment arrangements, office locations, account balance, billing recap, duplicate bill and CARE applications for income qualified customers.

To locate underground cables & gas pipes, please call DigAlert,

Monday-Friday, 6 a.m. - 7 p.m. . . . 8-1-1

Payment Options \$

Online: It's fast, easy and free. Just register or sign into My Account at https://myaccount.socalgas.com

Home banking: If you pay bills online through your bank, check with them, to see if you can receive your bill online

Direct Debit: Have your payment automatically deducted from your account. For more information, call 1-800-427-2200 or visit socalgas.com

Pay by Phone: Call 1-800-427-2700 to enroll or, if already enrolled, call to authorize a payment from your checking account.

By Mail: Mail your check or money order, along with the payment stub at the bottom of your bill, in the enclosed envelope to SoCalGas, PO Box C, Monterey Park, CA 91756

ATM/Debit/Credit Card or Electronic Check: You can use most major ATM/debit cards, VISA and MasterCard credit cards, or the Electronic Check thru BillMatrix. A convenience fee is charged. Contact BillMatrix at 1-800-232-6629 or visit socalgas.com.

In Person: Pay in person at one of our conveniently located payment locations. To find the nearest location and hours of operation, call 1-800-427-2200 or visit socalgas.com.

SoCalGas Payment Locations

Authorize Payment Agencies - Call the Self Service Options number 1-800-772-5050 for the addresses of payment agencies in your area.

Company Offices

Alhambra, 333 E. Main St. Suite J Anaheim, 716 S. State College Blvd. Banning, 60 E. Ramsey St. #A Commerce, 5708 E. Whittier Blvd. Compton, 700 N. Long Beach Blvd. Corona, 341 S. Lincoln Ave. #A Covina, 932 N. Citrus Ave. Delano, 1227 Jefferson St. Dinuba, 239 E. Tulare St. El Centro, 1111 W. Main St. El Monte, 11912 Valley Blvd., Suite B Fontana, 9781 Sierra Ave. #C Glendale, 919 S. Central Ave. #B Hanford, 321 N. Douty St., Suite B Hemet, 527 N. San Jacinto St.

Hollywood, 1811 Hillhurst Ave. Huntington Park, 5916 Pacific Blvd. Indio, 45123 Towne Ave.
Inglewood, 3530 W. Century Blvd. Ste. 102 Lancaster, 2065 W. Avenue K Lompoc, 128 S. "H" St. Los Angeles, 3739 Crenshaw Blvd. #C Los Angeles, 4619 S. Central Ave. Los Angeles, 2522 N. Daly St. Ontario, 962 N. Mountain Ave. Oxnard, 1640 E. Gonzales Rd. Pasadena, 1214 E. Green St. #102 Pomona, 196 E. 3rd St. Porterville, 59 W. Thurman Ave. Riverside, 7000 Indiana Ave. #105

San Bernardino. 1136 N. Mount Vernon Ave. #305 San Fernando, 444 S. Brand Blvd. Ste.101 San Luis Obispo, 2240 Emily St. Suite 140 San Pedro, 1851 N. Gaffey St. Suite A Santa Ana, 738 S. Harbor Blvd. Santa Barbara, 134 E. Victoria St. Santa Fe Springs, 11516 Telegraph Rd. Santa Maria, 550 E. Betteravia Rd. Suite B South Gate, 3530 Tweedy Blvd. Van Nuys, 6550 Van Nuys Blvd. Visalia, 1305 E. Noble Ave. Watts, 1665 E. 103rd St. Wilmington, 929 N. Avalon Blvd.

Drop Box Location

Burbank, Public Service Department, 164 W. Magnolia



City of Marina

Economic Development Department – City Hall
211 Hillcrest Avenue
Marina, CA 93933
P: 831.384.3715
www.ci.marina.ca.us

CANNABIS BUSINESS EMPLOYEE/OWNER BACKGROUND APPLICATION

| CANNABIS BUSINESS INFORMATION | | | | | | | | | | | | | |
|---|--|---------------------------------|------------------------------|-------------------------------------|--|--|--|--|--|--|--|--|--|
| CANNABIS BUSINESS NAME/DBA | | | ESS, ARE YOU AN: (CHOOSE | ONE) BADGE ID REQUEST | | | | | | | | | |
| | ots MARINA | t Xown | er/Principal 🗆 Employe | e 🔲 ID Request | | | | | | | | | |
| BUSINESS ADDRESS, CITY, STATE, ZIP COD | | | | USINESS LICENSE NUMBER (if known) | | | | | | | | | |
| 3100 Del Monte BlvD, Marina, CA93933 | | | | | | | | | | | | | |
| APPLICANT INFORMATION | | | | | | | | | | | | | |
| Social Security Number | LAST NAME ON SOCIAL SECURI | TY CARD FIRST NA | ME ON SOCIAL SECURITY CARD | MIDDLE NAME ON SOCIAL SECURITY CARD | | | | | | | | | |
| | = Gesicki | 130 | andon | MICHAEL | | | | | | | | | |
| Driver's License #/State | LAST NAME ON DRIVER'S LIC | | AME ON DRIVER'S LICENSE | MIDDLE NAME ON DRIVER'S LICENSE | | | | | | | | | |
| | = Gesicui | 150 | andon | MICHAEL | | | | | | | | | |
| SEX AGE | DATE OF BIRTH | RACE HEIGH | | HAIR 2 EYES | | | | | | | | | |
| Male Female 46 | | 6'/ | 240 | Red Blue | | | | | | | | | |
| LIST YOUR CURRENT HOME ADDRESS, CIT | Y, ZIP CODE (<u>NO P_IO. BOXES ALLON</u> | (ED) | | CELL PHONE # | | | | | | | | | |
| LIST ANY OTHER NAMES YOU HAVE EVER | LICEN (Adalahan Adalahan Allahan | -1-6 | | | | | | | | | | | |
| WO | USED (Maiden, Married, Nicknames | , etc.) | BIRTH COUNTRY/STATE | ENGLISH | | | | | | | | | |
| | CDIMI | NAL HISTORY | | enocisii | | | | | | | | | |
| List | all arrests or convictions of | | ons for traffic violation | 16 | | | | | | | | | |
| | | | | | | | | | | | | | |
| IF ADDITIONAL SPACE IS NEEDE ANY FALSE OR MISLEADING STA | D, ATTACH EXTRA SHEETS T | TO THIS APPLICATION THE APPLICA | TION. PLEASE READ | THE APPLICATION CAREFULLY. | | | | | | | | | |
| APPLICATION, SHALL BE GROUND | | IN THIS APPLICA | HON OR ON THE CON | IMERCIAL CANNABIS BUSINESS | | | | | | | | | |
| ARREST DATE | ARRESTING AGENCY / LOCATION | / COURT NAME | REASON FOR ARREST | /VIOLATION CODE | | | | | | | | | |
| A MATERIAL PASALET | - AMESTING AGENCITY EGGNITOR | COOKT NAIME | NEASON FOR ARREST | / VIOLATION CODE | | | | | | | | | |
| DISPOSITION (WHAT WAS THE OUTC | OME OF THE CASE: Were you sente | nced? Did you have | o pay a fine? Probation? Par | role? Etc.) | | | | | | | | | |
| | | • | | | | | | | | | | | |
| ARREST DATE | ARRESTING AGENCY / LOCATION | COURT NAME | REASON FOR ARREST | / VIOLATION CODE | | | | | | | | | |
| 2 | | | | | | | | | | | | | |
| DISPOSITION (WHAT WAS THE OUTCO | OME OF THE CASE: Were you sente | nced? Did you have | o pay a fine? Probation? Par | ole? Etc.) | | | | | | | | | |
| | CITY CT | AFF LICE CALL | , | | | | | | | | | | |
| DATE / TIME \$ FEE | | AFF USE ONL | CITY STAFF'S NAME | CITY DEPARTMENT | | | | | | | | | |
| | The base of the ba | | CITT SIMIL SIMILE | on the range | | | | | | | | | |
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| | | CRIMINALHIS | TORY (cont.) | | | | | | | | | |
|---|---|--------------------------------------|--|-----------------------|---------------------------------|--|--|--|--|--|--|--|
| | ARREST DATE | ARRESTING AGENCY / LOCATION / COU | Service Servic | REASON FOR ARREST | / VIOLATION CODE | | | | | | | |
| DISPOSITION (WHAT WAS THE OUTCOME OF THE CASE: Were you sentenced? Did you have to pay a fine? Probation? Parole? Etc.) | | | | | | | | | | | | |
| | ARREST DATE | ARRESTING AGENCY / LOCATION / COU | RT NAME | REASON FOR ARREST | / VIOLATION CODE | | | | | | | |
| 4 | DISPOSITION (WHAT WAS THE OUTCOME OF THE CASE: Were you sentenced? Did you have to pay a fine? Probation? Parole? Etc.) | | | | | | | | | | | |
| | ARREST DATE | ARRESTING AGENCY / LOCATION / COUL | RT NAME | REASON FOR ARREST | / VIOLATION CODE | | | | | | | |
| 5 | DISPOSITION (WHAT WAS THE OUTC | OME OF THE CASE: Were you sentenced | ? Did you have to pay | a fine? Probation? Pa | arole? Etc.) | | | | | | | |
| | | PRIOR REGULATED CA | NNABIS EMPL | OYERS. | | | | | | | | |
| BUSI | NESS NAME | CITY/STATE | PHONE | START DATE | END DATE | | | | | | | |
| | *** | | | | | | | | | | | |
| | | STATEMENT | OF PERJURY | | | | | | | | | |
| | LARE UNDER THE PENALTY OF PERJU VLEDGE. | RY, UNDER THE LAWS OF THE STATE OF C | CALIFORNIA, THAT THI | FOREGOING IS TRU | E AND CORRECT TO THE BEST OF MY | | | | | | | |
| . (| APPLICANT SIGNATURE JOB TITLE (POSITION ON THE APPLICATION) DATE S-30-19 | | | | | | | | | | | |
| | CRIMINA | L BACKGROUND & CREDIT | HISTORY INVE | STIGATION R | ELEASE | | | | | | | |
| Tol | Whom It May Concern: | | | | | | | | | | | |
| Lam | an applicant/employee of a Cor | nmercial Cannahis Rusiness in the C | ity of Marina I day | ira and racuses the | Ch. Manager of the Ch. I | | | | | | | |

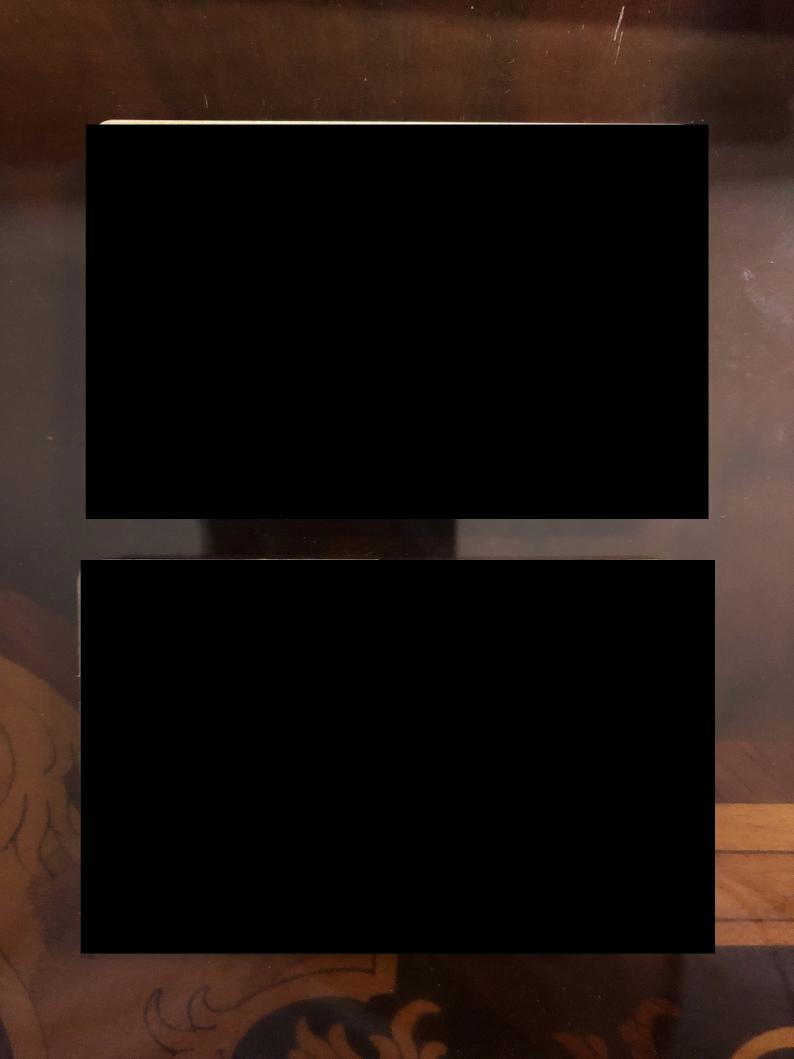
I am an applicant/employee of a Commercial Cannabis Business in the City of Marina. I desire and request the City Manager, or Chief of Police of the City of Marina, and/or his/her agents, employee or lawful representative(s) to take my photograph and fingerprints or use the information in this application for the purpose of conducting a criminal background check to verify that I meet the qualifications required to obtain a Commercial Cannabis Business Permit to operate or to be employed with such business as required by the City Municipal Code and State Law.

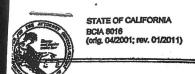
I agree to provide any information requested or deemed necessary to provide to the State of California Department of Justice and the Federal Bureau of investigation, or any other law enforcement agency or third-party consultant authorized by the City Manager or Chief of Police.

I understand this will serve to disclose any record of arrests to which I have been the subject that resulted in conviction. I further agree to hold the City of Marina its officers, agents, or lawfully delegated representatives, harmless from any action(s) or damages whatsoever or at all which may result from the taking of such fingerprints or forwarding them to the appropriate law enforcement agency for a record check and/or obtaining access to any other documentation which pertains to meeting the qualification for a Commercial Cannabis Business Permit or Employee Permit.

Furthermore, I hereby authorize the City Manager or Chief of Police of the City and/or his/her agents, employee or lawful representative(s) to obtain and review my consumer credit report and/or any other credit related information pertaining to me.

By signing this form, I acknowledge and agree to comply with all the conditions and terms of this application. I also understand that falsifying and/or omitting any information on this application may be grounds for denial of a permit or is grounds for termination of employment per the Marina Ordinance.





| 1 | THE RESERVE | | | | | | - | - | - | | | | - | |
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| Applicant Submission | |
|--|---|
| | Lina |
| \1300 \RI (Code assigned by DOJ) | LICENSE Authorized Applicant Type |
| 1 · 1 | |
| ype of License/Certification/Permit OR Working Title (Maximum 30 characters | - if assigned by DOJ, use exact title assigned) |
| contributing Agency Information: | |
| ARINA POLICE DEPARTMENT | 00422 |
| gency Authorized to Receive Criminal Record Information | Mail Code (five-digit code assigned by DOJ) |
| 211 HILLCREST AVE | MARIA ESPARZA |
| street Address or P.O. Box | Contact Name (mandatory for all school submissions) |
| AARINA CA 93933 | (831) 884-1293 Contact Telephone Number |
| | Contact Telephone Number |
| pplicant Information: | Brandon |
| 3esicki | Brandon |
| ast Name | First Name Middle Initial Suffix |
| ther Name AKA or Alias) Last | First Suffix |
| , | |
| Pate of Birth Sex X Male Female | Driver's License Number |
| 3'1" 240 lbs Blue Red | Billing |
| leight Weight Eye Color Hair Color | Number |
| | (Agency Billing Number) Misc. |
| race of Birtin (State of Country) Social Security Number | Number (Other Identification Number) |
| | (One: Permission Lympe) |
| ome ddress Street Address or P.O. Box | City State ZIP Code |
| HOW LONG AT RESIDENCE 6 years | PHONE # |
| | |
| 'our Number: | Level of Service: X DOJ X FBI |
| OCA Number (Agency Identifying Number) | * *** *** *** *** *** *** *** *** *** |
| | • |
| re-submission, list original ATI number: | Original ATI Number |
| Vlust provide proof of rejection) | |
| insulation (Additional management of a paper in a paper in the last that | |
| imployer (Additional response for agencies specified by statute): | 1 |
| imployer Name | Mail Code (five digit code assigned by DOJ) |
| inployer Name | Mail Code (five digit code assigned by DO3) |
| treet Address or P.O. Box | |
| accountations of Fig. Box | |
| ity State ZIP Code | Telephone Number (optional) |
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| ve Scan Transaction Completed By: MEDPARK Imme of Operator Ansmitting Agency LSID | 5/20/19 1/40GEB320 168 |



to Finance
Statement Date: 05/24/20

Capital One Auto Finance PO Box 60511 City of Industry, CA 91716-0511 1-800-946-0332

YOUR MONTHLY STATEME

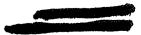
BRANDON M GESICKI

000036448

P305

Account Number: Vehicle:

ACCOUNT STATUS



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Page 1 c

վինչուրներկիցինիստինցինըակիրունին Հ

YOUR PAYMENT INFORMATION

Past Due Payment(s) Total Unpaid Late Fees Total Unpaid Other Fees Current Due Payment

Total Due Due Date

06/14/2019

HOW TO MAKE A PAYME!



PAY ONLINE www.capitalone.com



PAY BY PHONE Call 1-800-946-0332 Mon - Fri, 8:00 a.m. - 9:00 p.m. E



PAY BY MAIL
Capital One Auto Finance
PO Box 60511
City of Industry, CA 91716-0511



INVOICE

Customer ID:

Customer Name: Service Period: Invoice Date: Invoice Number: 12-039

BRA JAN-FEB

924

How To Contact Us

Visit wm.com

To setup your online profile, sign up for paperless statements, manage your account, view holiday schedules, pay your invoice or schedule a pickup





Customer Service: (800) 321-8226

Your Payment Is Due

Due Upon Receipt

If full payment of the invoiced amount is not received within 60 days of the invoice date, you will be charged a monthly late charge of 1.5% of the unpaid amount, with a minimum monthly charge of \$5, or such late charge allowed under applicable law, regulation or contract.

Your Total Due

\$88.89

See Reverse for Important

Previous Balance

88.89

Payments

(88.89)

Adjustments

0.00

Current Charges

88.89

=

10

Details for Service Location:

Gesicki, Brandon,

Customer ID:

+

| Description | | | | |
|--|----------|--|----------|-------------|
| 3E College and the second seco | Date | Ticket | Quantity | |
| 35 Gallon cart service | 01/01/19 | THE PERSON NAMED IN COLUMN TWO IS NOT THE PERSON OF THE PE | 1.00 | 4.4.4 |
| 96 Gallon cart service - green waste | 01/01/19 | | 1.00 | |
| 96 Gallon cart service - recycle materials | 01/01/19 | | 1.00 | |
| Total Current Charges | | | 1.00 | *********** |



City of Marina

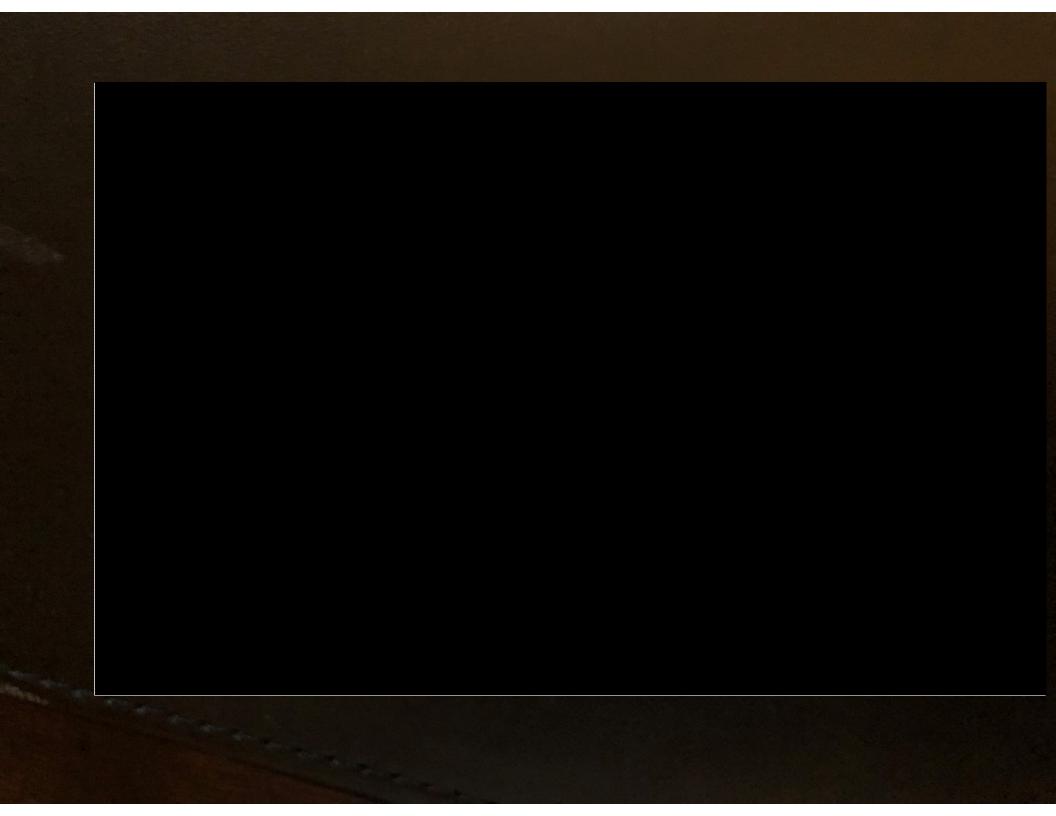
Economic Development Department – City Hall
211 Hillcrest Avenue
Marina, CA 93933
P: 831.384.3715
www.ci.marina.ca.us

CANNABIS BUSINESS EMPLOYEE/OWNER BACKGROUND APPLICATION

| | | | CAN | INABIS BUSI | NESS INF | ORMA | TION | | | | | |
|------|--|------------------|------------|----------------------|---------------------------|------------|--------------------------|-------------|------------|----------------------|--|--|
| CANI | NABIS BUSINESS NAME/DBA | | , | | IN THE B | USINESS, | ARE YOU AN: (CHOOSE | ONE) | BADO | GE ID REQUEST | | |
| | Pacific | E Ran | 15 | | ⊘ C | wner/Pr | incipal 🗌 Employe | е | Ø | ÎD Request | | |
| BUSI | NESS ADDRESS, CITY, STATE, | ZIP CODE | , | | | | STATE B | USINES | SS LICENSE | NUMBER (if known) | | |
| | 3100 fel Monte Blad Marsha, CA 9323 | | | | | | | | | | | |
| | | | | APPLICANT | INFORM | ATION | | | | | | |
| | Casial Cassuits Novel | LA | AST NAME (| ON SOCIAL SECURITY | CARD FIRS | NAME ON | SOCIAL SECURITY CARD | MIDD | LE NAME ON | SOCIAL SECURITY CARD | | |
| | Social Security Numb | er | Jo | hason | | Ha | ron | | P. | | | |
| | | \Rightarrow | LAST NAM | IE ON DRIVER'S LICEN | NSE F | RST NAME | ON DRIVER'S LICENSE | MI | DDLE NAME | ON DRIVER'S LICENSE | | |
| | | ⇒ _ | Joh | inson | | Ha | ron | | Phi// | jp dj | | |
| | SEX ✓Male □ Female ✓ | AGE / | | | RACE H | EIGHT | WEIGHT 2 05 | | HAIR RN | EYES 42 C | | |
| | | | | | | | | | | | | |
| LIST | ANY OTHER NAMES YOU HAV | /E EVER USED (Ma | iden, Mar | ried, Nicknames, e | tc.) | gi | RTH COUNTRY/STATE | | LANGU | AGES SPOKEN | | |
| | NA | | | | | 4 | 2_ | 2 | ng/13 | 4 | | |
| | • | | | CRIMIN | IAL HISTO | RY | | | 0 | | | |
| | | List all arre | ests or co | onvictions othe | er than infr | actions | for traffic violatior | 1 <u>5.</u> | | | | |
| AN۱ | DDITIONAL SPACE IS I FALSE OR MISLEADIN LICATION, SHALL BE G | IG STATEMENT | rs, or c | OMISSIONS ON | | | | | | | | |
| | | | | | COLUBE NIABAS | | DEACON FOR ADDECT | . / \ // 01 | ATION CO. | \r | | |
| 1 | ARREST DATE N BN | | IING AGEN | NCY / LOCATION / 0 | COURT NAME | | REASON FOR ARREST | / VIOL | ATION COL | JE | | |
| • | DISPOSITION (WHAT WAS TI | HE OUTCOME OF 1 | THE CASE: | Were you sentend | ed? Did yo u h | ave to pay | y a fine? Probation? Pai | role? E | tc.) | | | |
| | ARREST DATE | ARREST | TING AGEN | NCY / LOCATION / O | COURT NAME | | REASON FOR ARREST | / VIOL | ATION COL | DE | | |
| | | | | | | | | | | | | |
| 2 | DISPOSITION (WHAT WAS TI | HE OUTCOME OF 1 | THE CASE: | Were you sentend | ed? Did yo u h | ave to pa | y a fine? Probation? Pai | role? E | tc.) | | | |
| | | | | CITY STA | FF USE O | NLY_ | | | | | | |
| | DATE / TIME | \$ FEE AMOUNT | T PAID | RECEIP | | | CITY STAFF'S NAME | | CITY | DEPARTMENT | | |

| | | CRIM | INAL HISTORY (co | nt.) | | | | | | | | |
|-----------|---|--|--|--|------------------------------------|--|--|--|--|--|--|--|
| | ARREST DATE | ARRESTING AGENCY / LOCA | REASON FOR A | RREST / VIOLATIO | N CODE | | | | | | | |
| 3 | | | | | | | | | | | | |
| | DISPOSITION (WHAT WAS THE OUTCOME OF THE CASE: Were you sentenced? Did you have to pay a fine? Probation? Parole? Etc.) | | | | | | | | | | | |
| | ARREST DATE | ARRESTING AGENCY / LOCA | TION / COURT NAME | REASON FOR A | RREST / VIOLATIO | N CODE | | | | | | |
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| То | Whom It May Concern: | | | | | | | | | | | |
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| ob | tain a Commercial Cannabi | s Business Permit to operate o | | | | | | | | | | |
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| | | ation requested or deemed ned y other law enforcement agency | | | | | | | | | | |
| the ma | e City of Marina its officers, by result from the taking o | disclose any record of arrests to agents, or lawfully delegated re if such fingerprints or forwardi er documentation which pertai | epresentatives, harmlessing them to the approp | from any action(s) riate law enforcem | or damages what nent agency for | atsoever or at all which a record check and/or | | | | | | |
| | nployee Permit. | | | | | | | | | | | |
| | | ize the City Manager or Chief o er credit report and/or any oth | • | | | ful representative(s) to | | | | | | |
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| AP | PLICANT SIGNATURE | APPLICANT NA | ME (PRINT) | | DATE | | | | | | | |
| | [[]] | | RICAN TO | huson | 6- | 3-/9 | | | | | | |







| | REQUEST FO | R LIVE SCAN SERVICE | |
|---|---|--|----------------------------|
| Applicant Submission | | | |
| A1300 ORI (Code assigned by DOJ) | | License Authorized Applicant Type | |
| Type of License/Certifical | On/Permit OR Working Title (Maximum 30 ch | seracters – If easigned by DOJ, use exect life assigned) | |
| Contributing Agency In | | | |
| MARINA POLICE DEP | ARTMENT | 00422 | |
| | e Criminal Record Information | Mail Code (five-digit code assigned by D MARIA ESPARZA | ω) |
| 211 HILLCREST AVE Street Address or P.O. Box | | Contact Name (mandatory for all school | submissions) |
| MARINA | CA 93933 | (831) 884-1293 | |
| City | State ZIP Code | Contact Telephone Number | |
| Applicant Information: | | | |
| Johnson | | Aaron | P. |
| Last Name | | First Name | Middle Initial Suffix |
| Other Name | | Firet | Suffix |
| AKA or Alias) Lasi | | | SMIX |
| | Sex Male Female | Driver's License Number | |
| 5'-11" 205 | Hazel Brown | Billing | • |
| leight Weight | Eye Color Hair Color | Number (Agency Billing Number) | |
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| Place of Birth (State or Country |) Social Security Number | Number (Other Identification Number) | |
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| oloyer (Additional respo | onse for agencies specified by sta | itute): | |
| JRG Attorneys at La | w | | |
| loyer Name | | Mail Code (five digit code assigned t | ok DOY) |
| 318 Cavuga Street | | s . | |
| 318 Cayuga Street t Address or P.O. Box | | formation . | |
| Salinas | CA 93901 | | |
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CALIFORNIA UTILITIES SERVICE

P.O. Box 5100 Salinas, CA 93915 Telephone (24Hrs): (831) 424-0442 Fax: (831) 424-0611 email: mail@calutilities.com

AARON & LEA JOHNSON

PRINT DATE: 04/30/2019

BILLING CYCLE:

4/30/2019-5/31/2019

DAYS:

31

DUE DATE:

05/10/2019

THIS BILL CONTAINS A PAST DUE BALANCE. A LATE FEE OF \$5.00 OR 1.5% OF THE UNPAID BALANCE HAS BEEN ADDED TO YOUR CURRENT BALANCE. PLEASE PAY THIS BILL BY THE DUE DATE TO AVOID ANY FURTHER LATE FEES.

| | the state of the s |
|--|--|
| ACCOUNT: NAME: SERVICE ADDRESS: | AARON (LOHNSON 22281 TORO HILLS DR |
| CURRENT CHARGES: SEWER CHARGE PPBA 2YR PPEO PUC SCHG FEE (1.23%) LATE CHARGE | \$133.86 \$4.39 \$2.07 \$1.73 \$5.00 |
| TOTAL CURRENT AMOUNT PAST DUE AMOUNT | \$147.05 \$139.01 |
| TOTAL AMOUNT DUE AND | PAYABLE \$286.06 |

*Per California Public Utilities Commission (CPUC) Resolution No, M-4839 and via CUS's Advice Letter No. 69, the CPUC has authorized CUS to reduce the PUC Surcharge Fee (which was previously 1.4%) to 1.23%.

*Per CPUC Decision 92-03-093 and Resolutions W-4493 and W-4540, and per CUS's Advice Letter No. 70, the CPUC has authorized CUS to increase its monthly rates

by 1.9% to offset the 2018 Consumer Price Index (CPI).
*Per PU Code Section 792.5 and GO 96-B Water Industry Rules 7.3.1(7) and 8.4, and via CUS's Advice Letter No. 71, the CPUC has authorized CUS to collect a

Purchased Power Expense Offset (PPEO) surcharge in the amount of \$2.07 per EDU per month.

*Per PU Code Section 792.5 and GO 96-B Water Industry Rules 7.3.1(1) and 8.5, and via CUS's Advice Letter No. 72, the CPUC has authorized CUS to collect a Purchased Power Balancing Account (PPBA 2YR) surcharge in the amount of \$4.39 per EDU per month for 24 months (2 years).

PAYMENTS MADE IN PERSON MAY BE MADE BY CHECK, CASH, MONEY ORDER OR CERTIFIED FUNDS AT CALIFORNIA UTILITIES SERVICE'S OFFICE AT 249 WILLIAMS ROAD, SALINAS, CA. YOU CAN PARK AND ENTER THE BUILDING (FOR PAYMENTS AND ALL OTHER SERVICES) OR USE OUR CONVENIENT DRIVE-THROUGH WINDOW (FOR PAYMENTS ONLY) DURING OUR REGULAR BUSINESS HOURS (MONDAY THROUGH FRIDAY 8 AM TO 5 PM AND SATURDAY 9 AM TO 1 PM).

PAYMENTS MADE BY MAIL MAY BE MADE BY CHECK, MONEY ORDER OR CERTIFIED FUNDS (PLEASE DO NOT SEND CASH IN THE MAIL) TO CALIFORNIA UTILITIES SERVICE, P.O. BOX 5100, SALINAS, CA 93915-5100.

AFTER-HOURS PAYMENTS MAY ALSO BE MADE BY CHECK, MONEY ORDER OR CERTIFIED FUNDS BY USING CALIFORNIA UTILITIES SERVICE'S CONVENIENT NIGHT DROP BOX LOCATED ON THE DRIVE-THROUGH SIDE OF THE BUILDING AT 249 WILLIAMS ROAD, JUST PAST THE DRIVE-THROUGH WINDOW, PLEASE MAKE SURE THAT YOU INCLUDE YOUR PAYMENT STUB ALONG WITH YOUR PAYMENT OR INDICATE THE SERVICE ADDRESS AND/OR ACCOUNT NUMBER FOR WHICH YOU ARE REMITTING PAYMENT. PLEASE DO NOT DROP CASH IN THE NIGHT DROP BOX.





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JUNE 1, 2019

It is with great pride and enthusiasm that we submit Pacific Roots Marina's ("Pacific Roots") application for dispensary permits in the City of Marina. As a small business deeply rooted in Monterey County, Pacific Roots is the perfect applicant to establish a dispensary that is safe, tasteful, and compatible with the local community and its values.

Pacific Roots is a locally owned and managed business. Fifty percent (50%) of the owners reside in Monterey County. One of the owners – Brandon Gesecki – will serve as the Community Liaison and Emergency Contact. He will be available to respond to community concerns 24 hours per day. In addition, Pacific Roots will hire at least 5 residents of Marina to work at its dispensary. This number will increase annually. By having a team comprised of local residents, Pacific Roots will create new economic opportunities, generate meaningful tax revenue for the city, and operate in a manner consistent with community expectations.

Pacific Roots' experience in the cannabis industry is unrivaled. Owner Aaron Herzberg is a cannabis regulatory attorney and is the founder of the award-winning dispensary Bud & Bloom in Santa Ana. Bud & Bloom has been recognized as a top dispensary in Orange County, nationally recognized for its interior design, and featured in the media for its Senior Shuttle program, which transports senior citizens to the dispensary free of charge. Mr. Herzberg will ensure that Pacific Roots incorporates best in class solutions in all aspects of its day-to-day operations. In addition, with six million dollars of funding committed to this project, Pacific Roots is capitalized to complete the project and to launch a successful dispensary.

If selected for a license, Pacific Roots will be a leader in the community. Pacific Roots has developed a robust and meaningful community benefits program. Pacific Roots has made, and will continue to make on an annual basis, substantial financial donations to several local non-profits, including the Veteran's Transition Center, American Legion — Marina Chapter, Marina Grange, Friends of Marina Library, and Filipino American Community Club of Monterey Peninsula. In addition, Pacific Roots will be an educational resource to the local community. Pacific Roots has developed a Community Room for education events at the dispensary. Pacific Roots will partner with local non-profit organizations to offer educational programming to senior citizens, veterans, seriously ill patients, and the community at large. By providing educational programming, Pacific Roots will reduce many of the secondary effects associated with cannabis, including but not limited youth access and impaired driving.

Finally, the Pacific Roots proposed location – 3100 Del Monte – will not become a target for nuisance and crime because Pacific Roots has developed an exceptional security and safety plan. Sapphire Risk Advisory Group, a premier security consultancy, developed the security plan and Uretsky Security will implement it on a local level. The security plan incorporates several proactive measures to deter diversion and prevent crime. Security measures include ongoing employee training, 23 cameras monitoring the facility, and two-factor authentication (ID cards and pin code) for accessing rooms containing cannabis goods. These measures will ensure cannabis goods are not diverted from the dispensary. Moreover, Pacific Roots is committed to allowing state and local regulators audit its books and records to ensure transparency.

No other applicant can match the qualifications, capitalization, security and safety plan, and understanding of and commitment to the local community. Pacific Roots has a proven track record of establishing dispensaries that quickly become vital resources in the community. We look forward to working alongside the City of Marina to deliver a cannabis dispensary that brings our vision to life and sparks new opportunity for all in the city.

Sincerely,

The Pacific Roots Team













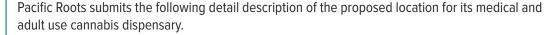


PROPOSED LOCATION

PROPOSED LOCATION

3100 Del Monte Boulevard, Marina, CA 93933

Assessor's Parcel Number: 032-192-018-000
C-1 (Retail Business District)
230 ft² Lobby
714 ft² Retail Sales Floor
805 ft² Community Room



The property for the dispensary is located is 3100 Del Monte Boulevard, Marina, CA 93933 (the Property). The Assessor's Parcel Number is 032-192-018-000. Monterey County Assessor's records indicate that the irregularly shaped parcel is 57,656 square feet in area and that the building on the Property is 2,922 square feet in area. The Property is located on the northeasterly corner of the intersection of Del Monte Boulevard and Carmel Avenue.

The City of Marina Zoning District Map designates the Property as C-1 (Retail Business District). The properties southeasterly, on Carmel Avenue are designated as C-R (Commercial/Multiple Family Residential District). Property northwesterly, across the street from Del Monte Boulevard, is designated R-4 (Multiple Family Residential District).

The businesses on the site consist of miscellaneous retail uses, restaurant, and a club/bar, which the dispensary will occupy. The northerly portion of the Property is developed with motel uses. Portions of both the motel and the commercial building have a second story, as shown in the elevations which are a part of this application.

There is ample surface parking on three sides of the commercial/retail building. Surface parking for the motel is located in the center of the U-shaped motel building.

Properties westerly of the proposed project are developed with a mix of multi-family and single family residential uses. Properties to the east of the proposed project are a mix of office, retail and residential uses. Properties northerly of the proposed project consist of retail and restaurant uses and a large, vacant parcel easterly of the development fronting on Del Monte Boulevard and northerly of the Property, having frontage on Mortimer Lane. The Zoning District Map designates the Property C-1 (Retail Business District). Property to the east on Carmel Rd. is designated C-R, Commercial/Multiple Family Residential District. Property to the west is designated R-4 (Multiple Family Residential District).

The proposed cannabis dispensary will be located at the southerly end of the commercial/retail building. The primary public entrance will be located at the westerly end of the shop, giving public access to a lobby, approximately 230 square feet in area, with seating for customers waiting to enter the cannabis retail sales area. There is a 66 square-foot public restroom on the northerly side of the lobby area. The lobby area also provides access to an 805 square-foot designated community room. At the door between the lobby area and the retail area will be a 75 square foot security/surveillance area where on-site security personnel can view monitors connected to security surveillance cameras located throughout the interior and exterior of the facility. The security/















surveillance room also provides access to the community room.

The retail sales area, approximately 714 square feet, will have display counters and cash registers to serve customers. A 143 square-foot storage area for material other than cannabis is located northerly of the retail area and is accessed through the 287 square-foot order processing room, which has access to a 322 square-foot corridor, which in turn leads to the storage area. Access to that storage area is also available through the community room.

The order processing room provides access to the 111 square-foot record office and the 252 square-foot record preparation and storage room. In addition to the storage area, the corridor accessed from the order processing room provides access to the 131 square-foot employee break room, a 75 square-foot women's restroom, a 104 square-foot men's restroom and an 82 square-foot unisex restroom, as well as a 143 square-foot non-cannabis storage area. That same corridor provides access to the 44 square-foot secure exit area, through which employees gain secure ingress and egress to and from the shop. The secure exit area also provides access to a 252 square-foot server area.

The building area, including corridors, storage areas and restrooms, is approximately 3,836 square feet in area.

Sensitive Uses: The proposed location is not within the buffer zone for any sensitive uses. The nearest known sensitive uses are Locke-Paddon Park (Del Monte Ave And Reservation Rd Marina, CA 93933), Marina Community Center Park (213 Hillcrest Ave, Marina, CA 93933) and Marina Del Mar Elementary (3066 Lake Dr, Marina, CA 93933). All sensitive uses are outside the sensitive use buffers. The Cannabis Zoning Verification Letter confirms the proposed location is compliant in all respects.

Landlord Authorization: Pacific Roots has obtained a landlord authorization for 3100 Del Monte Blvd., Marina CA 93983. The landlord authorization confirms the property is authorized by the property owner for commercial cannabis use.

EXTERIOR OF 3100 DEL MONTE BOULEVARD

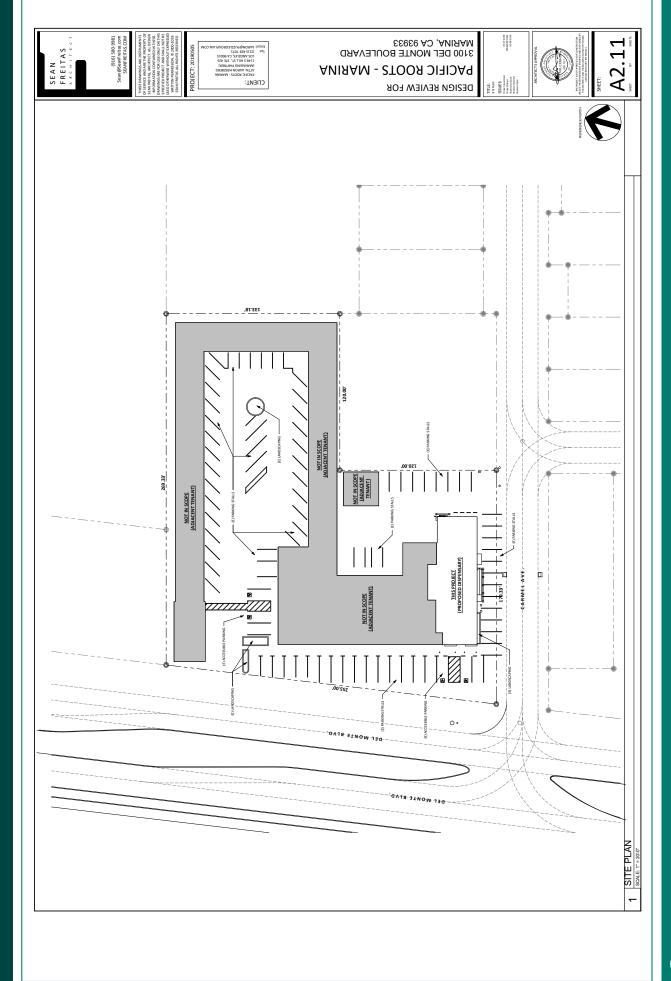






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MARINA, CA 93933 PACIFIC ROOTS - MARINA DESIGN BENIEM FOR ROOF PLAN & ROOF SECURITY PLAN MATERIALS & COLOR SAMPLES AS-BUILT/DEMOLITION PLAN FLOOR PLAN SECURITY PLAN **Sheet Title** PROJECT DATA PROPOSED FLOOR PLAN INTERIOR RENDERINGS **ELEVATIONS EXTERIOR** INTERIOR RENDERINGS INTERIOR RENDERINGS NOT USED COVER SHEET REQUIRED EXIT CALCULATION: APPLICABLE BUILDING CODE: A2.11 A3.00 A3.01 A3.11 A4.11 A5.11 A7.11 A7.12 A7.13 A8.11 3100 DEL MONTE BOULEVARD MARINA, CA 93933 PROJECT VICINITY MAP DESIGN REVIEW FOR PACIFIC ROOTS - MARINA **BUILDING SHEET ABBREVIATIONS** 3100 DEL MONTE BOULEVARD MARINA, CA 93933 **GENERAL BUILDING NOTES** PERMITS, LICENSES, INSPECTIONS AND FEES CLEAN UP AND START UP RESPONSIBILITIES 701 ATTR COMPLETION OF THE WORKDESCHINGEN IN THEIR BENDETIVE SUBSTITUTIONS FOR SPECIFIED MATERIALS: 501 SPECIFIC TRADE NAMIONED INTHE DRAWINGS ARE FOR TH APPLICABLE LAWS, OR DINANCES, REGULATIONS AND STANDARDS 2021 THE GENERAL ENGNEERING CONTRACTOR, GENERAL IN ELOLISOPINATIONS SHALL ON CONTRACTOR S DATA AND MEASUREMENTS: HOURS OF CONSTRUCTION AREA OF WORK 1001 THE GENERAL ENGIN 102 5.02 5.04 103





PROPOSED LOCATION: PROPOSED FLOORPLAN

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3100 DEL MONTE BOULEVARD MARINA, CA 93933 PACIFIC ROOTS - MARINA

DESIGN BENIEM FOR

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PACIFIC ROOTS

City of Marina



City of Marina
211 HILLCREST AVENUE
MARINA, CA 93933
831-884-1278; FAX 831-384-9148
www.cityofmarina.org

CANNABIS ZONING VERIFICATION LETTER 2019-06

| Applicant: | Aaron Herzberg | | | | |
|--------------------|---|---|--|--|--|
| APN: | 032-192-018-000 | | | | |
| Situs Address: | 3100 Del Monte Boulevard Marina, CA 93933 | | | | |
| Property Owner: | Anthony G Lombardi | | | | |
| Proposed Land Use: | × | Adult Cannabis Dispensary | | | |
| | K | Medical Cannabis Dispensary | | | |
| Zoning District: | | □ Airport District (AP-2/3) □ Business Park (BP) □ Business Park Small Lot Combining District (BP/P) □ Retail Business District (C-1) □ General Commercial (C-2) □ Planned Commercial (PC) □ Transitional Zoning District (T-B-5) | | | |
| Proposed Land Use: | | Indoor Cultivation | | | |
| Zoning District: | | □ Airport District (AP-2/3) □ Business Park (BP) □ Business Park Small Lot Combining District (BP/P) □ Transitional Zoning District (T-B-5) | | | |
| Proposed Land Use: | | Mixed Light Cultivation | | | |
| Zoning District: | | □ Airport District (AP-2/3) □ Business Park (BP) □ Business Park Small Lot Combining District (BP/P) □ Transitional Zoning District (T-B-5) | | | |
| | Servin | na a World Class Community | | | |



| Proposed Land Use: | | Distri | oution |
|--|------------|------------|---|
| Zoning District: | | | Airport District (AP-2/3) |
| · · | | | Business Park (BP) |
| | | | Business Park Small Lot Combining District (BP/P) |
| | | | Retail Business District (C-1) |
| | | | General Commercial (C-2) |
| | | | Planned Commercial (PC) |
| | | | Transitional Zoning District (T-B-5) |
| | | | Site where another city permit type conducted |
| roposed Land Use: | | Manu | facturing |
| Zoning District: | | | Airport District (AP-2/3) |
| - | | | Business Park (BP) |
| | | | Business Park Small Lot Combining District (BP/P) |
| | | | Retail Business District (C-1) |
| | | | General Commercial (C-2) |
| | | | Planned Commercial (PC) |
| | | | Transitional Zoning District (T-B-5) |
| Proposed Land Use: | | Testir | g |
| Zoning District: | | | Airport District (AP-2/3) |
| | | | Business Park (BP) |
| | | | Business Park Small Lot Combining District (BP/P) |
| | | | Retail Business District (C-1) |
| | | | General Commercial (C-2) |
| | | | Planned Commercial (PC) |
| | | | Transitional Zoning District (T-B-5) |
| 4 | | | |
| Adjacent land uses and zon | ing distri | cts to the | site: |
| North: Retail, C-1 | | | |
| South: Retail, C-1 East: Marina Gra | | | |



For Adult Cannabis Dispensary – Site distance from another Adult Cannabis Dispensary:

Undetermined at time of letter.

Analysis of Sensitive Uses:

The Cannabis operation must not be within a 600-foot radius of parks, youth centers, schools, and daycare centers, or within 1000-feet of another permitted dispensary as described in Marina Municipal Code Section 19.02.100. Per available records, City staff has determined that the proposed Cannabis use is not located within a 600-foot radius of sensitive uses (measured from nearest building edge containing Cannabis use to parcel edge of sensitive uses listed above, and from parcel edge of Cannabis use to parcel edge of schools) as follows:

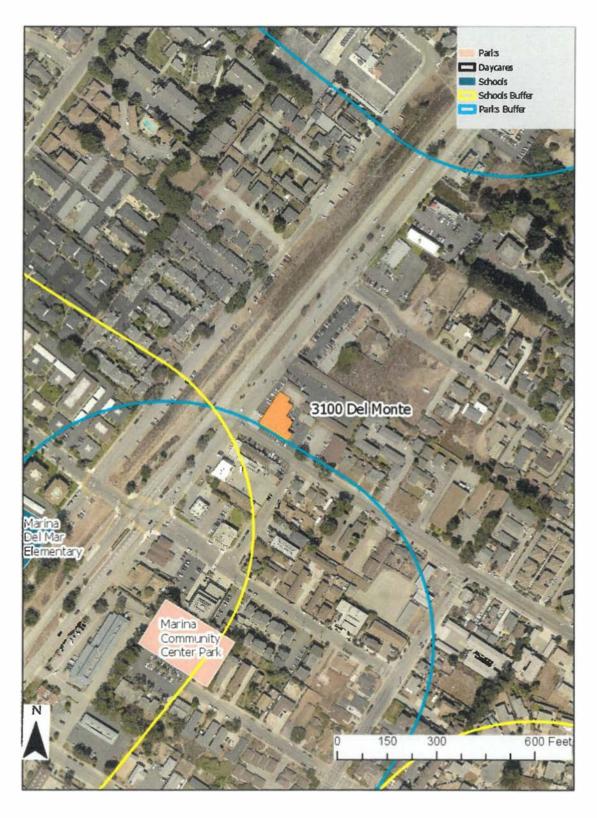
- 1. The building is located more than 600 feet away from the nearest park, as shown on the attached map
- 2. The building is located more than 600 feet away from the nearest school, as shown on the attached map
- 3. The building is located more than 600 feet away from the nearest youth center or daycare

| Action for Compliance: | None necessary |
|------------------------|----------------|
| | |
| | |
| | |

This zoning verification is based on information available to the Community Development Department – Planning Office as of the date hereof. To the extent cannabis facilities are allowed land uses under Chapter 19.01 of the Marina Municipal Code, such facilities can be established in the specified zoning district only upon issuance by the Planning Commission of a Conditional Use Permit (CUP). This zoning verification letter does not constitute a land use entitlement and is not equivalent to, a substitute for, or issued in lieu of a required conditional use permit.

Issued by: Alec Barton, Assistant Planner AP Date: May 10, 2019

Enclosures: Site Distance Map



This site distance map is based on information available to the Community Development Department - Planning Office as of the date hereof and does not anticipate future land use conditions that may affect the results of this map. This map does not constitute a land use entitlement and is not equivalent to, a substitute for, or issued in fieu of a required conditional use permit.

Updated May 10, 2019

PROPERTY OWNER CONSENT

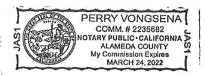
If applicant is other than the property owner(s), the owner(s) must provide a signed statement consenting to filing pursuant to Chapter 19 of the Marina Municipal Code. Original signatures only.

I/We, as the owner(s) of the subject property, consent to the filing of this application and use of the property for the purposes described herein. We further consent and hereby authorize City representative(s) to enter upon my property for the purpose of examining and inspecting the property in preparation of any reports and/or required environmental review for the processing of the application(s) being filed.

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document, to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California (ound of Hameda

Subscribed and sworn to before me this 24 day of May, 2019, proved to me on the basis of satisfactory evidence to be the person(s) who appeared before me.



I, Anthony Lombardi, am the Trustee / Manager / Owner of the property located at 3100 Del Monte Blvd., Marina, CA 93933.

I approve of Pacific Roots, LLC using the 3100 Del Monte Blvd. location for the operation of a dispensary for medical cannabis and adult use cannabis.

I have entered into an option with Pacific Roots, LLC and will lease the property upon approval of the application.

I understand that licenses for cannabis related uses are for one year and can be revoked at the City's sole discretion with or without reason.

I attest the foregoing is true and correct.

Anthony Lombardi



BUSINESS PLAN

BUSINESS PLAN

Pacific Roots submits this Business Plan for consideration with its application. The Business Plan identifies the Owners and Key Staff, and contains an Operations Plan and Financial Plan, including sales projections and market study. The Operations Plan contains a discussion of its best in class day-to-day operations, how the business will conform to state and local law, and how cannabis inventory will be tracked and monitored to prevent diversion. As explained in more detail below, Pacific Roots is qualified, equipped, and capitalized to operate a successful dispensary in the City of Marina.

KEY OWNERS

Pacific Roots is a locally owned business with a firm establishment in and affiliation with Monterey County. The business draws on the knowledge and experience of a dynamic and proficient ownership team that is well-versed in the cannabis retail business and the City of Marina. Its broad and diverse expertise quarantees the success of its latest venture in the cannabis industry.

Aaron Johnson, Owner & General Manager

Aaron Phillip Johnson is a prominent cannabis legal expert from Salinas, California, who grew up working on his family's vineyards in Fresno, California.

Mr. Johnson earned a bachelor's degree in Plant Science/Viticulture from California State University in Monterey Bay in 1994 and completed his Juris Doctor at San Joaquin College of Law, Clovis in 1997. In 1998, he was awarded an LLM in Taxation from the Golden Gate University School of Law in San Francisco. He has been practicing agricultural law since 1999 and for the past five years has been a partner of Johnson, Rovella, Rosenthal and Gilles, PLC.

Mr. Johnson has focused his legal skills to encourage compliant cannabis operations at every level of the vertical market chain. At JRG Attorneys, Mr. Johnson has advised numerous clients on cannabis business entity formation and regulation; business transactions, including operations; mergers and acquisitions; real estate; and land use (CEQA) law. Mr. Johnson is also practiced in communicating effectively with regulators, other public agencies, and non-governmental organizations and has assisted in the development of robust public policy on agricultural issues.



Mr. Johnson is well-known in the Marina area for being a knowledgeable, patient, and approachable educator, as well as a passionate speaker on issues affecting the cannabis community. Since 2008, he has been a member of the part-time faculty at California State University, Monterey Bay, where he has taught Agricultural Policy and Regulatory Environment. In this class, he encourages students to consider ethical and stakeholder issues such as water use, land use, pesticides, and food and worker safety and to evaluate the effect of the regulatory climate on local business planning and operations.

Beyond teaching positions, Mr. Johnson has spoken at the Western Growers Association Panel Discussion with Lori Ajax; at the International Cannabis Bar Association (MJBizConNEXT) on "Real Estate: Location (Zoning), Location (Environmental), Location (Nuisance)!"; and at several other National Cannabis Association events.

As a longtime resident of Salinas, California, Mr. Johnson has served on the boards of many important agricultural committees. He was formerly President of the Hartnell Community College Board of Trustees (2003–2007) and President of Monterey County Cattlemen's Association. Currently, he serves as President of Monterey County Ag Land Trust. In addition, Mr. Johnson has served on the Monterey County Farm Bureau Board of Directors. At the 2015 American Farm Bureau Federation national meeting, he was elected to serve as a delegate. Mr. Johnson has also been involved with the Canadian cannabis industry, serving on the Board of Directors for Nutritional High International, a manufacturing and distribution company.

Mr. Johnson is not only a lifetime member of International Cannabis Bar Association (formerly National Cannabis Bar Association) but also founded and became the first President of the Coastal (Cannabis) Growers Association.

AARON PHILLIP JOHNSON JRGattorneys.com

PROFESSIONAL MEMBERSHIPS

- Cannabis Law Attorney (5+Years)
- Coastal Growers Association (Cannabis), Founding Member and Past President
- Monterey County Ag Land Trust, (Current President) Board Member
- Hartnell Community College, Board of Trustees (President 2 years), 2003-2007
- Monterey County Cattlemen's Association, (President) Board Member
- CSU Monterey Bay Adjunct Professor Ag Policy and Regulatory Environment

EDUCATION & LICENSE

CA BAR MEMBER | DECEMBER 30, 1997 #193924

L.L.M. IN TAXATION DEGREE | 1998 Golden Gate University School of Law | Fresno, CA **JURIS DOCTORATE** | 1997 San Joaquin College of Law | Fresno, CA

CSU, Monterey Bay | Marina, CA

BACHELOR OF ARTS IN SPEECH COMMUNICATION | 1994 California State University, Fresno | Fresno, CA

TEACHING EXPERIENCE

LECTURER (Part-Time Faculty) | Fall 2008 to present

I teach BUS 434 (Agribusiness Policy and Regulatory Environment), which is described as a class which examines the complex policy and regulatory environment within which agribusiness operates. Students survey federal, state, county and local policies and regulations, and analyze their effect on local business planning and operations. Explores the process by which policies and regulations are made and enforced. Cannabis is frequently used as an example (students identify well with the subject). Individually and in teams, they address specific ethical and stakeholder issues such as water use, land use, pesticides, food safety, and worker safety.

LECTURER (Part-Time Faculty) | Fall 2003 to 2007

Hartnell Community College | Salinas, CA I taught CON-62 (Construction Law) and a Real Estate Law, both of which were designed to provide an overview of our legal system and detailed look into the policies and regulatory aspects of their respective licensing agencies. These classes are also designed for the contractor or real estate professional seeking a general

contractor's or broker's license.

LEGAL EXPERIENCE

ATTORNEY/PARTNER | April 2014 to Present

Johnson, Rovella, Retterer, Rosenthal & Gilles, PLC (JRG, Attorneys At Law) | Salinas & Paso Robles, CA I am a partner of a law firm representing cannabis businesses throughout the State of California. I guide business owners through all parts of the vertical market chain and lead teams through the entitlement process, and through various business cycles depending on the client's goals. I communicate with agencies, decision-makers, non-governmental organizations and politicians in formulating public policy and strategies on agricultural land use, general plans, community & specific plans, water discharge requirements, and land use permits. I analyze agricultural, business and tax implications in business and real property transactions.

ATTORNEY/PARTNER | April 2004 to May 2014

Johnson & Moncrief, PLC | Salinas & Paso Robles, CA

I was a founding partner of a law firm representing agriculture businesses. I guided property owners through the entitlement process, providing analysis on property rights and advising on real property legal matters. I communicated with agencies, decision-makers, non-governmental organizations and politicians in formulating public policy and strategies on agricultural land use, general plans, community & specific plans, water discharge requirements, and land use permits. I analyzed agricultural, business and tax implications in business and real property transactions.

ASSOCIATE ATTORNEY | October 1999 to April 2004

Lombardo & Gilles | Salinas, CA

I utilized my agricultural/business background representing growers and shippers in the Salinas Valley on land use and agricultural issues before local government agencies, the Attorney General's Office, Department of Fish & Game, and other various public agencies.

ASSOCIATE ATTORNEY | March 1999 to October 1999

Kahn, Soares & Conway, LLP | Hanford, CA

OTHER RELEVANT EXPERIENCE

- Board Member, Nutritional High International (Canadian cannabis company focused on manufacturing and distribution)
- Lifetime Member: International Cannabis Bar Association (Formerly National Cannabis Bar Ass'n)
- Speaker: International Cannabis Bar Association (MJBizConNEXT): "Real Estate: Location (Zoning), Location (Environmental), Location (Nuisance)!"
- Speaker: Western Growers Association Panel Discussion with Lori Ajax
- Speaker: Other National Cannabis Bar Association events

Brandon Gesicki, Owner and Community Liaison

Brandon Michael Gesicki is an experienced political consultant with deep ties to Monterey County.

He was born in Monterey Community Hospital after his father's military service brought the Gesicki family from Ohio to the West Coast. He attended Carmel Middle School and Carmel High School, where he was an active all-star football and soccer athlete, before later studying at Monterey Peninsula College, the University of California, Berkeley, and the Washington DC semester program at American University. Mr. Gesicki completed his studies at Baldwin-Wallace University.

Prior to entering the cannabis space, Mr. Gesicki established his reputation for astute political insight under Allan Hoffenblum, the author of the California Target Book, the leading nonpartisan legislative authority on California elections. Mr. Gesicki also spent more than 20 years as a leading political strategist and trusted advisor on numerous congressional, state legislative, county, and city campaigns. Many elected officials regarded his astute counsel highly, evidenced by his service as Chief of Staff and Senior Advisor to former California Lieutenant Governor Abel Maldonado.



As the President and CEO of Capitol Consulting and Public Relations since 2008, Mr. Gesicki has managed multi-million-dollar political campaigns and has developed substantial legislative, political, and public-relations experience. He is well-rehearsed in project management, target setting, advanced communication, budgeting, and research. Crucially, Mr. Gesicki has been able to win the confidence of diverse members across the Marina community, forging his reputation as one of the foremost organizers on California's Central Coast.

Since 2015, Mr. Gesicki has also been a dynamic presence in the emerging cannabis industry, and his input was pivotal to legalization in the Monterey area. In 2016, Mr. Gesicki was chief consultant for Monterey County, managing both the Yes on Measure Y Cannabis Ordinance and Tax campaign (which passed with 75% of the vote) and the Yes on Measure J - King City Cannabis tax measure (which passed with 80% of the vote). Additionally, policy makers in King City, Monterey, and Santa Barbara Counties enlisted Mr. Gesicki to assist in the development of cannabis business ordinances, a reflection of his broad policy experience and sound instincts.

Of particular note is Mr. Gesicki's extensive knowledge of cultivation, processing, regulation, and research related to industrial hemp. This expertise has led government leadership and other interested parties in Monterey, San Luis Obispo, and Santa Barbara Counties to call on Mr. Gesicki to guide them in crafting new ordinances and administrative policy and structures.

Mr. Gesicki is constantly advocating on his clients' behalf for growth and clarity in cannabis business regulations and licensing across the central coast of California. His track record of obtaining licenses and permits is impressive, totaling 46 cultivation licenses, 11 Type 7 manufacturing licenses, eight distribution licenses, five nursery licenses, and three non-storefront dispensary licenses. His transparent explanations and helpful attitude regularly find favor before Boards of Supervisors, City Councils, and Planning Commissions.

Mr. Gesicki is excited to open a dispensary in Marina and, reflecting his aptitude for networking and problem solving, will take a hands-on approach ensuring the facility is suitable for the neighborhood and welcomed by the community. Mr. Gesicki is already the owner of several successful cannabis businesses in Santa Barbara County and King City, California. He is a trusted license holder for cultivation and processing (under Canna Rios, LLC), for cultivation (under Dionysus Management Company), and for manufacturing, distribution, and non-storefront retail (under Testa Sol, LLC).

In the City of Marina venture, Mr. Gesicki will draw upon his advanced communication skills to serve as Pacific Roots' Community Liaison. He is already a member of Monterey Peninsula Chamber of Commerce Government Relations Committee and the Marina Chamber of Commerce and is actively involved in National Cannabis Industry Association, King City Chamber of Commerce, and American Legion Post 694 – Marina – SALS (Sons of American Legion). He also served as Director of the Cypress Fire Protection Board. The community outreach role fits well with his outgoing and approachable personality and his appetite for extensive civic participation.

BRANDON MICHAEL GESICKI

| CapitolCpr.com

PROFESSIONAL MEMBERSHIPS

- American Association of Political Consultants, Member
- Cypress Fire Protection Board Trustee (2015-17) Board Member
- National Cannabis Industry Association, Member
- King City Chamber of Commerce, Member
- Monterey County Cannabis Industry Association, Member
- Marina Chamber of Commerce, Member
- Too many other memberships and associations over the years to list.

EDUCATION

STUDIED POLITICAL SCIENCE & HISTORY | 1995-1997

Baldwin-Wallace University | Berea, OH

GENERAL STUDIES | 1997

Monterey Peninsula College | Monterey, CA

STUDIED AMERICAN GOVERNMENT | 1998

American University | Washington, DC

PROFESSIONAL EXPERIENCE

PRESIDENT AND FOUNDER | March 2006 to Present

Capitol Consulting & Government Relations | Carmel, CA For over 20 years I have worked consulting on political campaigns and in the private sector representing various clients in a government relations role. I have had the privilege to work closely with some of the most influential elected officials in California and around the country. I have consulted for and worked with US House

Majority Leader Kevin McCarthy, former California Lt. Governor Abel Maldonado, and Hon. Brooks Firestone among many others. Including a long and lengthy list of local elected officials in Monterey County and the central coast. I have managed multi-million-dollar political campaigns, served as chief spokesman, fundraiser, and strategic advisor to numerous campaigns and elected officials

I have developed relationships and key contacts in every area of industry and sectors of local, state and federal government. I believe due to my years of government service and working in the private sector I have earned the confidence of leading policy makers and I understands how best to work with them.

In 2016, I managed and was chief consultant on Monterey County - Yes on Measure Y Cannabis Ordinance and Tax campaign that passed with 75% of the vote. Additionally, Brandon managed and was chief consultant on Yes on Measure J - King City Cannabis tax measure which passed with 80% of the vote.

In the new California Cannabis business space, I have been fortunate to earn the trust of many policy makers and provide insight, advice and counsel to them as they adopt various ordinances. I have also been very successful in working with dynamic clients seeking licenses and cannabis business opportunities in this new exciting industry. I believe I have been a leader in advocacy for the promotion of the industry on the California central coast. Successfully achieving cannabis business permitting and licensing for numerous clients.

PARTNER/FOUNDER | January 2018 to Present

SOL INVICTUS, LLC | Carmel, CA

I was a founding partner of Sol Invictus, LLC that manages commercial Cannabis Businesses properties in King City, California. Under our management are 9 cannabis business licenses: 3 Type 7 Manufacturing, 3 Distribution Licenses and 3 Non-Store Front Dispensary Licenses. All of these represent 3 different cannabis companies.

PARTNER/VP OF COMPLIANCE | January 2018 to Present CAL-KAN Investment Group, LLC | Santa Maria, CA I was a founding partner of Cal-Kan Investment Group that manages several Cannabis Businesses including a large cultivation enterprise called Canna Rios, LLC and a manufacturing and distribution businesses as well. In total, Cal-Kan Investment Group manages over 40 cannabis business licenses. My role is to ensure those licenses remain in compliance both at the state and local levels.

PRESIDENT | October 2015 to Present

King City Cultivation | King City, CA

King City Cultivation is the real estate cannabis land management company first formed in King City in 2015 and has been the catalyst to most of the cannabis business activity in the city. King City Cultivation has leased or controls more than 20 acres of the active cannabis green zone in the cities industrial park. Most of these properties were raw land of which today are in various stages of development. When the current active projects are all complete the collective operations will represent more than 70 plus cannabis business licenses in the areas of cultivation, manufacturing, distribution and non-store front dispensary.

CHIEF OF STAFF/SENIOR ADVISOR | April 2010 to January 2011 Lieutenant Governor Abel Maldonado | Sacramento, CA Served the Lieutenant Governor as senior advisor and early on as Chief of Staff in his appointed term of office under Governor Arnold Schwarzenegger. I also managed the nomination process for Lt. Governor Maldonado that lasted over 150 days but ended with his ultimate confirmation. During his term some of the highlights included serving as acting Governor (while Gov. Schwarzenegger was out of state) 57 days, signing 2 bills that amended the California constitution, approving a large low-income housing development project in Oakland, California and managing emergency response to the San Bruno pipeline explosion and the aftermath of that event. In addition to serving on the UC Board of Regents and other various state agencies Lt. Governor Maldonado served with distinction and I was honored to play a small role in that service.

Aaron Herzberg, Owner and Compliance Officer

Aaron Herzberg is a cannabis regulatory attorney with hands-on operations experience through his ownership of Bud and Bloom, a premier Orange County cannabis retailer, and Modern Buds, a premier Long Beach, CA dispensary.

Mr. Herzberg graduated Cum Laude, with the highest distinction, from the University of California, San Diego with a degree in Economics and History in 1992. He attended the University of California, Hastings College of Law in San Francisco, graduating in 1995, before being admitted to the California State Bar in 1996 and founding the family law firm Dishon & Block, APC.

At every juncture, Mr. Herzberg has proven himself to be an outstanding lawyer, noted for both professional ability and robust ethical compliance. Significantly, Mr. Herzberg carries a "Superb" 10 out of 10 AVVO rating (rated by peers and clients) and is an AV Preeminent® Rated "Super Lawyer." Moreover, OC Metro Magazine recognized Mr. Herzberg as a Top Lawyer in Orange County from 2011 to 2014, and in 2017, he was noted as one of the Top 100 most influential people by the Orange County Register. These achievements are a testament to his commitment to hard work and ethical practice.



In 2017, Mr. Herzberg founded the Puzzle Group Law Firm to help the cannabis community by providing legal advice on commercial cannabis licensing and compliance issues. Since forming the Puzzle Group Law Firm, Mr. Herzberg has assisted clients in acquiring over one million square feet of licensed cultivation space in Los Angeles, California. He has also managed investments of more than \$80 million into the industry. He regularly advises clients on all aspects of governmental affairs, licensing, and transactional matters.

Prior to establishing Puzzle Group Law, Mr. Herzberg also acted, from 2015 to 2017, as co-founder, partner, and general counsel to the firm CalCann Holdings LLC ("CalCann"), a medical cannabis real estate and operating company based in Orange County, California. Through CalCann, Mr. Herzberg assisted in numerous cannabis-suitable property purchases and successfully obtained licenses for cannabis dispensaries in Santa Ana, Long Beach, Lynwood, Montebello, San Bernadino, and Desert Hot Springs.

Mr. Herzberg has brought to the industry the same enthusiasm and professionalism that has typified his legal career. As the founding partner and President of the award-winning dispensary Bud and Bloom, located in Santa Ana, California, he has revolutionized access to treatment for cannabis patients and consumers. In addition to Bud and Bloom, Mr. Herzberg is an active equity partner in several other notable or award-winning California dispensaries, including Modern Buds in Long Beach and Pure Dispensary in San Bernardino. Mr. Herzberg was also formerly an owner in OC3 in Santa Ana.

In addition to being a hands-on partner in his industry-leading dispensaries, Mr. Herzberg is an active advocate for the California cannabis industry. He has appeared in numerous forums, including speaking engagements at the Canna West Wrap-Up Panel: Being Compliant in Distribution & Retail (June 2018); the IC3 – 2ND Institutional Capital & Cannabis Conference (May 2018); the State of Marijuana in Long Beach, California (2017); and the National Cannabis Industry Association Policy Conference – Local Regulation of Cannabis. He has lectured on cannabis real estate at the UCLA Anderson School of Business for the past two years, and his incisive commentary on the emerging cannabis industry has been sought out by national news media, including The Wall Street Journal, The New York Times, Los Angeles Times, OC Register, and National Public Radio.

Mr. Herzberg has been involved in cannabis policy in the state. For example, as a board member of the City of Long Beach Medical Marijuana Task Force in 2015, he made recommendations to City Council on ordinance proposals affecting cannabis operations in Long Beach. Mr. Herzberg also championed the interests of pioneer businesses in the Nevada cannabis industry, laying pivotal groundwork for a positive regulatory climate in 2014–2015 by founding the Nevada Cannabis Industry Association in Las Vegas, Nevada.

AARON HERZBERG

PuzzleGroupLaw.com

HIGHLIGHTS & EXECUTIVE SUMMARY

1996 ADMITTED TO THE CALIFORNIA STATE BAR | Admitted United State District Court – Central District of California

EDUCATION

JURIS DOCTOR | 1995

University Of California, Hastings College Of Law | San Francisco, CA

Coursework concentrated in litigation and business law; Moot Court, Appellate Advocacy, Trial Advocacy, Advanced Evidence, California Civil Procedure, Federal Tax, Bankruptcy, Secured Transactions, Insurance.

BACHELOR OF ARTS IN ECONOMICS AND HISTORY, CUM LAUDE, WITH HIGHEST DISTINCTION | 1992 University Of California, San Diego | San Diego, CA

PROFESSIONAL EXPERIENCE

FOUNDING PARTNER & PRESIDENT | January 2017 to Present Bud & Bloom Dispensary | Santa Ana, CA Bud and bloom is an award winning cannabis dispensary located in Santa Ana, California.

FOUNDING MEMBER | January 2019 to Present *Modern Buds Dispensary* | Long Beach, CA Bud and bloom is an award winning cannabis dispensary located in Santa Ana, California.

FOUNDING PARTNER | November 2017 to Present

Puzzle Group Law Firm | Los Angeles, CA

- Founder of Puzzle Group Law Firm, PC a Law Firm focused on cannabis licensing in Southern California.
- His expertise includes governmental affairs and lobbying, municipal and land use law, drafting ordinances, obtaining municipal licenses, preparing winning merit-based applications and transactional law specific to cannabis.
- Involved in acquiring over 1 million square feet of licensed cultivation space in the Los Angeles area as well as making in excess of \$50 million dollars of investment in the cannabis space.

PARTNER & GENERAL COUNSEL | May 2015 to December 2017 Calcann Holdings LLC | Orange County, CA

- Co-founded CalCann Holdings, Inc., a California medical marijuana real estate and operating company
- Successful in transacting in multiple cannabis real estate transactions in Southern California
- Obtained municipal cannabis dispensary retail licenses

BOARD MEMBER | February 2015 to December 2015

City Of Long Beach Medical Marijuana Task Force | Long Beach, CA

Made recommendations to City Counsel with regards to a proposed ordinance that will allow for licensed dispensaries as well as cultivation facilities in Long Beach, California.

FOUNDING MEMBER | August 2014 to December 2015 Nevada Cannabis Industry Association | Las Vegas, NV

- Lead businesses and organizations in Nevada's emerging cannabis industry.
- Worked to defend and advance the industry in the state and local political arenas.
- Promoted the growth of a responsible and legitimate cannabis industry and to work for a favorable social, economic and legal environment for that industry in Nevada.

FOUNDING PARTNER | June 1996 to December 2013

Dishon & Block, APC | Irvine, CA

- Founding Partner at one of Los Angeles' and Orange County's premiere family law firms.
- Started practice right of law school and grew the practice to a 29-employee firm with 6.5 million dollars in Annual Revenue.

- Practice focused on working with clients in divorce, child custody, property division, paternity, guardianship, and adoption.
- With considerable experience handling complex divorces for high net-worth individuals, frequently represented leaders of industry, business owners and professional athletes in their complicated dissolutions.

BOARD SERVICE & CHARITABLE ORGANIZATIONS

BOARD MEMBER | 2012 - 2014

Orangewood Children's Foundation

Orangewood Children's Foundation began with a vision to build a facility to shelter Orange County children who were the victims of abuse, neglect an abandonment.

TRUSTEE | 2011 - 2013

Orange County Young Executives

- OCYE is a collection of young professionals, executives and entrepreneurs, seeking to make a difference through philanthropy and the exchange of innovative ideas.
- OCYE Membership provides exclusive access to the best professional development, social and civic programming in Orange County.
- OCYE Members are committed to:
 - 1. philanthropy with a focus on making a real and tangible difference in Our community;
 - 2. developing leaders through education, mentorship, and the mutual support between Members;
 - 3. creating the next generation of ambassadors promoting business growth; and
 - 4. forming the most influential network of future business and community leaders.

BOARD MEMBER | 2013 - 2015

Jewish Federation & Family Services Of Orange County

BOARD MEMBER | 2012 - 2014

Solomon Society Of Orange County

Solomon Society is a fellowship of men—friends, brothers, fathers and sons who inspire each other through their values and actions.

BOARD MEMBER | 2011 - 2013

Big Brothers & Big Sisters Of Orange County

The Big Brothers Big Sisters Mission is to help children reach their potential through one-to-one relationships with mentors that have a measurable impact on youth.

BOARD MEMBER | 2008 - 2010

Kids First

Kids First is an educational program designed to meet the specific needs of children whose parents are separated or divorced.

EDITORIAL BOARD MEMBER | 2006 - 2008

Divorce Magazine

Served on the Editorial Board of Divorce Magazine.

PUBLICATIONS & AWARDS

CHILD SUPPORT OBLIGATIONS FOR HIGH INCOME EARNERS | Daily Journal | July 16, 2012

UNREALIZED GOALS MAY JUSTIFY SPOUSAL SUPPORT MODIFICATION | Daily Journal | September 24, 2012

RATED "SUPERB" 10 OUT OF 10 | AVVO Legal Rating | Rated by Peers and Clients

TOP LAWYER IN ORANGE COUNTY | OC Metro Magazine | 2011 - 2014

SELECTED AS SUPER LAWYER | 2015

Super Lawyers is a rating service of outstanding lawyers from more than 70 practice areas who have attained a high-degree of peer recognition and professional achievement. The patented selection process includes independent research, peer nominations and peer evaluations.

"AV" RATED WITH MARTINDALE HUBBLE

AV Preeminent is a significant rating accomplishment—a testament to the fact that a lawyer's peers rank him or her at the highest level of professional excellence. The Martindale-Hubbell® Peer Review Ratings™ are an objective indicator of a lawyer's high ethical standards and professional ability, generated from evaluations of lawyers by other members of the bar.

TOP 100 MOST INFLUENTIAL PEOPLE | *OC Register* | 2017

"Herzberg is helping shape California's legal cannabis industry while keeping the focus on medical patients as his [dispensaries] bus in seniors for free, host workshops for veterans and offer low-cost consultations for cancer patients."

PAST SPEAKING ENGAGEMENTS

CANNA WEST WRAP-UP PANEL: BEING COMPLIANT IN DISTRIBUTION & RETAIL | June 2018

IC3 - THE 2ND INSTITUTIONAL CAPITAL & CANNABIS CONFERENCE | May 2018

UCLA MBA CLASS - PROF. ERIC SUSSMAN: ADVANCED CASES IN REAL ESTATE ENTREPRENEURSHIP | April 2018 / 2019

IMN 3RD ANNUAL REAL ESTATE FAMILY OFFICE AND PRIVATE WEALTH MANAGEMENT FORUM | May 2017

STATE OF MARIJUANA IN LONG BEACH, CA

NATIONAL CANNABIS INDUSTRY ASSOCIATION POLICY CONFERENCE – LOCAL REGULATION OF CANNABIS



Alberto "Avi" Marciano, Owner

Alberto "Avi" Marciano was born in Morocco and spent his early years in France and Israel. After his experiences overseas working in the military as a combat medic confirmed his desire to alleviate suffering, he came to the United States to study pre-medicine at the University of Minnesota in the early 1980s. Though he struggled to fund his education by working odd jobs, he nevertheless completed his studies and relocated to California, where in 1993, he single-handedly built Physical Therapy Services, which has grown to be the region's largest chain of orthopedic and physical therapy clinics. With over 66 sites in Southern California and annual profits of over \$40 million, these clinics offer aquatic physical therapy treatments for patients recovering from strokes and spinal cord injuries.

Building on the success of Physical Therapy Services, Mr. Marciano has expanded his enterprise network to include many other therapeutic businesses. He is now a prominent and well-regarded businessman based, alongside his family, in Irvine, California.

In 2003, he founded Gil RX, LLC, a clinical medical laboratory that specializes in testing patients for a variety of diseases for the purpose of diagnosis, prevention, and treatment. In 2004, he established Medical Distributors, Inc. ("MDI") to distribute spinal and



orthopedic medical products and implants, as well as other durable goods, to hospitals. In 2014, he founded the largest network of laser nail fungus treatment centers in the United States, growing the network to over 50 locations. In 2017, Mr. Marciano's commercial property operation, Caesars Plaza LLC, purchased a Class A office building in the Antelope Valley to house numerous medical practices, including the Embassy Surgery Center, LLC, which Mr. Marciano founded in the same year to offer sameday surgery procedures. He also owns Morningwood Properties LLC, a local Riverside County business that houses numerous medical practices and health and wellness businesses in its City of Riverside offices.

In the financing sector, Mr. Marciano's company, EDS Financial Services, Inc., funds medical treatment and the costs of litigation for people who have been injured and find themselves without medical insurance. The company also provides case-management services for healthcare providers and personal injury attorneys.

Recognizing opportunities for the healing potential of cannabis, Mr. Marciano entered the cannabis space in 2017 and is the Co-Manager of Modern Buds, LLC in Long Beach, California. Mr. Marciano's influence was critical in locating the real estate for Modern Buds. He also supervised the successful buildout of the dispensary and directed operations according to the stringent standards required by the local and state licensing process.

Mr. Marciano's leadership and effort have created a corporate portfolio that assures the Marina project will be well-insulated from the typical financial risks associated with cannabis start-ups. In particular, drawing on his substantial charity work with organizations such as Save a Child's Heart, Jewish Federation, Family Philanthropy, Family Services, Orange County, Solomon Society, Parent's Health Foundation, TVT Community Day School, and Chabad, Mr. Marciano is interested in expanding considerable philanthropic initiatives to bring prosperity to vulnerable members of the Marina community.

ALBERTO "AVI" MARCIANO

SUMMARY

Prominent businessman and philanthropist based in Southern California looking to expand into cannabis industry.

EDUCATION

BACHELOR OF SCIENCE | 1981

University Of Minnesota | Minneapolis, MN

Major: Pre-Medicine

PROFESSIONAL EXPERIENCE

CO-MANAGER | 2017 to Present

Modern Buds, LLC | Long Beach, CA

Owner and Operator of a cannabis dispensary in the city of Long Beach. Mr. Marciano managed the buildout of the dispensary and worked with his co-managers on the application process to operate a dispensary at both the local and state level.

CO-MANAGER | 2017 to Present

Broadway Investment Holdings, LLC | Long Beach, CA

Owner of real estate housing Modern Buds dispensary in the City of Long Beach. Mr. Marciano Oversaw the real estate purchase.

FOUNDER & PRESIDENT | 2017 to Present

Embassy Surgery Center, LLC | Antelope Valley, CA

Ambulatory Surgery Center in Antelope Valley for same-day surgery procedures.

FOUNDER & PRESIDENT | 1992 to Present

EDS Financial Services, Inc.

- EDS assists in funding medical treatments for patients who are injured and need to have their medical services be provided on a medical lien.
- Provides case management services for healthcare providers and personal injury attorneys.

FOUNDER & PRESIDENT | 1993 to Present

Physical Therapy Services

Physical Therapy services specializing in aquatic physical therapy that grew to be the largest sole proprietor in Southern California (Over 66 clinics opened).

FOUNDER & PRESIDENT | 2004 to Present

MDI, Inc.

MDI is a distributor and provider for spinal and orthopedic implants.

FOUNDER & PRESIDENT | 2003 to Present

ing for the purposes of diagnosis, prevention, and treatment of

GIL RX, LLCClinical medical laboratory that specializes in test-

any disease or impairment of, or the assessment of patients.

FOUNDER & PRESIDENT | 2014 to Present

Laser Nail Therapy

Chain of treatment centers dedicated to treating nail fungus, with over 50 locations across the United States. It is currently the largest network provider of its kind in the United States.

PHILANTHROPIC ACTIVITIES

Save a Child's Heart Jewish Federation Family Philanthripy Family Services Orange County Solomon Society Parent's Health Foundation TVT Community Day School Chabad

| BUSINESS PLAN: KEY OWNERS | & EMPLOTEES | 40 |
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| BUSINESS PLAN: KEY OWNERS & EMPLOYEES | 41 |
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LOCAL OWNERSHIP, MANAGEMENT & HIRING PLAN

Local ownership is a core tenet of Pacific Roots' business model. Pacific Roots appreciates that some communities may have concerns about cannabis businesses locating to their neighborhoods. Pacific Roots believes local owners are best equipped to operate a cannabis business in a manner that satisfies community concerns and benefits the entire community. In that regard, Pacific Roots has made local ownership a priority in its corporate structure.

Owner and General Manager Aaron Johnson owns 25% of Pacific Roots. Mr. Johnson is a resident of Monterey County and has been for over 20 years. As General Manager, Mr. Johnson offers his commitment to operate Pacific Roots in accordance with community expectations and values. His experience as a cannabis attorney ensures operations will comply with state and local cannabis regulations.

Similarly, Brandon Gesicki is a 25% owner in Pacific Roots. Mr. Gesicki is a lifelong resident of Monterey County. Mr. Gesicki serves as Pacific Roots' Community Liaison. In that capacity, Mr. Gesicki will coordinate with concerned citizens and provide an open line of communication to local residents, law enforcement, and state and city regulators. Mr. Gesicki's decades of political advisory work and community outreach demonstrate his connection to the local community and commitment to the public.

As local owners, Mr. Johnson and Mr. Gesicki provide a level of insight into community needs and community outreach that foreign applicants lack. As explained in their biographies, Mr. Johnson and Mr. Gesicki have longstanding ties to Monterey County, a history that sets them apart from other applicants. Their qualifications and professional backgrounds demonstrate their ability to operate a dispensary in accordance with community standards and expectations.

Local ownership is important, but local owners need the tools to succeed. Pacific Roots is dedicated to providing those resources. Avi Marciano is a 25% shareholder and has committed the capital necessary to fund this project through the first year of operations. As noted in the financial plan, Mr. Marciano has committed to lending \$6 million to this project. Mr. Marciano's commitment ensures that Pacific Roots will be able to create well-paying jobs with benefits, tax revenue, and economic growth for residents of the City of Marina.

Likewise, Aaron Herzberg, another 25% shareholder, provides best-in-class solutions for operating a retail cannabis business. His Santa Ana dispensary, Bud and Bloom, has been nationally recognized for its compliant operations, community benefits and customer education, and facility design. Consistent with the city's purposes in regulating cannabis activity, Pacific Roots will establish a top-of-the-line operation that reduces the social harms that may arise from cannabis, including youth exposure and impaired driving.

Unlike other applicants, Pacific Roots is the only applicant with the funding, the expertise, and the understanding of the local community necessary to succeed. Pacific Roots is committed to hiring at least six Marina residents per year.

BUSINESSES SIMILAR TO PACIFIC ROOTS

As demonstrated in the Financial Plan, Pacific Roots has \$6 million in capital for this project. Nevertheless, the owners have operated businesses in similar size to Pacific Roots, as well as much larger. For example Avi Marciano founded and grew Physical Therapy Services, which has over 66 sites in Southern California and over \$40 million annual profit. The best comparison to the current project is Aaron Herzberg's Bud & Bloom and Modern Buds, of which Avi Marciano is also an owner.

Bud & Bloom in Santa Ana has been in business for 2 years. It sees 2100-2200 customers per month, with most customers shopping at the dispensary 2-3 times per month. Its gross receipts total approximately \$6 million annually. Similarly, Modern Buds in Long Beach has around 1600 customers per month. Its annualized revenue is \$1.14 million. This is a conservative prediction based on only the first four months of operations. It does not factor in future growth that is likely to occur.

Bud & Bloom and Modern Buds, as well as the businesses discussed in each owner's bio, demonstrate that the Pacific Roots ownership team is equipped to operate a dispensary in Marina.

STANDARD OPERATING PROCEDURES & BEST PRACTICES

STANDARD OPERATING PROCEDURES

Pacific Roots will establish Standard Operating Procedures ("SOPs") for all aspects of its commercial cannabis operations, including but not limited to the receipt, storage, handling, tracking, sales, and dispensing of cannabis and cannabis products. All SOPs will be compiled in detailed, step-by-step operations that are directly related to its ultimate goal of creating a safe and compliant cannabis business.

Detailed SOPs for all tasks relating to receipt, storage, packaging, labeling, handling, tracking, and dispensing of products containing cannabis and cannabis waste will include the following: use of an electronic track-and-trace inventory control system to track cannabis products and waste; receipt of products from licensees; inspection of unopened containers for evidence of tampering; recording date, shipping licensee name and address, invoice number, shipment identification number, weights, batch numbers, descriptions, and storage locations of each product received in a shipment; recording date, weight, and batch numbers of products dispensed to customers; product-storage procedures focused upon maintaining product integrity; and procedures for handling expired, damaged, adulterated, deteriorated, opened, or recalled products.

The SOPs will be compiled in a way that clearly demonstrates the intention of the task and leaves no room for individual interpretation by Pacific Roots' employees. All persons hired by Pacific Roots will need to display problem-solving and situational-assessment skills. Pacific Roots understands that the only way to ensure consistent performance and compliance is to require strict adherence to the SOPs. The SOPs will be stored in both paper and digital formats that can be readily recalled, updated, and redistributed in the event of an amendment. The SOPs will provide on-site guidance to all dispensary employees for every aspect of daily operations within the licensed premises.

Each position will have a job description that details all associated SOPs that must be followed to perform the particular demands of the position. Upon hiring and prior to active employment at the licensed premises, each dispensary employee will undergo an extensive Training Program that will be reviewed and renewed annually.

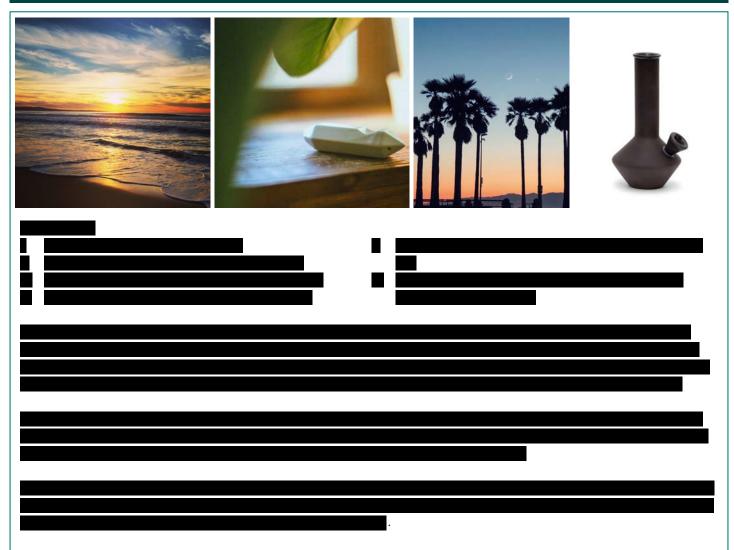
A current copy of all SOPs will be available on-site at all times to any employee, owner, or authorized regulatory representative upon request. If at any point the SOPs are updated or amended, the newly adopted procedure will be widely shared and explained to ensure cooperation of all dispensary employees affected by the change in procedure. Pacific Roots will reevaluate all SOPs at least once per year, or as needed in conjunction with all changes to relevant state or local laws and regulations, to ensure current procedures consistently represent industry-wide best practices and comply with current laws and regulations.

BEST PRACTICES

Pacific Roots will incorporate best practices into several aspects of its operations, which are explained in more detail in the Operations Plan below.

Local Hire: Pacific Roots is committed to hiring at least six Marina residents for jobs in the retail dispensary. Pacific Roots will track its local hire efforts and publish its local hire stats to the City of Marina annually.





Preventing Impaired Driving: Pacific Roots recognizes the threat from cannabis impaired driving. To reduce the number of impaired drivers, Pacific Roots will train its employees to recognize signs of cannabis impairment. Employees will not sell cannabis goods to customers who appear to be under the influence of cannabis or alcohol. Pacific Roots will also educate customers on the dangers of impaired driving in the community. Pacific Roots also has a zero tolerance policy towards consumption on site.

Conservative Marketing: Pacific Roots will not employ advertisements that are targeted to or attractive to minors. Pacific Roots' marketing practices are intended to minimize youth exposure to cannabis advertisements. Pacific Roots' website will contain an age gate to prevent minors from accessing cannabis media. Pacific Roots will not add a customer to its email list until the customer's age has been verified.

Education: Pacific Roots will provide education to its customers. Customer education will include information about proper cannabis storage at home, impaired-driving prevention, and cannabis-abuse disorder. Pacific Roots will offer education to customers in a one-on-one setting or group setting. Mr. Herzberg's other dispensary, Bud and Bloom, is recognized for its community education.

DAY-TO-DAY OPERATIONS

Pacific Roots will operate a medical and adult-use cannabis retail facility subject to the laws of the State of California and the City of Marina. Pacific Roots will maintain an operations plan that describes how the dispensary will operate with state and local law. MMC § 19.02.030. Below is a description of Pacific Roots' planned day-to-day operations relating to customer verification, cannabis retail sales, inventory monitoring and tracking, facilities maintenance, and delivery.

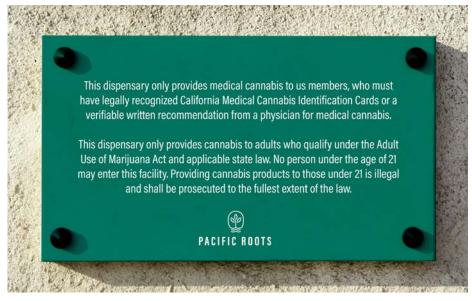
| Customer Screening Hours of Operation: | |
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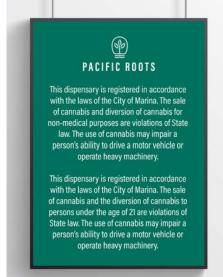
Required Licenses: Pacific Roots will not begin operations until it receives all required use permits from the City of Marina, including a Use Permit pursuant to Marina Municipal Code section MMC § 19.08.010.D; MMC § 19.02.060.E. It also will obtain from the Bureau of Cannabis Control a retailer permit for adult-use and medical-use sales. No cannabis sales will occur without state and local licenses. Pacific Roots will prominently display the license in the lobby of its licensed premises. 16 CCR § 1539.

One Location: Pacific Roots is located at 3100 Del Monte Boulevard, Marina, California 93933 and will not dispense cannabis from more than one location in the City of Marina. MMC § 19.02.040.D and MMC § 19.02.060.C. Pacific Roots and its owners will not open a second dispensary in the City of Marina. MMC § 19.02.040.E and MMC § 19.02.060.D.

Entrance Signage: Pacific Roots will post a sign at the entrance to the dispensary that includes the following language:

Lobby Signage: Pacific Roots will post a sign in a conspicuous location inside the lobby advising:





The text on the sign will be of sufficient size to be easily read from a distance of five feet. MMC § 19.02.080.A.

The signage will be maintained in accordance with MMC § 19.02.080.B.

| Customer Screening: | |
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Medical Patients: All medical patients will be required to become members of the medical dispensary. MMC § 19.02.030.A.



Medical members will be required to present a valid form of identification issued by a state or federal governmental entity and a valid physician's recommendation. All first-time visitors will be required to apply for membership and to provide all of the following:

PROOF OF RESIDENCY AND AGE

All applicants for membership must present valid proof of California residency in the form of a California driver license or California identification card, United States military identification card, or United States passport. All applicants will have their age verified to ensure they are age 18 or older. 16 CCR § 5400(b); 5404(c). The dispensary will maintain an electronic copy of said identification in the patient's electronic file in the point-of-sale system.

MEDICAL RECOMMENDATION

All medical applicants must present a medical cannabis recommendation issued by a California physician in good standing with the California Medical Board. Recommendation will be verified online, by telephone, or by fax. Physician status will be verified through the California Medical Board Website. MMC § 19.02.030.C

PATIENT INFORMATION FORM

All patients must complete a HIPAA - compliant Patient Information Form that records the patient's name and contact information.

Pacific Roots member services staff will interview new applicants for membership. After reviewing the dispensary's rules and policies, applicants accepted for membership will be entered into the point-of-sale system, patient database as current patient members. Pacific Roots will not dispense medical cannabis to a member pursuant to a recommendation that is more than 12 months old, unless the recommendation expressly states that it has a longer term or does not expire. MMC § 19.02.030. Pacific Roots will not dispense medical cannabis to any person who is not a member and/or who has not been verified as a qualified patient. MMC § 19.020.040(A).

Medical Recommendation Recordkeeping: Pacific Roots will track each patient's medical cannabis recommendation to verify the patient's qualified status. Pacific Roots will verify each patient member's recommendation at least once every 12 months. Pacific Roots will utilize the point-of-sale software to store a digital copy of all medical recommendations. MMC § 19.02.030(D). Returning patients will be verified in and the expiration date of their recommendation will be continuously tracked. These procedures will ensure that only qualified and duly verified patients can enter the dispensary and access its products and services. Upon successful completion of the screening process, patients will be "buzzed" into the sales area through an electronically controlled door lock.

On-Site Adult-Use Customers: Pacific Roots employees will grant access to adult-use customers who are 21 years of age or older. 16 CCR § 5400(a). Pacific Roots will verify a customer's identity with a valid, government-issued form of identification. Acceptable forms of identification include United States-issued driver's licenses or identification cards, domestic and international passports, and United States passport cards. Pacific Roots will train employees on how to recognize a fake identification or an individual utilizing another individual's identification documents.

| Identity Verification: | |
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If an employee suspects a customer is presenting false or misleading documentation, the employee will deny the customer access to the facility. If necessary, a manager will be available to review the identification presented. The manager will retain



DISPENSARY SALES FLOOR



| §5411. Pacific Roots will not distribute any free samples for promotional purposes in the retail sales area or outside the dispensa- |
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| ry premises. MMC § 19.02.060.B. Pacific Roots will not allow any non-employees to provide free samples of any type, including |
| cannabis goods, to any person on its premises. |
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No Free Samples: Pacific Roots will not provide free samples of any type, including cannabis goods, to any person. 16 CCR

Daily Limits: Pacific Roots will track and record all sales of cannabis goods, and all staff will be trained to comply with the regulations regarding daily limits. MJ Freeway automatically prohibits sales to customers beyond daily sales limits. Once a customer's daily purchasing limit has been met, the software will not allow that customer to "check out" or make any further purchases.

Pacific Roots will not sell more than 28.5 grams of non-concentrated cannabis, eight grams of cannabis concentrate, or six immature cannabis plants to an individual adult-use customer in a single day. 16 CCR § 5409(a). Pacific Roots will not sell more than eight ounces of medical cannabis to an individual medical cannabis patient (or authorized primary caregiver for each patient for whom they are authorized to make purchases) in a single day unless the patient's valid physician recommendation contains a different amount consist with the patient's needs. 16 CCR § 5409(b) and (c); MMC § 19.02.040.B. Pacific Roots will not allow customers to combine the adult-use and medical purchases to circumvent limits.

On-Site Consumption: On-site consumption of cannabis will be strictly prohibited on the premises of Pacific Roots. Pacific Roots management, employees, and third-party security contractors will actively monitor persons on or near the premises of Pacific Roots to ensure compliance. Further, the presence and consumption of alcohol and tobacco is prohibited on the premises of Pacific Roots and the areas immediately surrounding the premises. 16 CCR § 5025(d). Signs will be posted in conspicuous areas of the premises notifying all customers and guests that on-site consumption of cannabis, alcohol, and tobacco is strictly prohibited.

Customer Education: Pacific Roots will provide cannabis-related education to customers and local residents. The education may be oral or written. Pacific Roots will provide education in a one-on-one setting. Pacific Roots will also host group education events that are open to the community. As an example of the model Pacific Roots will follow, Mr. Herzberg's other dispensary, Bud and Bloom, regularly hosts educational events for the community. In addition, Pacific Roots will provide printed educational material related to cannabis goods, including preventing minor access, impaired driving, and cannabis dependency. Printed educational material may take the form of leaflets or instructional cards. Pacific Roots intends to collaborate with county health organizations when preparing education material. A copy of all education material will be kept on file in accordance with the recordkeeping policy.

Employee Training: Pacific Roots will provide job-specific training to each employee when he or she is hired and on an ongoing basis. Owner and Compliance Officer Aaron Herzberg has designed the training program for employees. Training will include job-specific duties, cannabis science, product information, regulatory compliance, and security and safety procedures. In addition, to provide the highest quality customer service, Pacific Roots will train each employee in active listening techniques.

STAFFING PLAN

Pacific Roots will implement the staffing plan described below. Through the leadership of Owner and Compliance Officer Aaron Herzberg in other licensed commercial cannabis operations, Pacific Roots is committed to competitive compensation in comparison to other mainstream commercial businesses and, accordingly, has developed an ongoing relationship with the United Food









and Commercial Workers International Union ("UFCW"), which has become deeply involved in the cannabis industry.

Pacific Roots will pay all employees a salary beyond the minimum living wage. The living wage for one adult in Monterey County is \$13.83 per hour or \$28,766 annually. Salaries at Pacific Roots will begin at \$35,000, or 22% above the stated living wage. Pacific Roots is committed to employing the following labor practices:

Competitive Compensation: Pacific Roots will establish and maintain competitive pay rates relative to similar positions in other businesses. Well beyond the city's living wage, Pacific Roots has proposed the following staffing levels and salaries for its cannabis retail facility to promote jobs, tax revenue, and economic growth. The following jobs and compensation packages are set forth below:

| POSITION | | 2019 | 2020 | 2021 | 2022 | 2023 |
|--|--|------|------|------|------|------|
| General Manager | \$90,000 + 3% annual increase | 1 | 1 | 1 | 1 | 1 |
| Inventory Control + Purchasing Manager | \$65,000 + 3% annual increase | 0 | 1 | 1 | 1 | 1 |
| Community Liaison | \$0 Brandon Gesicki, partner in business | 1 | 1 | 1 | 1 | 1 |
| Bookkeeper / Administration | \$60,000 + 3% annual increase | 1 | 1 | 1 | 1 | 1 |
| Retail Store Manager | \$65,000 + 3% annual increase | 0 | 1 | 1 | 1 | 1 |
| Marketing + Events | \$40,000 + 3% annual increase | 1 | 1 | 1 | 1 | 1 |
| Budtenders | \$35,000 + 3% annual increase | 4 | 6 | 8 | 10 | 10 |
| Delivery Drivers | \$35,000 + 3% annual increase | 1 | 2 | 3 | 4 | 5 |
| Security Guards | \$52,000 + 3% annual increase | | 2 | 2 | 2 | 2 |
| | TOTAL | Ш | 16 | 19 | 22 | 23 |

Living Wage: Pacific Roots will set base wages that exceed the minimum wage by at least \$2 per hour. Presently, the California minimum wage is \$12 per hour, while the federal wage is \$7.25 per hour. Pacific Roots' living wage of \$18.22 per hour will be more than \$6 per hour greater than both the state and federal minimum wages.

Health Benefits: Pacific Roots will make group health benefits available to full-time employees and contribute at least \$1.25 per hour, or \$2,500 per year, per employee.

Leave and Paid Time-Off: Pacific Roots will offer leave and paid time-off under the following circumstances:

i. personal leave of absence;
ii. vacation;
iii. emergency responder;
iii. sick leave;
ivii. temporary disability;
viii. organ donation;
xiv. pregnancy;
xv. military;

iv. voting;x. civil air patrol;xvi. military spouse; orv. bereavement;xi. school disciplinary matters;xvii. school activities.

vi. jury or witness duty; xii. bone marrow donation;

Labor Peace Agreement: Pacific Roots will enter into a "labor peace agreement" regardless of its number of employees and

has already entered into a labor peace agreement with the UFCW.

Local Hire: Pacific Roots is committed to hiring at least six Marina residents for jobs in the retail dispensary. Pacific Roots will track its local hire efforts and publish its local hire stats to the City of Marina annually.

EMPLOYEE TRAINING

Pacific Roots hires all employees for a three-month probationary period. All employees will participate in a rigorous training program, which includes standard operating procedure review, one-on-one training, and mentoring. Pacific Roots will not grant access to limited-access areas until after the new employee has completed all training. Initial training begins with a four-hour session that covers state and local regulations, cannabis science, security, and job-specific operations.

State and Local Regulations: State and local regulation training covers all state, local, and federal laws related to cannabis, including the Adult Use and Medical Regulation and Safety Act, the Compassionate Use Act of 1996, and the Marina Municipal Code. Other topics will include the rules and regulations of the dispensary, sexual harassment training, effective interaction with state and local regulators, and the rights of medical patients and adult-use customers.

Cannabis Science: Cannabis science training covers the proper uses, risks versus benefits, and side effects of cannabis, types of cannabis goods, forms of ingestion, and guidelines for providing support to patients selecting cannabis products. Depending on job description, this section will also include a discussion of recognizing the signs and symptoms of substance abuse and guidelines for refusing service to individuals who appear impaired, deterring minor access, impaired driving, and cannabis abuse disorder.

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Shadowing: As a final component of training, Pacific Roots will have new employees shadow existing employees. Once employees complete the job shadowing component, Pacific Roots will grant access to limited-access areas, subject to the scope of the employee's duties.

MARKETING PLAN

Pacific Roots will promote its medical and adult-use cannabis business primarily through education initiatives.

Audience Data: Before undertaking any marketing effort, Pacific roots will reliable up-to-date audience composition data demonstrating that at least 71.6 percent of the audience viewing the advertising or marketing is reasonably expected to be 21 years of age or older. 16 CCR § 5040(a)(1). Pacific Roots will not uses images of minors or anyone under 21 years of age in its advertisements, images that are appealing to children, and promotions for free cannabis goods or giveaways. 16 CCR § 5040(a).

Website: Prior to commencing operations, Pacific Roots will launch a website for its business. The website will feature informa-









tion on Pacific Roots and contain a mechanism for submitting feedback. The website will contain information about the business, cannabis goods, the legal status of cannabis dispensaries in Marina, and other educational material. The website will also contain a community calendar featuring events relating to Pacific Roots.

Social Media: Pacific Roots will establish an education- and community-centric social media presence. Social media platforms include Instagram, Facebook, and Weedmaps. Social media postings will highlight dispensary events, educational material, newsworthy events, and information pertaining to Pacific Roots. Pacific Roots will incorporate an electronic age gate to reduce exposure to minors.

Educational Seminars: Pacific Roots will host public education events at its retail location. The education events will feature expert speakers and topics related to cannabis. Possible topics include:

- i. introduction to cannabis;
- ii. the science of cannabis; and
- iii. what's up with CBD?.

The educational seminar program is modeled on Bud and Bloom's educational programing.

No Billboards or Sign Spinners: To reduce minors' exposure to cannabis, Pacific Roots will not establish billboards for its Marina location. Pacific Roots will not hire sign spinners or utilize any other portable outdoor signage.

Community Visibility: When appropriate, Pacific Roots may maintain a presence at community events aimed at adults. Possible events include Monterey County Peninsula Pride Parade and Celebration, expungement clinics, and Monterey Wine Festival. Pacific Roots will not dispense cannabis goods to anyone at community events. Pacific Roots will sell branded merchandise for a penny at events.

Direct Solicitation: Once a customer or patient has been verified, Pacific Roots may email that customer or patient. Direct solicitations may include information about in-store events, promotions, and new products. Pacific Roots will not add an individual to its email list until that individual has personally visited the store and has had their age verified. 16 CCR § 5041(a) and (d).

FACILITY MAINTENANCE

Pacific Roots is committed to providing a dispensary that will blend with and not become a nuisance to the surrounding neighborhood. Pacific Roots will not display cannabis goods or graphics depicting cannabis goods in a manner such that they will be visible from the exterior of the premises. Management and employees of Pacific Roots will inspect the exterior of the premises periodically to ensure that no cannabis goods or graphics depicting cannabis goods are visible from the exterior.

Exterior Premises: Security will actively patrol the exterior of the premises to ensure compliance with the above policies and procedures outside of the physical premises.

All persons found violating this provision will be immediately removed from the premises and will not be allowed to return for at least 24 hours.

Signage: Pacific Roots intends to install a wall sign reading "Pacific Roots Marina." The sign will occupy existing signage space on the structure. Prior to installation, Pacific Roots will apply for permits and will undergo architecture design review. MMC § 17.59.040. Pacific Roots will submit accurate plans, drawings, color boards, examples of materials, and any other information specified by the director of planning showing the size, shape, location, color, materials, and physical relationship to the site of the sign and sign structure. Pacific Roots will not install any prohibited signs, including but not limited to portable signs, animated signs, billboard signs, vehicle signs, roof signs, and/or projecting signs. MMC § 17.59.160.

Emergency Contact and Community Liaison: To facilitate easy and open communication for the community, Pacific Roots has designated an Emergency Contact and Community Liaison. Contact information for the Emergency Contact and Community Liaison will be displayed on the exterior of the facility. Contact information will include the name, telephone number (both mobile and landline), and email address. The Emergency Contact will be available 24 hours per day and will be able to respond to emergency situations immediately. Owner Brandon Gesicki will serve as the Emergency Contact and Community Liaison.

Odor Control: As discussed in greater detail in the Neighborhood Compatibility Plan, Pacific Roots has a thorough Air Quality Plan. Air scrubbers and filtration systems will be constructed and installed to eliminate odor from escaping and to ensure that cannabis odors are undetectable outside the dispensary or in any space or area adjacent to the dispensary. The dispensary will not engage in any processes that will cause noxious fumes or gases. Further, Pacific Roots is committed to minimizing odor from its facility prior to operations. The dispensary will feature a ventilation exhaust system that will be designed by a qualified HVAC technician to ensure a constant negative pressure in the building sufficient to minimize any cannabis odors in and around the dispensary.

The Odor Control System will revolve around rooftop-mounted exhaust fans that are coupled to in-line carbon filters. Air is drawn into the carbon filters, where odors are trapped by the activated charcoal and discharged to the exterior. This continuous drawing of air into the filter system creates a negative pressure or vacuum in the space in relation to the outside barometric pressure. That means that rather than air escaping the building's interior through small cracks, around doors, etc., it draws air into the building through any crack or void that may exist. Odors are prevented from escaping, and the air is constantly being scrubbed and replaced by outside air.

Pacific Roots will also regularly communicate with and actively respond to its neighbors to quickly address any concerns they might have.

INVENTORY TRACKING & MONITORING TO PREVENT DIVERSION

Pacific Roots will monitor inventory through and the state Track-and-Trace system. All cannabis goods, except for limited amounts used for display purposes or immediate sale, will be securely stored in inventory at all times, and the entrance to all storage areas will be locked and under the control of staff. MMC § 19.02.070. Employees and managers will be able to monitor inventory on hand constantly and will only place on the dispensary floor the amount of inventory necessary to meet daily demand. Pacific Roots will store all excess cannabis goods in a vault, which will be strictly controlled to limit the potential for loss and diversion.

Inventory Tracking: Pacific Roots' inventory system will have two primary goals:

- i. accurate, verifiable tracking of all inventory; and
- ii. assembly of a daily menu of those products most desired by patients and customers.

serves as the platform for inventory management. records digital record of all information required by regulation, including











- i. a description of each item;
- ii. quantity;
- iii. date and time received

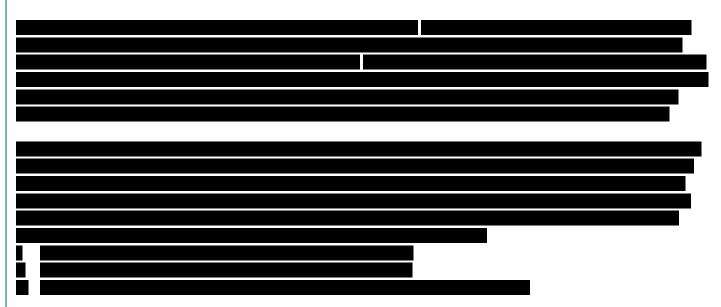
- iv. sell by or expiration date;
- v. name and license number of distributor; and
- vi. the cost paid. 16 CCR § 5423.

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| Shipment Intake and Inspection: | |
| Shipment intake and inspection. | |
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| | oods are labeled with the batch number that matches the batch number on annabis goods is consistent with the COA regarding cannabinoid content and |
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| software debits the product from inventory at the p customer. The coding system for inventory tracking | is goods from arrival, into inventory, and through the point of sale. The point of sale. The software assigns each cannabis good sold to a purchasing gassigns a unique barcode to each retail package. The barcode identifies ciates each cannabis good with a larger bulk shipment in inventory. The net |

weight of the individual packages equals the size of the bulk product that will be delivered to Pacific Roots. A flash audit of the

system shows arrival of the original batch shipment, packages currently in inventory, and product sales, including quantities per sale and the individual purchaser's unique patient or customer identification number. This will allow Pacific Roots to locate and track all products in the supply chain and to identify immediately the source of any unauthorized inventory reduction or suspected diversion.

Pacific Roots' coded inventory system will be fully integrated into ______. This will provide for seamless communication between inventory and sales. It will also provide an additional level of security, promoting and preserving customer safety and ensuring inventory and financial integrity. Pacific Roots will be able to manage the entire supply chain and financial history from one interface, effectively reducing if not eliminating the risk of diversion and financial fraud.



Recordkeeping: Pacific Roots will maintain both hard-copy and electronic back-ups of its business records for at least seven years in accordance with State requirements. BPC §§ 26160(b) & 26161(b); 16 CCR § 5037. Such records include the following:

- i. all required financial records;
- ii. personnel records;
- iii. training records;
- iv. contracts with other licensees concerning commercial cannabis activity;
- v. permits and licenses;
- vi. security records;

- vii. records related to the destruction of cannabis and cannabis products;
- viii. all data entered within the track-and-trace system (including MJ Freeway data); and
- ix. any other document executed by Pacific Roots owners or employees in connection with the commercial cannabis activities of Pacific Roots. 16 CCR § 5037(a).

Sales Records: Sales will be accurately recorded in and the state track-and-trace system as required. 16 CCR §§ 5049 & 5425. Pacific Roots logs:

- i. the name of the employee and the employee number of the employee making the sale;
- ii. the first name of the customer and the Pacific Roots-assigned customer number associated with the purchasing customer;
- iii. the date and time of the transaction;
- iv. an itemized list of all cannabis and cannabis products purchased by the customer, including the quantities purchased; and
- v. the total amount paid for the cannabis and cannabis products, including the individual prices for each cannabis item and any amounts for taxes. 16 CCR §§ 5049 & 5425.

Government Access: Pacific Roots will provide state and local regulators and law enforcement access to its business records. 16 CCR § 5037(c). MJ Freeway's software provides mechanisms to track the production, transportation, destruction, and sale of legal cannabis. Extensive reporting capabilities allow state and local governments to enforce regulations, collect taxes, and prevent diversion. The Traceability system has passed a SAS No. 70 audit (now SSAE 16) to certify compliance with strict standards for electronic prescriptions of all legal classes of medication. Records will be produced in either physical or electronic form upon request. 16 CCR § 5037(e).









The General Manager in coordination with the Compliance Officer must ensure that there is not a "Significant Discrepancy," as defined by 16 CCR § 5034, between inventory on hand and inventory logged into the inventory management software and the state track-and trace-system. 16 CCR § 5424.

Track-and-Trace: is designed to be fully compliant and compatible with the State mandated track-and-trace system operated by Metrc.

CalCannabis, a division of the California Department of Food and Agriculture, manages California's trace-and-trace system, which tracks all commercial cannabis and cannabis products from cultivation to sale. This statewide system is called California Cannabis Track-and-Trace ("CCTT") and will be used by all state cannabis licensees (e.g., cultivation, manufacturing, retail, distribution, testing laboratories, and microbusinesses). BPC § 26067. SBMC § 5.10.270.

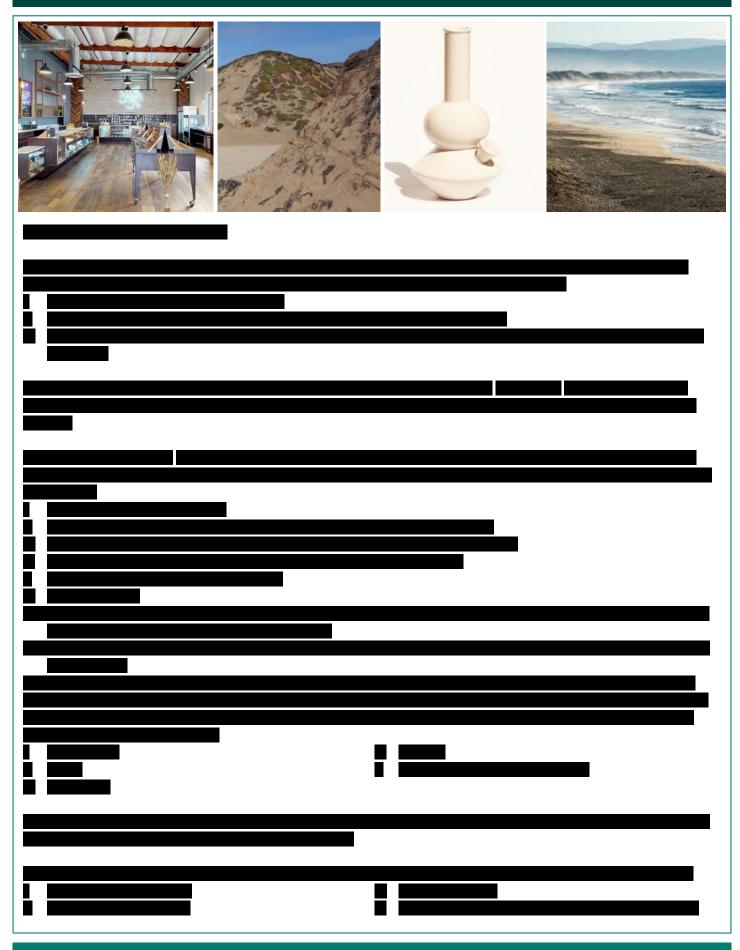
Metrc Account: Pacific Roots will obtain user access to Metrc upon approval of an annual license. Pacific Roots will complete the required training and set up a user profile. Pacific Roots will ensure all employees are properly trained. Training includes review the Metrc Manual, State Supplemental Guide, and Metrc Training. Employees will have access to Metrc Interactive Support via email (support@metrc.com) and telephone (877-566-6506). Employees will have access to all Metrc training material and contact information.

Account Manager: Pacific Roots will designate one owner as the track-and-trace manager. 16 CCR § 5048(b). This track-and-trace manager will be responsible for overseeing all aspects of the track-and-trace system. The track-and-trace manager will complete all required training and continuing education. 16 CCR § 5048(b)(1). If Pacific Roots has not completed the required training prior to receiving an annual license, the manager will complete training within five calendar days of license issuance. 16

| CCR § 5048(b)(2). |
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| Track-and-Trace Records: |
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| Loca of Accord If Dacific Doots locas accord to the track and trace system. Dacific Doots will immediately coase transport trans |
| Loss of Access: If Pacific Roots loses access to the track-and-trace system, Pacific Roots will immediately cease transport, transfer, or delivery of cannabis goods until access can be restored. 16 CCR § 5050(c). The track-and-trace manager will immediately notify the BCC when access to the Metrc system has been lost, what caused the loss of access, and when access has been restored. 16 CCR § 5050(b). If access to Metrc is lost, the track-and-trace manager or shift manager on duty will prepare comprehensive records detailing commercial cannabis activities and the required information normally inputted into the track-and-trace system. 16 CCR § 5050(a). Within three calendar days of losing access to CCTT-Metrc, the track-and-trace manager will input these comprehensive records into the system. 16 CCR § 5050(c). |
| DELIVERY |
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BUSINESS PLAN: DELIVERY 5





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III.

COMPLIANCE WITH STATE AND LOCAL LAW

Pacific Roots will comply with all applicable local and state laws, ordinances, and regulations related but not limited to the following: the California Environmental Quality Act, California Building Code, California Fire Code, Riverside County Ordinance Nos. 348, 457, 657, 745, and 787, Airport Land Use Compatibility Plans, weights and measures regulations, track-and-trace requirements, pesticide use, water quality, storm water discharge, and the grading of land ("Applicable Law").

Pacific Roots will implement a Regulatory Compliance Program overseen and administered by well-known cannabis attorney and owner-operator of Pacific Roots Aaron Herzberg, Esq. to ensure compliance with Applicable Law through:

- i. policies and procedures;
- ii. a compliance officer;
- iii. training and education;
- iv. effective lines of communication;

- v. well-publicized disciplinary standards;
- vi. routine audit and monitoring; and
- vii. corrective-action plans and discipline for compliance violations.

Policies and Procedures: Pacific Roots will establish policies and procedures for all aspects of its operation, including but not limited to security, quality control, waste management, inventory, transportation, track-and-trace, security, retail sales, facility cleanliness, and reporting compliance violations. The SOPs will describe compliance and operational expectations. To ensure compliance with state and local law, SOPs will provide guidance to employees and others on dealing with suspected, detected, or reported compliance issues.

Compliance Officer: Pacific Roots has appointed Aaron Herzberg to serve as its Compliance Officer. In this capacity, Mr. Herzberg will be responsible for overseeing and enforcing the organization's compliance program.

Training and Education: All employees will receive training, including the CEO, senior executives and management, and compliance officer. Pacific Roots will provide initial training upon hire and annual refresher training. Additional training will be provided as new requirements or issues emerge. Pacific Roots will document training with employee signatures and maintain such records for seven years.

Lines of Communication: Pacific Roots will establish multiple lines of communication to transmit compliance information, including newsletter, email, flyers or posters with contact information, regular meetings, and training materials with clear contacts. Employees may confidentially report compliance violations and/or concerns to management through various means, including email transmission and a staff comment box. All trainings and compliance material will emphasize a clear policy of reporting without fear of retaliation. Supervisors will regularly communicate with the Compliance Officer about operational compliance issues.

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COMMUNITY BENEFITS SUMMARY

Pacific Roots has developed a robust community benefits program for Marina. Please see the Community Benefits & Neighborhood Compatibility Plan for the full plan.

The proposed community benefits plan features annual gifts to local non-profits. The local non-profits include the Veteran's Transition Center, American Legion - Marina Chapter, Marina Grange, Friends of Marina Library, and the Filipino American Community Club of Monterey Peninsula. Pacific Roots has already made initial donations to these organizations. Pacific Roots commits to making larger annual donations if awarded a permit to operate a dispensary.

Second, the community benefit plan incorporates a local hiring program into its staffing plan. Pacific Roots will hire at least six local Marina residents initially. Pacific Roots will continue to hire more with growth. Pacific Roots will provide an annual report documenting outreach efforts and local hiring statistics. This will ensure new economic opportunities for residents in Marina.

Third, Pacific Roots will provide educational programming at the dispensary site. The dispensary includes a community educational room. Pacific Roots will partner with local non-profits for programming, including veterans groups, support groups for the seriously ill, and civic organizations. Pacific Roots will also provide a Senior Shuttle to ensure that the elderly have a means of transportation to the dispensary.

Finally, whenever possible, Pacific Roots will utilize local businesses and contractors to outfit its business. Pacific Roots has identified several local companies for its construction buildout and a local company to implement its security plan.

FINANCIAL PLAN

Pacific Roots hereby submits this Financial Plan for consideration in connection with its application for dispensary permits. This plan includes discussions pertaining to:

- i. financial recordkeeping;
- ii. startup costs, sales projections, and 3-year pro forma;
- iii. source of funds and proof of funds; and
- iv. market study.

FINANCIAL RECORDKEEPING

Pacific Roots will maintain all records and capture all transactions within an enterprise resource planning (ERP) accounting system. All records will be maintained under U.S. Generally Accepted Accounting Principles (U.S. GAAP) and internal policies and procedures. Internal accounting policies and procedures will govern the application of U.S. GAAP for our records and internal controls of the business.

REVENUES

Revenues will be maintained and recorded on an accrual basis. All retail sales will be tracked within a cannabis focused Point-Of-Sale (POS) system with built in Seed-To-Sale (STS) and Metrc tracking capabilities, and reconciled daily to cash receipts and the accounting records. Sales records will be systematically transferred to accounting system.

EXPENSES

Expenses will be maintained and recorded on an accrual basis. Internal processes to monitor expenses include: monthly cash outflows reconciliations and review and classification of all invoices. Internal controls will be established over authorized account signatories, contract approval limits, and expense coding guidelines.

ASSETS

Assets will be maintained and recorded on an accrual basis. An inventory management system with STS and Metrc tracking capabilities will be used to maintain inventory records and will be updated daily with inventory counts. Inventory records will be systematically transferred to accounting system. Internal controls will be established over independent inventory counts and inventory coding guidelines. Property, plant, and equipment will be maintained and recorded within the accounting system, adjusted for appropriate depreciation methodology on a monthly basis. Asset receivables will be reconciled and aged each month to evaluate collectability and write-offs.

LIABILITIES

Liabilities will be maintained and recorded on an accrual basis within the accounting system.

Internal processes to monitor liabilities include monthly expense accrual classifications, invoice analysis, contract assessments, and reconciliation of outstanding debt and repayments.

CASH MANAGEMENT

Cash will be reconciled on a daily basis with all cash receipts and cash payments internally tracked. Cash will be maintained in both banking institutions that are open to working with local licensed cannabis operators, and secured cash vaults. Internal controls will be established over cash management process included independent cash counts and segregation of duties.

STARTUP BUDGET, SALES PROJECTIONS, AND PRO FORMA

Income Statement

| _ | | Year 1 | | Year 2 | | Year 3 |
|--|----|--------------------|----|----------------------|----|----------------------|
| Revenues | | | | | | |
| Recreational | \$ | 749.053 | \$ | 961 205 | Ļ | 000 400 |
| Flower Concentrates | Ş | 748,952 962,939 | Ş | 861,295 1,107,379 | \$ | 990,489 1,273,486 |
| Edibles | | 213,986 | | 246,084 | | 282,997 |
| Topicals | | 128,392 | | 147,651 | | 169,798 |
| Pre-Rolls | | 85,595 | | 98,434 | | 113,199 |
| Accessories & Others Units | | 112,624 | | 123,042 | | 141,498 |
| Total Gross Revenues - Recreational | \$ | 2,252,488 | \$ | 2,583,885 | \$ | 2,971,468 |
| <u>Medical</u> | | | | | | |
| Flower | \$ | 182,291 | \$ | 209,635 | \$ | 241,080 |
| Concentrates | | 234,374 | | 269,530 | | 309,960 |
| Edibles | | 52,083 | | 59,896 | | 68,880 |
| Topicals | | 31,250 | | 35,937 | | 41,328 |
| Pre-Rolls | | 20,833 | | 23,958 | | 27,552 |
| Accessories & Others Units | | 27,412 | | 29,948 | | 34,440 |
| Total Gross Revenues - Medical | \$ | 548,244 | \$ | 628,904 | \$ | 723,240 |
| Total Gross Revenues | \$ | 2,800,732 | \$ | 3,212,789 | \$ | 3,694,708 |
| cogs | | - | | - | | - |
| Flower | \$ | 530,809 | \$ | 589,011 | \$ | 665,047 |
| Concentrates | | 682,468 | | 757,300 | | 855,061 |
| Edibles | | 151,660 | | 168,289 | | 190,014 |
| Topicals | | 90,996 | | 100,973 | | 114,008 |
| Pre-Rolls | | 60,664 | | 67,316 | | 76,005 |
| Accessories & Others Units | | 79,821 | | 84,144 | | 95,007 |
| Packaging Costs | | 24,000 | | 27,600 | | 31,740 |
| Total COGS | \$ | 1,620,417 | \$ | 1,794,634 | \$ | 2,026,882 |
| Gross Profit | | 1,180,315 | | 1,418,155 | | 1,667,826 |
| % Gross Profit | | 42% | | 44% | | 45% |
| Operating Expenses | | 400.000 | , | 400.000 | | 100.000 |
| Advertising & Promotion Expense | \$ | 180,000 | \$ | 180,000 | \$ | 180,000 |
| Bank Service Charges | | 24,000 | | 24,000 | | 24,000 |
| Delivery Expenses | | 16,200 | | 18,630 | | 21,425 |
| Dues & Subscriptions Expense Insurance Expense | | 10,200 60,000 | | 10,560 61,800 | | 10,974 63,654 |
| Legal & Professional Expense | | 62,000 | | 62,000 | | 62,000 |
| Licensing Expense | | 27,000 | | 19,000 | | 19,000 |
| Office Supplies Expense | | 6,000 | | 6,000 | | 6,000 |
| Payroll Expense | | 394,363 | | 659,344 | | 792,355 |
| Rent Expense | | 138,000 | | 138,000 | | 138,000 |
| Repairs & Maintenance Expense | | 8,000 | | 8,000 | | 8,000 |
| Security Expense | | 111,956 | | 115,315 | | 118,774 |
| State LLC Fees | | 6,000 | | 6,000 | | 6,000 |
| Telephone & Internet Expense | | 8,400 | | 8,400 | | 8,400 |
| Utilities Expense | | 30,000 | | 30,900 | | 31,827 |
| Total Operating Expenses | \$ | 1,082,119 | \$ | 1,347,948 | \$ | 1,490,409 |
| EBITDA | _ | 98,196 | | 70,207 | | 177,417 |
| Less: Depreciation | | (49,048) | | (49,048) | | (49,048 |
| Less: Amortization | | (6,667) | | (6,667) | | (6,667) |
| Total Depreciation & Amortization | ١ | (55,714) | | (55,714) | | (55,714 |
| EBIT | | 42,482 | | 14,493 | | 121,702 |
| Less: Interest Expense | \$ | _ | \$ | _ | \$ | _ |
| Less: State Tax Expense | 7 | 800 | 7 | 800 | 7 | 800 |
| Total Interest & Tax Expenses | \$ | 800 | \$ | 800 | \$ | 800 |
| | | | | | | |
| Net Income | \$ | 41,682 | \$ | 13,693 | \$ | 120,902 |



Summary Statements

| | | Year 1 | | Year 2 | | Year 3 |
|--|-----------------|----------------------------|-----------------|----------------------------|----------|-----------------------------|
| | | | | | | |
| Revenue | \$: | 2,800,732 | \$ | 3,212,789 | \$ | 3,694,708 |
| COGS | | 1,620,417 | | 1,794,634 | | 1,620,417 |
| Gross Profit | | 1,180,315 | | 1,418,155 | | 1,180,315 |
| % Gross Profit | | 42% | | 44% | | 45% |
| Operating Expenses | \$ | 1,082,119 | Ś | 1,347,948 | Ś | 1,082,119 |
| EBITDA | \$ | 98,196 | \$ | 70,207 | \$ | 177,417 |
| | | | | | | |
| Less: Depreciation | \$ | (49,048) | 1 | (49,048) | \$ | (49,048) |
| Less: Amortization | \$ | (6,667) | \$ | (6,667) | \$ | (6,667) |
| EBIT | \$ | 42,482 | \$ | 14,493 | \$ | 121,702 |
| Less: Interest Expense | \$ | _ | \$ | _ | \$ | _ |
| Less: Income Tax Expense | 7 | 800 | ~ | 800 | * | 800 |
| Net Income | \$ | 41,682 | \$ | 13,693 | \$ | 120,902 |
| | | | | | | |
| <u>Balar</u> | ice S | heet | | | | |
| Assets | | | | | | |
| Current Assets | | | | | | |
| Cash | \$ | 676,606 | \$ | 734,013 | \$ | 893,469 |
| Accounts Receivable | | - | | - | | - |
| Inventory | | 150,000 | | 165,000 | | 186,450 |
| Prepaid Expense | | - | | - | | - |
| Total Current Assets | \$ | 826,606 | \$ | 899,013 | \$ | 1,079,919 |
| Fixed Assets | | | | | | |
| Tenant Improvements | \$ | 650,000 | \$ | 650,000 | \$ | 650,000 |
| Equipment | | 40,000 | | 40,000 | | 40,000 |
| Accumulated Depreciation | | 49,048 | | 98,095 | | 147,143 |
| Net Real Assets | \$ | 640,952 | \$ | 591,905 | \$ | 542,857 |
| Intancibles | \$ | 100,000 | \$ | 100,000 | \$ | 100.000 |
| Intangibles Accumulated Amortization | Ş | 6,667 | Ş | 13,333 | Ş | 100,000 20,000 |
| Net Intangible Assets | \$ | 93,333 | \$ | 86,667 | \$ | 80,000 |
| Ü | · | • | | ŕ | | ŕ |
| Total Assets | \$: | 1,560,891 | \$ | 1,577,584 | \$ | 1,702,776 |
| 12-1-20-2 | | | | | | |
| Liabilities Current Liabilities | | | | | | |
| Accounts Payable | \$ | 30,000 | \$ | 33,000 | \$ | 37,290 |
| Other Current Liabilities | Ψ. | - | ~ | - | * | - |
| Total Current Liabilities | \$ | 30,000 | \$ | 33,000 | \$ | 37,290 |
| | | | | | | |
| Long-Term Liabilities | | | | | | |
| Notes Payable Total Long-Term Liabilities | \$ \$ | - | \$ \$ | - | <u>۲</u> | - |
| Total Long-Term Liabilities | Þ | - | Þ | - | Þ | - |
| Total Liabilities | \$ | 30,000 | \$ | 33,000 | \$ | 37,290 |
| | | | | | | |
| Owner's Equity | | | | | | |
| Beginning Capital | \$ | - | \$ | 1,530,891 | \$ | 1,544,584 |
| Contributions | | 1,489,210 | | 12 602 | | 120.002 |
| Net Income Total Owner's Equity | Ś | 41,682 1,530,891 | Ś | 13,693 1,544,584 | Ś | 120,902 1,665,486 |
| Total Officer of Equity | Ψ. | _,550,051 | ~ | _,5-1-,50-1 | ~ | _,000,400 |
| Total Liabilities & Owner's Equity | \$: | 1,560,891 | \$ | 1,577,584 | \$ | 1,702,776 |
| | | | | | | |



Statement of Cash Flows

| | | Year 1 | | Year 2 | Year 3 |
|---------------------------------------|-----|-----------------|----|----------|---------------|
| Operating Activities | | | | | |
| Net Income | \$ | 41,682 | \$ | 13,693 | \$ 120,902 |
| Accounts Receivable | | - | | - | - |
| Inventory | | (150,000) | | (15,000) | (21,450) |
| Prepaid Expense | | - | | - | - |
| Amortization | | 6,667 | | 6,667 | 6,667 |
| Depreciation | | 49,048 | | 49,048 | 49,048 |
| Accounts Payable | | 30,000 | | 3,000 | 4,290 |
| Net Cash Flow from Operations | \$ | (22,604) | \$ | 57,407 | \$ 159,457 |
| Investing Activities | | | | | |
| Real Assets | \$ | (690,000) | \$ | - | \$ - |
| Intangibles | | (100,000) | | | |
| Net Cash Flow from Investing | \$ | (790,000) | \$ | - | \$ - |
| Financing Activities | | | | | |
| Equity Issued | \$ | - | \$ | - | \$ - |
| Debt Issuance | | - | | - | - |
| Net Cash Flow from Financing | \$ | - | \$ | - | \$ - |
| Beginning Cash | Ś | 1,489,210 | Ś | 676,606 | \$ 734,013 |
| Net Change in Cash | • | (812,604) | | 57,407 | 159,457 |
| Ending Cash | \$ | 676,606 | \$ | 734,013 | \$ 893,469 |
| Contribut | ion | <u>Schedule</u> | | | |
| Estimated Buildout Costs | \$ | 650,000 | \$ | - | \$ - |
| Operating Expenses | | 270,530 | | - | - |
| Cash Reserve | | 251,680 | | - | - |
| Inventory | | 150,000 | | - | - |
| Legal & Professional Fees - Licensing | | 100,000 | | - | - |
| Licenses | | 27,000 | | - | - |
| Equipment | | 40,000 | | - | - |
| Total | \$ | 1,489,210 | \$ | - | \$ - |

SOURCE OF CAPITAL AND PROOF OF CAPITAL

The source of capital is cash on hand. Owner Avi Marciano has committed \$6 million for this project. Wells Fargo has confirmed that Avi Marciano has cash on hand to cover transactions of up to \$6 million. This is sufficient to cover startup costs identified in the proforma, including construction (\$650,000), and 12 months of operating expenses (\$1,089,119) and inventory (\$1,620,417).

Alberto Avi Marciano

May 23, 2019

Pacific Roots Marina, LLC Attention: Aaron Herzberg, Managing Member 3100 Del Monte Boulevard Marina, CA 93933

Subject: Proof of Funds for Pacific Roots Marina, LLC

Dear Mr. Herzberg,

This letter will confirm that I, Alberto Avi Marciano, shall provide funding as needed of up to \$6,000,000.00 (per the budget) to Pacific Roots Marina, LLC to assist in financing the first year of operations of its cannabis dispensary in the City of Marina. The enclosed letter from Wells Fargo, dated May 23, 2019, verifies that the funds are available in my personal Wells Fargo accounts.

Alberto Avi Marciano



5 Park Plaza 20th Floor Irvine, CA 92614

May 23, 2019

Subject: Verification of available funds for Alberto Avi Marciano

To Whom it May Concern:

We are providing this letter as verification that Alberto Avi Marciano has sufficient funds available with Wells Fargo to cover transactions up to \$6,000,000.00 as of 5/23/2019. Please note that this amount of availability does not reflect items or amounts that may not have yet posted. The information provided is accurate as of the stated date, but is not a guarantee of funds availability after that date.

Thank you.

Sincerely,

Pamela Govette

Private Bank Client Associate WM Orange County Region

NMLSR ID 614883

MARKET STUDY

INTRODUCTION

Pacific Roots hired Steven Davenport to perform a market study of the cannabis market in Marina, CA. This report estimates annual sales of cannabis by a potential licensed cannabis dispensary to be operated by Pacific Roots Marina in Marina, California. To do so, Pacific Roots followed a three-step methodology:

- Estimate aggregate demand for cannabis by individuals living in or visiting Marina, California, considering population counts and typical cannabis use profiles.
- Estimate sales volumes for all cannabis retailers in Marina, given estimates for market share across recreational, medical, and illicit markets.
- Estimate sales volumes for a particular licensed cannabis retailer, as a function of its license type and market competitiveness.

Demand can be decomposed to two separate sources: 1) residents of Marina, California and nearby areas who use cannabis and purchase year-round and 2) overnight visitors to Monterey County who may purchase cannabis during their visit. Demand estimates are calculated by estimating demand from all past-month cannabis users and then annualizing. This demand-estimation approach has been used for several notable market estimates (Kilmer et al, 2013, 2014). For each, Mr. Davenport estimates aggregate cannabis consumption and the share of that consumption that may be captured by local retailers (rather than the illicit market or non-Marina retailers).

After demand has been estimated, Mr. Davenport estimates sales for all stores in Marina, and also expected sales for a hypothetical store operated by Pacific Roots. To do so Mr. Davenport adjusts for three factors:

- The portion of demand captured by recreational, medical, or illicit retailers
- The number of licenses to be issued by Marina, CA
- The expected competitive market share of the Pacific Roots license.

Steven Davenport (M.S., m. Phil) is a doctoral candidate at the Pardee RAND Graduate School, an assistant policy researcher at the RAND Corporation, and an advisor and former managing director at BOTEC Analysis. Mr. Davenport is trained as a policy-oriented data scientist and regulatory consultant. He holds a Master's Degree in Public Policy and Management (Data Analytics track) at Carnegie Mellon University, and a B.A. from UCLA in International Development. He will finish his PhD in August 2019.

Mr. Davenport has consulted for a number of governments seeking to develop medical and recreational cannabis regimes, including Washington State, Canada, Jamaica, and a Federally-recognized Indian Tribe. During the period that BOTEC Analysis consulted for the Washington State Liquor and Cannabis Board regarding the implementation of Initiative 502, he served as project manager and Managing Director of BOTEC.

His academic research focuses on the study of licit and illicit cannabis markets, largely in the United States, specifically regarding the quantitative analysis of available market-related data (e.g. relating to licensees, products, prices) and patterns of cannabis use.

Mr. Davenport has published in Addiction, International Journal of Drug Policy, Journal of Drug Issues, and the Journal of Drug Policy Analysis, on topics including nationwide trends in cannabis use and markets; analysis of the recreational cannabis market in Washington State; and review of medical cannabis licensing regimes in Jamaica. He has served as reviewer for numerous journals related to drug policy, including American Journal of Public Health, Drug and Alcohol Dependence, International Journal of Drug Policy, and Journal of Drug Issues. His writing has also appeared in the United Nations Office of Drugs and Crime report "World Drug Report 2017" and the National Academy of Science's "Health Effects of Marijuana and Cannabis-Derived Products" (2017). His work has been featured in popular outlets including New York Post, VICE, Vox, and Washington Post.

ESTIMATING LOCAL CANNABIS DEMAND

To estimate marijuana demand (i.e. "consumption"), Mr. Davenport first identifies a catchment area, then considers local population counts, past-month cannabis use rates, daily cannabis use quantities, and an estimated average price of THC.

A catchment area is constructed at the census tract-level, consisting of five census tracts within Marina, California (Tracts 141.02, 142.01, 142.02, 143.01, and 143.02). Mr. Davenport also identifies three tracts that lie between Marina and the nearest stores in Salinas, Castroville, and Seaside (Tracts 141.05, 141.04, and 141.07), for which he assumes respectively 75%, 50%, and 10% of consumption purchased from licit channels will be purchased at a store in Marina. Considering this catchment area, Mr. Davenport identifies 24,564 individuals in 2017 according to the American Community Survey Five-Year Estimates, which he projects forward to 2020 at a 2% annual growth rate, yielding 26,068 people. Of these, he estimates 18,248 are over 18 years old and therefore of age to purchase medical cannabis.

Rates of cannabis use are provided from the National Survey on Drug Use and Health (NSDUH). For the four-county region of Monterey, San Benito, Santa Cruz, and San Luis Obispo counties, NSDUH data show 13.5% of 18+ year-old respondents reported cannabis use in the past-month, and 20.6% in the past year (SAMHSA, 2018).

Respondents are known to under-report substance use to surveys; to account for this, he adjusted self-reported use rates upward by 15%, somewhat below the 20% figure typically used in well-respected cannabis demand estimates, given that cannabis use is less stigmatized in California than the nation overall, so under-reporting rates may be somewhat more moderate (Kilmer et al. 2014, 2013). Given these data, he estimates 4,210 Marina residents are past-month cannabis users. The average past-month user reports using cannabis on 14 days of the past 30 (Davenport and Caulkins, 2016). Referencing the academic literature regarding typical quantities of cannabis consumption from past-month years, Mr. Davenport estimates each past-month user to use 1.36 grams per day of cannabis flower or equivalent quantities of cannabis concentrates and/or other cannabis-derived products, equal to 272mg of THC (Kilmer et al, 2014).

Monterey County collects 4.6 million overnight visitors per year, for a total of 12,100,000 person-days (Dean Runyan Associates, 2019), of which he estimates 9.5 million are from individuals aged 18 years or older. Tourism surveys suggest 62% of overnight visitors come from elsewhere in California, and another 14% from elsewhere in the U.S. (Monterey County Convention and Visitors Bureau, 2018). For modeling purposes, Mr. Davenport assumes overnight visitors use cannabis during their trip at a rate resembling the average daily use typical of California adults (18+, 11.8% of whom report past-month use), consistent with the fact that 74% of Monterey County visitors are on vacation or a weekend getaway. He further assumes that during their trip visitors will purchase cannabis in equal proportion to their cannabis use, though not necessarily from legal stores or stores in Marina. Monterey County tourism statistics indicate 4% of all overnight visitor spending in the county accrues to Marina, California (Tourism Economics, 2017). Mr. Davenport assumes Marina collects this same share of cannabis spending from overnight visitors.

Based on observations in Colorado and Washington post-legalization, Mr. Davenport anticipates there may be increases in past-month cannabis use among Californians in the years since the most recent NSDUH survey data. Recreational cannabis stores opened in 2014 in Washington and Colorado and 2018 in California. He expects cannabis use rates in California to follow a trajectory equal to the average of the growth rates in Washington and Colorado. Therefore, he calculates the relative increase in past-month cannabis use rates in Washington and Colorado from the three years preceding the opening of recreational restores (2011-2013) to the three years post-opening (2015-17) finding a 15% increase in Washington and a 40% increase in Colorado. Mr. Davenport takes the average of the two, estimating the use rates in California will grow by 28% from 2015-2017 (the most recent available data point) to 2019-2020 (the time period for the market estimation). This increase is factored in for both resident and visitor demand. Table 1 displays estimates for annual cannabis demand by source.

Table 1. Local Marijuana Demand (Consumption)

| SOURCE | PEOPLE YEARS IN AREA | PAST-MONTH USER-YEARS (18+) | KG FLOWER EQUIVALENT | KG THC DEMAND- ED ANNUALLY | RETAIL VALUE |
|----------|-------------------------|--------------------------------|----------------------|-------------------------------|--------------|
| Resident | 26,068 | 4,209.6 | 191.7 | 38.3 | \$11,225,370 |
| Visitor | 1,326 | 180.0 | 8.2 | 1.6 | \$480,036 |
| TOTAL | 27,394 | 4,389.6 | 199.9 | 40.0 | \$11,705,406 |



Demand from residents is expected to dominate local cannabis demand. This may be counter-intuitive given that the visitors to Monterey County (12.1 million) vastly outnumber the population of the resident catchment area (26,068). However, visitor demand is limited by overnight visitors' short average stay (2.8 days) and the fairly low rate at which Marina captures spending from visitors to the county (4%).

ESTIMATING TOTAL LOCAL SALES

The portion of cannabis demand captured by tax-paid retailers is not well understood, and varies from one area to another; moreover, as legal cannabis markets mature, licit market share generally grows. In their report for the County, HdL estimates that 70% of marijuana consumed in the local demand area will be purchased from recreational stores, 7% to medical, and the remaining 23% to the illicit market. Mr. Davenport takes these assumptions at face value, with modifications for 18-20 year-old residents and overnight visitors. These groups cannot legally purchase recreational cannabis, and so are more likely to purchase at medical stores or on the black market. Mr. Davenport assumes that 50% of cannabis used by 18-20-year-old residents will be purchased from medical cannabis stores, and a lower rate (30%) among 18-20-year-old visitors, as those from out-of-state are unlikely to acquire a recommendation to use medical cannabis.

BDS Analytics reports that the average cannabis flower transaction in California in 2019 cost \$8.88 per gram before tax, and that concentrates sold for \$39.30 per gram. At an estimated 20% average THC for cannabis flower and 70% THC for concentrates, this equates to \$44.40 and \$56.14 per gram THC. Assuming that flower will account for 50% of THC consumed, concentrates for 45%, and other products for 5%, Mr. Davenport computes a weighted-average price per gram THC of \$58.56. Converting back to a THC-equivalent amount of flower at 20% THC, this produces an estimated price of \$11.71 for cannabis flower. This price estimate is multiplied against the estimates for THC consumed to generate estimates for pre-tax sales revenue of cannabis products (excluding accessories and other apparel).

Mr. Davenport estimates a total of 2,993 unique buyers to Marina cannabis stores per month, demanding a total of 136.3 kilograms of THC worth \$7.98 million per year (Table 2). Overnight visitors to Monterey County are expected to demand cannabis equal to a value of \$7.93 million, \$317,374 (4%) of which will accrue to stores in Marina. For the point of comparison, this estimate of county-wide cannabis demand is roughly 11% of the among that visitors to Monterey County spent on food services in 2015 (\$73 million).

| Table 2. Sales in | Marina by | y Market, I | Demographic |
|-------------------|-----------|-------------|-------------|
|-------------------|-----------|-------------|-------------|

| SOURCE | MARKET | UNIQUE MONTHLY BUYERS | KG THC SOLD ANNUALLY | ANNUAL CANNABIS REVENUES |
|----------|--------------|--------------------------|----------------------|--------------------------|
| Resident | Recreational | 2,308.6 | 105.1 | \$6,156,078 |
| Resident | Medical | 565.8 | 25.8 | \$1,508,632 |
| Visitor | Recreational | 98.7 | 4.5 | \$263,255 |
| Visitor | Medical | 20.3 | 0.9 | \$54,118 |
| TOTAL | TOTAL | 2,993.4 | 136.3 | \$7,982,083 |

ESTIMATING SALES PER LICENSED RETAILERS

Marina has announced an intent to issue three recreational and three medical licenses, with the potential for co-location of medical and recreational licenses. For modeling purposes, we assume the Pacific Roots license will have equal market share in its market, e.g., each recreational license will capture one-third of recreational sales, and if that store also has a medical license, it will additionally capture one-third of medical sales. It may be the case that one retailer captures greater market-share than others, e.g., if one store has a premium location, marketing competency, or a delivery service. For simplicity, assuming even market share and dual-licensed store (medical and recreational), Mr. Davenport estimates \$2.8 million in cannabis sales annually.

Cannabis stores may also sell accessories that do not contain cannabis, e.g. pipes and vaporizer equipment. BDS Analytics data show that in 2019, these products accounted for 5% of sales by dollar value. This additional product category may be added to

the prior estimate to total revenue inclusive of accessories. Table 3 provides these results.

Table 3. Estimated Cannabis Sales per License in Marina

| LICENSE TYPE | UNIQUE MONTHLY BUYERS | KG THC SOLD ANNUALLY | | ANNUAL REVENUES WITH ACCESSORIES |
|--------------|--------------------------|----------------------|-------------|----------------------------------|
| Medical | 195.35 | 8.9 | \$520,917 | \$548,334 |
| Red | 802.44 | 36.5 | \$2,139,777 | \$2,252,398 |
| Both | 997.79 | 45.4 | \$2,660,694 | \$2,800,732 |

COMPARISON WITH HDL ESTIMATES

Mr. Davenport's estimate for annual licensed cannabis sales in Marina (\$8M) is substantially higher than HdL's "middle" estimate and roughly equal to the HdL "high" estimate. There are several reasons why this is the case, due to differences between the methodologies, discussed below.

Rates of cannabis use. HdL's middle estimate assumes 14% of the population uses cannabis, equal to the most recent pastmonth use rate among 18-year-olds and older for the four-county region containing Monterey County. Unlike HdL, Mr. Davenport used separate past-month use rates for residents and visitors. Like HdL, he took 14% as the past-month use rate for residents near Marina, California, but he then adjust this number upwards 1) by 15%, to account for under-reporting bias common with use of intoxicating substances, and 2) by 27%, to anticipate increases in past-month use occurring as a result of legalization. Visitor past-month use rates are also adjusted upwards by 15% to account for under-reporting but are not projected to increase in the future.

Population in catchment area. HdL takes Marina's population as 20,371. Mr. Davenport's research finds a population in 2017 of 22,145, and he then projects forward three years at a 2% growth rate, consistent with historical trends in Marina, California. Unlike HdL, Mr. Davenport then removes from the population base any individual under 18 years old, who are not allowed to legally purchase cannabis. This produces a net of 4,210 residents using cannabis in a given month. Overnight visitors contribute another 180 person-years of cannabis use (i.e., after adjusting the visitor use count downwards in proportion to the average length of stay).

Spending per user. HdL assumes each past-month cannabis user purchase twice per month, at \$73 per transaction (i.e. \$146 per user per month). Our approach instead first estimates the total cannabis consumption from cannabis users, then multiplies this by an estimated price for cannabis. The HdL approach equates to \$146 per user per month, compared to \$222 per month by our methodology (52% more). Both estimates appear reasonable: academic literature identifies that the average past-month cannabis user in the US from 2002-2012 spent \$2200/year or \$183 per month on cannabis (Davenport and Caulkins, 2016), approximately the midpoint of the two parameters used by HdL and our method.

Incorporation of Accessories and Paraphernalia. It is unclear from HdL's methodology whether they include purchase of cannabis paraphernalia such as pipes, vaporizing equipment, etc. Mr. Davenport's method takes this into account explicitly, accounting for an additional 5.2% in sales revenue.

Both estimates seem reasonable, and in making market estimates, especially when they involved forecasting, it is helpful to benefit from multiple estimation methods. Each model takes different assumptions and handles uncertainty differently. That said, based on the results of Mr. Davenport's model, Pacific Roots expects to see higher sales than that estimated by HdL, for the reasons discussed above.

CONSIDERING SOURCES OF UNCERTAINTY

Actual sales revenues from a cannabis store may vary from the estimated amount due to uncertainty regarding the parameters used in the model. For example, actual sales would be lower than the estimate if cannabis prices decrease substantially, or if the illicit market takes a larger hold than 30% of consumption. The most likely factor that might cause the store to sell more than

estimated regards competitiveness and market-share relative to other stores in the area, e.g. if instead of capturing an even one-third of the market (assuming 3 pairs of co-licensed operations), a very competitive store might capture 40% or even 50% of the entire market. There are many factors that contribute to a store's ability to take outsize market share, including marketing, product quality, location, and the ability to capture sales from residents in a larger radius away from the store. The Pacific Roots team has signed a memorandum of understanding (MOU) with Compassionate Bay Delivery, an experienced delivery service already operating in Monterey County, which was rated "Best Cannabis Dispensary" in Monterey County, 2019. Pacific Roots will hire as employees key Compassionate Bay Delivery personnel to operate its delivery service. Compassionate Bay Delivery reports they sell an average of \$1,000 per day in Monterey County, but they anticipate they may sell \$3,000 to \$4,000 per day via delivery as they add marketing and branding. This agreement may be expected to help the store compete against its competitors, and therefore at least meet but perhaps exceed these sales estimates.

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Kilmer, Beau, Jonathan Caulkins, Greg Midgette, Linden Dahlkemper, Robert MacCoun, and Rosalie Pacula. 2013. "Before the Grand Opening: Measuring Washington State's Marijuana Market in the Last Year Before Legalized Commercial Sales." Before the Grand Opening: Measuring Washington State's Marijuana Market in the Last Year Before Legalized Commercial Sales. https://doi.org/10.7249/rr466.

Kilmer, Beau, Susan S. Sohler Everingham, Jonathan P. Caulkins, Greg Midgette, Rosalie Liccardo Pacula, Peter H. Reuter, Rachel M. Burns, Bing Han, and Russell Lundberg. 2014. "What America's Users Spend on Illegal Drugs: 2000-2010." Santa Monica, CA: RAND Corporation. https://www.rand.org/pubs/research_reports/RR534.html.

Monterey County Convention and Visitors Bureau. 2018. "Marketing Intelligence Report FY17-18." www.analyticpartners.com.

SAMHSA. 2018. "2014-2016 NSDUH Substate Region Estimates – Excel Tables and CSV Files | CBHSQ." 2018. https://www.samhsa.gov/data/report/2014-2016-nsduh-substate-region-estimates-—excel-tables-and-csv-files.

Tourism Economics. 2017. "Competitive Analysis of Funding: Monterey, California," no. March.

STEVEN S. DAVENPORT

SUMMARY

Prominent businessman and philanthropist based in Southern California looking to expand into cannabis industry.

EDUCATION

PH.D., POLICY ANALYSIS | 2019

Pardee RAND Graduate School | Santa Monica, CA

Honors: James Q. Wilson Dissertation Award; Tech Lab Pilot Dissertation Award; Pardee RAND Re-Design Committee, Student Rep.; Student Government Rep., 2015 Cohort; Featured in 2017 RAND Annual Report; RAND Media Training

MASTERS OF SCIENCE IN PUBLIC POLICY AND MANAGEMENT, DATA ANALYTICS TRACK | 2015

Carnegie Mellon University, Pittsburgh, Pennsylvania | Santa Monica, CA

Activities: Lead Editor, Heinz Journal; Board member, Heinz Graduate Women's Association

BACHELOR OF ARTS, INTERNATIONAL DEVELOPMENT; MINOR IN PUBLIC AFFAIRS | 2009

University of California Los Angeles I Los Angeles, CA Honors: Dean's List (3-time), Bruin Leadership Program

Activities: Nourish International UCLA, Co-founder

Study Abroad: University of Legon, Ghana; Washington, DC (Center for American Politics and Policy)

PROFESSIONAL EXPERIENCE

ASSISTANT POLICY RESEARCHER | October 2015 to Present RAND Corporation | Santa Monica, CA

ADVISOR | 2013 to Present

BOTEC Analysis, LLC | Los Angeles, CA

MANAGING DIRECTOR | December 2011 to August 2013

ACADEMIC SERVICE

2019 North American Cannabis Summit | Planning Committee Member

UCLA Cannabis Research Initiative | Criminal and Juvenile Justice Research Team, Contributor

Reviewer | Addiction; Journal of Drug Issues; International Journal of Drug Policy; Drug and Alcohol Dependence; Drugs: Education, Prevention, and Policy; Journal of Drug Policy Analysis; Journal of Cannabis Research; American Journal of Public Health.

CANNABIS PROJECTS (BY CLIENT)

Washington State Liquor and Cannabis Board (via RAND/BOTEC, 2013 - 2019)

- Consultation for I-502 Implementation (2013). As BOTEC managing director and project manager, liaised with LCB and media and managed and contributed to BOTEC/RAND team research, managing over \$800,000 in contracts.
- Estimated size of medical marijuana market (2015). Via BOTEC, lead analytic author for report to LCB.
- Assessing the Cannabis Market in Washington (2018). Via BOTEC, developed, conducted, and analyzed surveys of producer
 and/or processor licensees regarding market conditions. Via RAND, provided report analyzing state traceability data regarding canopy use, volumes of production and sale, and product potency.

United States Office of National Drug Control Policy (RAND, 2018)

What America's Users Spend on Illegal Drugs. Estimated size of U.S. cannabis markets (2002-2016).

National Academy of Sciences (Independent Consultant, 2017)

The Health Effect of Cannabis and Cannabinoids: The Current State of Evidence and Recommendations for Research. Contributing author to introductory chapter.

National Institute on Alcohol Abuse and Alcoholism (RAND, 2017)

Cannabis Use Trajectories among Adolescents. R01AA025848. Scraped dispensary data from WeedMaps/Leafly. National

Institute of Justice (RAND, 2017)

- Marijuana Legalization: Assessing Impact of Local Regulatory Policies. R01DA039293. Scraped dispensary data. Pure O&M (Independent, 2017)
- As independent subcontractor, forecasted medical cannabis revenues by Ohio licensing district (2017-2020).

A Federally-Recognized Indian Tribe (Independent, 2016)

As independent subcontractor, estimated local demand for medical cannabis under various policies.

United Nations Office of Drugs and Crime (Independent, 2016)

United Nations World Drug Report (2016). Co-authored chapter on illicit cannabis markets.

Health Canada (BOTEC, 2016)

As BOTEC project manager and lead analyst, subcontracted by Marijuana Policy Group, drafted use survey, led analysis of survey responses for input to market demand estimation models.

Jamaica, Cannabis Licensing Authority (BOTEC, 2015)

As project manager for BOTEC, conducted economic modeling and extensive interviews with government and private stake-holders; lead author for report recommending regulatory options for a medical cannabis regime.

OTHER DATA SCIENCE PROJECTS

National Cancer Institute

Using Big Data to Estimate the Effects of Complex Cost-Sharing Rules (R21CA219229) - Data management, targeted maximum likelihood estimation (tMLE), propensity score models, logistic regression, data visualization.

U.S. Army

High-Risk Civilian Population Suicidality (W91CRB-15-D-0022) — Data management, propensity scores, National Violent Death Reporting System (NVDRS).

RAND Corporation, Internal

RAND Internal Twitter Research Portal. Prepare and manage queries on behalf of researchers "Truth Decay". Web scraping, data cleaning, management, visualization (R and Python).

EXPERT TESTIMONY

Clark County Court, Nevada

2018. Case A-15-729535-B. Marlon Tinana, Plaintiff(s) vs. TGIG LLC, Defendant(s). Qualified witness, deposed. Forecasted licensed Nevada marijuana sales to 2022.

TEACHING EXPERIENCE

Teaching Assistant

Causal Inference, Pardee RAND Exploring and Visualizing Data, Carnegie Mellon R Programming, Carnegie Mellon

Instructor

R Programming workshop, Pardee RAND



MEDIA APPEARANCES, ABRIDGED

- Luthra, Shefali. "How High is Too High to Drive?", Kaiser Health News / Daily Beast, Dec 27, 2018.
- RAND Corporation, Annual Report 2017, "The Potency of Legal Marijuana", 2018.
- Black, Lester. "Legal Weed Isn't The Boon Small Businesses Thought It Would Be", Fivethirtyeight, Dec 29, 2017. Pearl, Mike.
 "A New Study Says Weed Use Among Weed Users Has Skyrocketed", VICE, Aug 16, 2016. Ingraham, Chris. "What Makes
 Marijuana Users Different from Everyone Else", Washington Post, Aug 14, 2016. Humphreys, Keith. "So, Something Interesting Happens to Weed After It's Legal", Washington Post, May 4, 2016.

MERITS AND AWARDS

- GRE Percentiles: Verbal (99%), Quant (88%), Writing (96%) LSAT, Scored 171 (98th percentile)
- HackerRank: Python Language Proficiency (80% completed) Eagle Scout, Troop 100, Boy Scouts of America
- RAND Media Training

PUBLICATIONS

Software

- Microsynth, a CRAN R Package for Synthetic Controls. (2018). Co-authored with Michael Robbins.
- Cannabis Policy Dashboard, an R Shiny website (2017). https://stevedavenport.shinyapps.io/CannabisPolicyDashboard/

Peer-Reviewed

- Davenport, S. (2018). Falling Rates of Marijuana Dependence Among Heavy Users, Drug and Alcohol Dependence, 191(1), 52-55.
- Caulkins, J. P., Bao, Y., Davenport, S., Fahli, I., Guo, Y., Kinnard, K., Najewicz, Mary., Renaud, L., & Kilmer, B. (2018). Big Data on a Big New Market: Insights from Washington State's Legal Cannabis Market. International Journal of Drug Policy, Vol 57 (86-94).
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- Smart, R., Caulkins, J. P., Kilmer, B., Davenport, S., & Midgette, G. (2017). Response to Commentaries: new data sources for understanding cannabis markets, Addiction, 112(12):2180-2181.
- Davenport, S. and Pardo, B. (2016). The Dangerous Drugs Act Amendment in Jamaica: Reviewing Goals, Implementation, and Challenges, International Journal of Drug Policy.
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- Davenport, S., Caulkins, J. P., and Kleiman, M. (2015). Controlling Underage Access to Legal Cannabis, Case Western Law Review.
- Kleiman, M and S. Davenport (2012). Strategies to Control Mexican Drug-Trafficking Violence, J Drug Policy Analysis 5(1): 4

Reports and Books

- National Academy of Sciences (2017). The Health Effect of Cannabis and Cannabinoids: The Current State of Evidence and Recommendations for Research. Contributor to introductory chapter.
- United Nations Office of Drug Control. (2016). 2016 World Drug Report. Co-authored section on illicit cannabis markets. Davenport, S., and Pardo, B. (2015). "A Regulated Cannabis Industry for Jamaica." Report for Jamaica's Cannabis Licensing Authority, available at www.cla.org.jm.
- Kleiman, M. A. R., Davenport, S., Rowe, B., Ziskind, J., Mladenovic, N., Manning, C., & Jones, T. (2015). "Estimating the Size of the Medical Cannabis Market in Washington State". Available at: http://www.lcb.wa.gov.
- Hawken, A., Davenport, S., and M. Kleiman. (2013). "Managing Drug-Involved Offenders," National Institute of Justice.
 Habib, R., Hsia, J., and S. Davenport. (2013). "Methods for Producing and Testing Extracts and Infusions," report for the Washington State Liquor Control Board.
- Habib, R., Finighan, R., and S. Davenport. (2013). "Testing for Psychoactive Agents," report for the Washington State Liquor Control Board.

Conference Presentations

- International Society for Study of Drug Policy, 2018. "Product and Price Variation in Washington's Cannabis Market".
- International Society for Study of Drug Policy, 2017. "Do Marijuana Stores Increase Traffic Accidents? Evidence from Oregon".
- National Cannabis Summit 2017. "Do Marijuana Stores Increase Local Traffic Accidents? Evidence from Oregon". (Poster)
- Association for Public Policy Analysis & Management, Student Regional, 2017. "Do Marijuana Stores Increase Car Crashes?".
- NYU / BOTEC "Cannabis Science & Policy Summit", 2016. Presented on Cannabis Taxes and Prices in Washington State.
- Case Western Law School, "Marijuana, Federal Power, and the States", 2014. Presented on underage cannabis access.

TIMELINE & BUDGET FOR CONSTRUCTION

Pacific Roots estimates that it will be operational in approximately 16 weeks after approval of the project. Tenant improvements and buildout at the proposed location will last approximately 14 weeks. Pacific Roots will take two weeks to install security equipment and train its staff for a soft opening.

| WEEK I | Sanden Construction will put together a team for the project and demolish the existing floorplan at 3100 Del Monte. |
|---------------|--|
| WEEKS 2 - 3 | Sanden Construction will frame the tenant space and build the floor plan identified on the premises diagram. |
| WEEKS 3 - 5 | Central Coast Plumbing will check existing system, develop and install plumbing fixtures and pipes for the retail space. Ed Bogner Mechanical will check existing system, develop and install and/or upgrade HVAC system for heating and cooling in the tenant space. KJM Electric will check existing system and upgrade existing electrical system if necessary for retail use. Johnson Electronics will install low voltage electrical systems, including telephone, cable, and other installations. |
| WEEK 6 | Sanden Construction will install insulation at the premises. Johnson Electronics will continue installation of low voltage electrical systems. |
| WEEKS 7 - 8 | Consolidated Drywall will install drywall at the premises. Johnson Electronics will conclude installation of low voltage electrical systems. Williams Roofing will examine, repair, and upgrade the roofing as needed. Williams Roofing will also examine existing drainage systems on the roof. |
| WEEKS 9 - 13 | Sanden Construction will install doors and casework, including custom displays. Sanden Construction will paint walls and finish the tenant space as needed. Sanden Construction will finish the floors and install hardwood. Sanden Construction will install Pacific Roots signage |
| WEEKS 14 - 16 | Sapphire Risk Advisory will install security technology into the tenant space. Sanden Construction will perform final cleanup of the tenant space. |

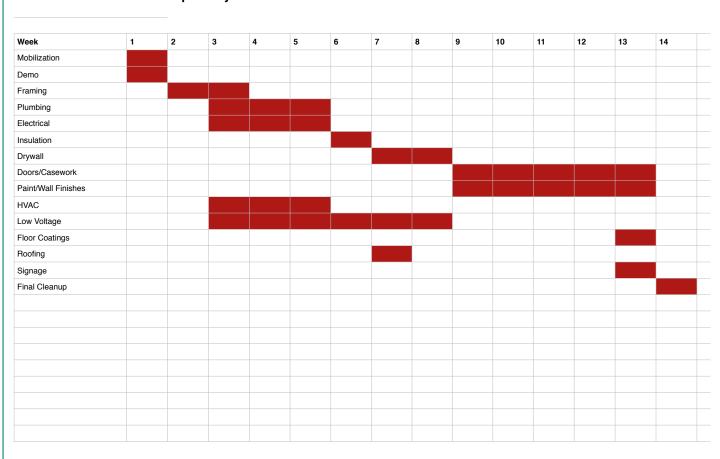
A copy of the construction budget from Sanden Construction is included with the application.

DEL MONTE BLVD. DISPENSARY BUDGET NUMBERS

| PROJECT: | Del Monte Dispensary T.I. | | | | |
|--------------|--|-------------|------------------------------------|---|-------|
| | | | | | |
| OWNER: | Puzzle Group, PC | | | | |
| ARCHITECT: | Sean Freitas | | SAN | DEN | |
| DRAWING SET: | Plan Set Dated 5/21/19 | | CONSTRUC | TION.INC | |
| ADDRESS: | 3100 Del Monte Blvd. Marina, California 93933 | | CA Lic #1024054 NV Lic #0082462 | 162 Mar Monte Ave, Watsonville, CA 95076 | |
| SPECS: | N/A | | TOTAL BLDG SF: | 3050 | |
| ITEM | DESCRIPTION | | BUDGET TOTAL | COST / SF | |
| 0 | General Requirements | _ | \$50,000.00 | \$16.39 | 8.0% |
| 1 | Demolition (Sanden Construction) | | \$10,000.00 | \$3.28 | 1.6% |
| 2 | Framing (Sanden Construction) | | \$60,000.00 | \$19.67 | 9.6% |
| 3 | Plumbing (Central Coast Plumbing) | | \$30,000.00 | \$9.84 | 4.8% |
| 4 | Electrical (KJM Electric) | | \$70,000.00 | \$22.95 | 11.2% |
| 5 | Insulation (Sanden Construction) | | \$15,000.00 | \$4.92 | 2.4% |
| 6 | Drywall (Consolidated Drywall) | | \$20,000.00 | \$6.56 | 3.2% |
| 7 | Doors/Casework (Sanden Construction) | | \$80,000.00 | \$26.23 | 12.8% |
| 8 | Paint/Wall Finishes (Sanden Construction) | | \$50,000.00 | \$16.39 | 8.0% |
| 9 | HVAC (Ed Bogner Mechanical) | | \$45,000.00 | \$14.75 | 7.2% |
| 10 | ow Voltage (Johnson Electronics) | | \$70,000.00 | \$22.95 | 11.2% |
| 11 | Floor Coatings (Sanden Construction) | | \$40,000.00 | \$13.11 | 6.4% |
| 12 | Roofing (Williams Roofing) | | \$10,000.00 | \$3.28 | 1.6% |
| 13 | Signage (Sanden Construction) | | \$10,000.00 | \$3.28 | 1.6% |
| 14 | Equipment (United Rentals) | | \$5,000.00 | \$1.64 | 0.8% |
| | SUBTOTAL: | | \$565,000.00 | \$185.25 | |
| | OVERHEAD: | 5% | \$28,250.00 | | |
| | | | | | |
| | SUBTOTAL: | | \$593,250.00 | | |
| | PROFIT: | 5% | \$29,662.50 | | |
| | TOTAL CONSTRUCTION COST: | | \$622,912.50 | \$204.23 | |
| | 10.1.2 00101110011011 00011 | | 4022,012,00 | , | |
| | **Above numbers are for budgetary purposes of | only and we | re formed with limited info | ormation.** | |
| | | | | | |



SCHEDULE - Del Monte Dispensary T.I.











SECURITY PLAN

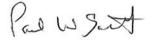


SAFETY & HEALTH ASSESSMENT REPORT

SAFETY & HEALTH ASSESSMENT REPORT

MAY 24, 2019

Prepared by Paul W. Gantt, Ph.D., CSP President

















I. INTRODUCTION

This report is prepared at the request of Pacific Roots Marina, which is proposing to open a cannabis retail and delivery operation site in Marina, California (City). As part of the permitting process, a detailed safety report and plan (Report) is required to be prepared by a fire and safety professional with expertise in the issues involved in the proposed operations. This Report is required to review the fire protection and related systems that are or are proposed to be present in the building/facility where the operations are to be conducted, and to assess the adequacy of building safety features as they relate to the proposed operations. The Report is also required to address issues related to the proposed use of hazardous materials and other health and safety concerns related to both employees and where applicable, the public. This Report will address the safety and health concerns related to the proposed operation and activities that are planned to be conducted within the facility.

The Report is prepared by Paul Gantt, who is the President and Founder of Safety Compliance Management, Inc. (SCM), a safety and health consultation firm headquartered in San Ramon, California. Prior to founding SCM, Dr. Gantt served in four California fire departments where he advanced through the ranks holding positions that include Firefighter/Paramedic, Fire Captain, Fire Battalion Chief, Fire Marshal, Fire Training Officer, Fire Division Chief, and Deputy Fire Chief. Dr. Gantt is a Safety Engineer with a Master of Engineering degree in Advanced Safety Engineering, a Doctorate in Health and Human Services, and is a Board-Certified Safety Professional (CSP). He is also certified by the Office of the California State Fire Marshal as a Certified Fire Officer, Certified Fire Prevention Officer, Certified Public Education Officer, Certified Fire Training Officer, and additionally is certified to teach courses in many of these disciplines for the Office of the State Fire Marshal. Additionally, Dr. Gantt is a qualified Expert Witness and has testified in deposition and court on matters of the California Fire Code and fire safety matters. A copy of Dr. Gantt's CV is contained in Appendix A of this report.

In preparing the Report, Dr. Gantt consulted with the business owners and developers, reviewed the proposed building plans and related documents relative to the building, and information on the specific information on the operations that will take place within the building. This information was used as the basis for this evaluation and report, and also to identify the various ongoing safety programs that will be required to be implemented once the operations have been established.



The codes relied upon in the formation of this report include the 2016 edition of the California Building Code (CBC), the 2016 edition of the California Fire Code (CFC), the current California Health and Safety Code, and applicable Cal/OSHA regulations found in Title 8 of the California Code of Regulations (8 CCR). From this review, the Report also contains plans and programs that will be required to ensure the safety of employees and the public.

II. BUILDING DESCRIPTION

The proposed operation that is the subject of this analysis and report will be conducted in an existing building that is located at 3100 Del Monte Boulevard, Marina, California. The building is a two-story structure. Pacific Roots Marina will occupy only the first floor of the building. The size of the first floor of the building is approximate 3,475 square feet, most of which is proposed to be retail dispensary operations. The proposed plan of the building is found in Appendix B.

Because the project is currently in the proposal state and being reviewed by the Planning Department and other City Departments, some of the specific building features have been identified and the building plans currently have not been finalized. Once the project is approved, the building will be subject to significant review by the various City agencies including, but not limited to, the Fire and Building Departments. This will provide an extensive evaluation of all aspects of the buildings to ensure compliance with applicable Code requirements including those related to building setbacks, overall building design and size, exiting from the building, ADA compliance, portable fire extinguisher types and placement, building alarm and detection systems as required, parking, and signage. This extensive review will help ensure that all necessary and required safeguards relative to fire and life safety are in place.

III. SUMMARY OF OPERATIONS AND HAZARDS

All areas of the proposed project will involve operations that are classified as standard or traditional building uses and are covered by various aspects of the current versions of the CBC and the CFC. These Codes classify buildings, or portions thereof, into Groups and Divisions based on the type of use of the building and any hazards created by the use. For each area, safety and health assessment information is provided to ensure the safety of employees and the facility.

Given the nature of the project, public access will be provided. The maximum occupant load in each area of the building will be based on the requirements of CBC Table 1004.1.1. Appropriate numbers of exits from the building will be provided and will be part of the overall building plan review process. The initially proposed building plans that are contained in Appendix B identify adequate exiting from each area of the facility. Following is a summary of the occupancy types and groups for each of the areas and operations within the proposed facility.

A. Office and Support Operation Areas

As proposed, a portion of the building will be used for the business and support operations associated with the primary retail, dispensary and delivery operations. This area of the building will be classified by the CBC as Business, Group B, occupancy types. As with all areas and operations of the overall project, these areas will be subject to the requirements relative to the number and location of exits, ADA compliance, signage requirements, and the inclusion of other fire protection and life safety features as required by the various Codes.

B. Storage Areas

An area is proposed that will be used for the storage of cannabis products awaiting sale or delivery. This area of the building would be classified as a Moderate Hazard Storage, Group S-1, by the CBC. The storage area will have high security with limited and restricted access. Operations that are proposed to occur within these areas will be done using standard storage equipment with minimal hazards associated with their use.

The materials stored in these areas will be on shelving that are less than six feet in height allowing for manual handling of the boxes and containers holding the packages awaiting sale. These pre-packaged materials will be retail-sized packages that are similar to those found in other retail establishments such as commercial pharmacies. The packaging is mostly composed of cardboard and clear plastic sleeves and will conform to the State of California Cannabis Packaging regulations.









C. Retail / Dispensary and Delivery areas

The proposed dispensary operations will occur in two dispensary areas in the building that will be open and accessible to the public. These areas are expected to be similar in layout, design, and operation as a traditional retail establishment. They will have the required configuration to allow adequate aisles and exiting and would be classified as Group M, Mercantile, occupancies. The layout, design, exit plans, and other elements of the fire and life safety requirements will undergo standard code review processes by the various agencies once the final plans have been developed.

IV. HAZARDOUS MATERIALS SAFETY AND COMPLIANCE

Because this project involves retail dispensary operations and delivery services there will be no expected use of hazardous materials. Standard commercially available cleaning supplies and office materials such as toner will be used to support the business activities. These materials will not be present nor used in a manner that does not conform to the label and manufacturer's requirements.

V. OCCUPATIONAL (EMPLOYEE) SAFETY

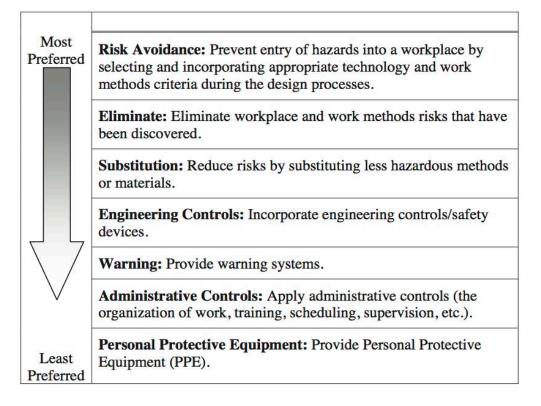
A. Approach:

When the facility is operational, it is planned that about 13 employees will be conducting the various activities at the site while it is open for business, from 9:00 AM to 10:00 PM. In addition, principles may be present to oversee the management of the business operations and services.

As part of the review of the safety aspects of the project, the issues involving employee safety and health were reviewed. Once the facility is operational, a comprehensive safety and health assessment will be conducted to identify other areas that may require additional safeguards. The goal of the occupational safety and health programs is not only to ensure compliance with the applicable regulatory requirements as found in Title 8 of the California Code of Regulations, but also to help manage the occupational risk and reduce it to acceptable levels. To that end, the following information describes the occupational safety and health program philosophy and details of how these will be implemented once the facility is operational.

Effective health and safety systems and programs encompass several key areas including the use of a hierarchy of controls that include both Inherent Safety Programs and the application of Safety Controls when hazards cannot be eliminated. The programs that will be implemented for the site will use the hierarchy of controls established by the American National Standards Association (ANSI) including ANSI Z10 - 2012, Occupational Health and Safety Management Systems, and ANSI Z590.3 - 2011, Prevention through Design Guidelines for Addressing Occupational Hazards and Risks in Design and Redesign Processes. This process is more robust than the limited approach taken by Cal/OSHA and exceeds all of their requirements. Near the top of the hierarchy are steps that afford the greatest levels of protection and rely less on employee performance. At the bottom of the system are steps that are less effective in controlling hazards and which rely on employee performance and use of personal protective equipment (PPE). In all aspects of the safety and health programs, efforts will be made to incorporate the use of the higher levels of controlling hazards that are identified.

Following is a summary of each of these elements that were part of the review process of the assessment team.



- 1. Inherent Safety Programs: The top components of the hierarchy include those that either do not introduce or eliminate the hazards associated with a particular task or operation, or which remove some more hazardous processes and substitute less harmful processes or materials. Examples of inherent safety programs include elimination of the need to expose someone to a fall hazard through the relocation of elevated controls to lower levels thus completely eliminating the need to work at an elevated, or the removal of a significantly hazardous materials with the substitution of less harmful materials.
- 2. Safety Controls: When the hazards cannot be eliminated using the inherent methodology, Cal-OSHA requires that measures to be implemented for controlling the exposure or potential exposure that employees have to a particular hazard. These measures are termed "Controls" and are required to be implemented in an order of decreasing effectiveness with the higher Controls being the most protective and required whenever feasible. When possible, multiple levels of these Controls can be used together to further reduce the potential for exposure by the employee. The Controls within the ANSI process are as follows:
 - a. Engineering Controls. Engineering Controls are the first step used to help reduce the potential exposure of the employee to the hazards that are (or potentially could be) present in the workplace. Examples of this form of control include the installation of guardrails at elevated locations, the use of ventilation systems to reduce the level of airborne hazards, automatic fire doors that close upon activation of the alarm systems, fire resistive construction, and the use of noise dampening equipment in noisy areas. Engineering Controls require the least involvement of the employee in order to be effective but are not always feasible in many types of situations such as emergency response.
 - b. Warning Systems. When Engineering Controls are not effective or are not feasible, the use of warning systems helps to ensure that employees are made aware of situations that require their attention and action. Examples of warnings include alarm systems, workplace signage, and backup alarms on vehicles.
 - Administrative Controls. Administrative Controls are often used in conjunction with Engineering Controls or alone or in combination with other Controls when they are not effective in fully controlling personnel exposure to a given hazard.
 Examples of Administrative Controls include training programs, scheduling, supervision, and the use of standard oper-









ating procedures. The use of this level of control requires significant employee involvement and their conformance to rules and procedures.

d. Personal Protective Equipment (PPE). The final level of controlling the exposure of employees to occupational hazards is through the use of PPE. There are numerous types of PPE that provide protection from a wide range of hazards that could be present. Examples of PPE include respirators to provide protection from airborne hazards, gloves and body coverings that protect employees from chemical or physical hazards, and ear plugs and muffs that limit exposure to loud noises. As with the issues associated with the application of Administrative controls, the use of PPE has a high degree of employee involvement in order for this form of Control to be effective. Additionally, there are numerous variables that limit or reduce the effectiveness of PPE including proper selection, proper maintenance of the equipment, and the proper use of the equipment when required.

B. Written Compliance Programs

Based on an initial review of the operations, the overall safety and health programs will require the development and implementation of several written occupational safety and health programs.

| PROGRAM | REQUIREMENT | REQUIREMENT |
|---|-------------|---|
| Injury and Illness Prevention Program (IIPP) | 8 CCR §3203 | Foundation of Safety Programs and Systems |
| Hazard Communication Program (may be required.) | 8 CCR §5194 | Because only household materials are use, this Program may not be required. The initial evaluation will determine the degree to which employee exposure might be present and ascertain if the Program will be required. |
| Emergency Action Plan | 8 CCR §3220 | Plans for evacuation and all other emergencies that might occur. This will also include evacuation of the public when they are present. |
| Fire Prevention Plan | 8 CCR §3221 | Plans to prevent any type of site fire hazard. |









Each of these programs will be customized for the specific operations that will be conducted at the facility. The foundation of the overall safety and health programs will be the IIPP. The IIPP has eight required elements. These will include the following:

| ELEMENT | APPLICATION |
|-----------------------|--|
| Responsibility | Responsibility will be assigned for implementing the program. Additional responsibility will be delegated throughout the organization to managers, supervisors, and employees. |
| Compliance | Safety work rules will be developed for all aspects of the operations. Programs for employee recognition and discipline will be developed. This area will also identify other written safety programs and their role in the overall safety management system. |
| Communication | Programs to communicate safety related matters within the organization will be developed including procedures for anonymous reporting of safety concerns. Programs will include worksite postings, employee meetings, and the implementation of a Safety Committee. |
| Training | Training and retraining programs for all aspects of the facility operations will be established and implemented. |
| Hazard Identification | Hazard identification programs including identification of the frequency and responsibility for worksite inspections will be developed and implemented. Included in this will be an assessment of the site to identify the required personal protective equipment that will be needed for specific tasks and operations. |
| Hazard Correction | Programs to correct all identified hazards in a timely manner will be identified and implemented. |
| Hazard Investigation | A robust incident investigation process will be developed and implemented. The program will also include a program of near-miss (close call) reporting. The program will be based on current safety research that focuses on system improvements and organizational learning. |
| Recordkeeping | Records retention and availability programs will be identified and implemented for all safe- ty-related records. |

C. Training Programs

Full implementation of the occupational safety and health programs requires training in several areas. Following is a description of the training programs that have been identified for implementation. Most of these training programs were identified in the initial report on the proposed dispensary operations.

| TRAINING | DESCRIPTION | EMPLOYEES |
|---|--|---------------------------------|
| IIPP Training | Training in accordance with 8 CCR §3203 on all elements of the IIPP and responsibilities for each level of employee within the organization. | All employees |
| Hazard Communication (If required) | Training in accordance with 8 CCR §5194 on hazardous substances found in the workplace including the Hazard Communication Program, labeling systems, the availability of the Safety Data Sheet, definition of terms, and the newly implemented Globally Harmonized System of Hazard Communication. | All employees |
| Emergency Action Plan | Training on the elements of the site Emergency Action Plan in accordance with 8 CCR §3220 including employee responsibilities and procedures for reporting emergencies, emergency action to be taken for each type of anticipated emergency, and evacuation routes and areas of safe refuge. Emergencies that will be covered in the training and Plan include medical, fire, power failure, earthquake, workplace violence and active shooter, chemical release, and weather emergencies. | All employees |
| Fire Prevention Plan | Training on the elements of the Fire Prevention Plan in accordance with 8 CCR §3221 including employee responsibilities for prevention, maintenance of aisles, and inspection and maintenance requirements for the fire protection systems found at the site. | All employees |
| Inspection Programs | Training will be provided to personnel involved in the inspection of safety equipment and emergency systems involved in the dispensary activities. | Affected / involved Employee |
| Equipment Specific / Job Training | Training of personnel in the safe operations of all equipment and Personal Protective Equipment (PPE) as required by 8 CCR §3203. | Affected / involved Employee |
| Driver Safety | Training in the proper safe driving requirements and in security while operating vehicles. | Affected / involved Employee |

Additionally, while not required, training in the following areas has been identified to be included in the firm's occupational safety and health training matrix.

| TRAINING | DESCRIPTION | EMPLOYEES |
|---|--|--|
| Cardiopulmonary Resuscitation (CPR) and First Aid | Training in accordance with 8 CCR §3400 to provide CPR and First Aid in accordance with the guidelines of the American Heart Association. | Emergency Response Team (ERT) members |
| Automatic External Defibrillator (AED) | Training in accordance with 8 CCR §3400 to use an AED in accordance with the guidelines of the American Heart Association. | Emergency Response Team (ERT) members |
| Portable fire extin- guisher use/Incipi- ent Fire Control | Training in accordance with 8 CCR §6151 on the use of portable fire extinguishers including requirements for notification and evacuation prior to use, selection of the proper type of fire extinguisher, inspection and service requirements, and proper use. | Emergency Response Team (ERT) members |

D. Inspection Programs

Once the safety systems are implemented, inspection and testing programs will be required to ensure that the equipment and systems are properly maintained and usable. Inspections that are expected to occur are as follows:

| EQUIPMENT/SYSTEM | FREQUENCY |
|--|--|
| Portable fire extinguishers | Visual inspection monthly by site personnel. Annual service by a licensed vendor. |
| Emergency lighting systems (if present) | Testing for 30 seconds monthly by site personnel. 90-minute test annually by site personnel. |
| First Aid Kits | Regular inspections of inventory by site personnel or vendor. |
| Portable ladders (if used) | Regular inspections – recommended quarterly. |
| Detection and alarm systems (if present) | Maintained and tested in accordance with manufacturer's and CCR Title 19 requirements. |

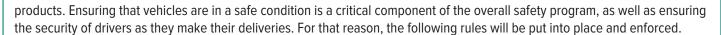
E. Recordkeeping

To ensure ongoing compliance within the safety systems, records will be developed and maintained in compliance with Cal-OSHA requirements found in 8 CCR §3203, 8 CCR §3204, 8 CCR §5194, Titles 19 and 22 CCR, and other equipment-specific sections. Following is a list of the records that may be required. As with some of the other required safety elements, these are similar to those that are required and identified in the manufacturing report.

- Personnel safety training records.
- Equipment inspection records such as mobile equipment.
- Facility inspection records.
- Operation inspection records.
- Emergency equipment inspection records (e.g. alarms, detectors, fire extinguishers, emergency lighting, etc.).
- OSHA Log 300 and 300A.

F. Driver Safety and Security

As a delivery service, Pacific Roots Marina values the safety and security of their drivers, as well as their patient members, and





VI. CONCLUSION

Based on a review of the materials provided and consultation with key personnel involved in the project, it is my opinion that upon completion of the required code review processes that the project will undergo once it has been approved, coupled with the implementation of the employee safety programs outlined in this report, the project will contain the necessary fire and life safety elements to approve the project.

APPENDIX A:

PAUL W. GANTT, PH.D.

CSP Curriculum Vitae Summary

SUMMARY / OVERVIEW

Dr. Gantt is an experienced **Safety Engineer** and **Board-Certified Safety Professional (CSP)** with an extensive background as both a regulator and in private practice encompassing over 40 years. He is experienced in many areas of occupational and construction safety, safety systems and programs, multi-employer worksites, fire and life safety, workplace and premises evaluation and liability, general safety practices, OSHA and Cal-OSHA health and safety regulations, fire and life safety, and Fire and Building Code safety and health requirements. He has considerable experience inspecting and evaluating construction sites, occupational settings, and conducting safety assessments in a broad range of public and private facilities. Additionally, his expertise includes the delivery of training programs with the ability to explain complex regulations, hazards, and practices in an easy-to-understand manner that can be essential in testimony and reports. He is a nationally recognized speaker in many areas related to occupational health and safety, fire safety, premises safety, and regulatory compliance.

OCCUPATIONAL EXPERIENCE

PRESIDENT & FOUNDER | January 1991 to Present

Safety Compliance Management, Inc | San Ramon, CA

Owner and operator of a tenured and successful private business that provides solutions in the areas of evaluating and implementing occupational health and safety systems and programs, emergency management and response, and safety programs (training, consultation, compliance, and written programs) to a range of clients in both the private and public sectors.

Safety and Regulatory Compliance Consulting/Expert Witness

Provides regulatory and litigation (Expert Witness) consultation services in areas involving regulatory compliance, safety systems, fire and life safety, construction safety, Fire and Building Code compliance, and premises liability matters. He has provided testimony in the areas of safety program development and implementation, multi-employer worksites, construction safety, and the application of safety regulations both occupationally and generally.

Safety Programs – Development, Training, Evaluation, and Consultation

Provides services in the design and delivery of a range of safety program/systems that include training and inspection programs in the areas of general safety and construction safety. Evaluates current programs to ensure compliance and develops organizational-specific Injury and Illness Prevention Programs (IIPP), Code of Safe Practices, Fall Protection Programs, and other regulatory required programs as needed. Oversees and participates in inspection programs at a range of general industry, private/public facilities, and construction projects. Assists with accident investigation, risk assessment, and evaluation of workplace and property hazards. Represents clients with OSHA issues including citations, site audits, and permits. Provides and coordinates the delivery of numerous safety training programs to a range of clients in all aspects of OSHA and Cal-OSHA required programs including Fall Protection, CPR/First Aid, Confined Space Operations, Energy Control (Lock Out/Tag Out), Fire Safety, Excavation Safety, Hazardous Materials, and other safety topics.

March 1978 to July 1992 California Fire Service: Four Departments

Positions Held:

Fire Chief (Acting)

Deputy Fire Chief

Fire Battalion Chief

Fire Marshal

Fire Division Chief

Firefighter/Paramedic

Fire Captain Fire Training Officer

Held increasingly responsible positions in four California fire departments. Managed the operations of the Fire Department as the Chief in charge of Fire Operations, Fire Prevention, Fire Training, Public Education, Hazardous Materials enforcement, Administration, and Safety. Served as Training Officer and Instructor in Regional

Fire Academies.

EDUCATION / CERTIFICATIONS

- Doctor of Philosophy (Ph.D.), Capella University, April 2019.
- Master of Engineering (M.Eng), Advanced Safety Engineering and Management. The University of Alabama, Birmingham, 2014.
- Bachelor of Public Administration (BPA), The University of San Francisco, 1988.
- Associate of Science (AS), Fire Science. Solano College, 1981.
- Certified Safety Professional (CSP). Board of Certified Safety Professionals (BCSP), #19001, 2006.
- Certified Environmental and Safety Trainer (CET). BCSP, #13001, 2013.
- Construction Health and Safety Technician (CHST). BCSP, # C3565, 2013.
- BCSP Subject Matter Expert.
- Professional Member American Society of Safety Engineers (ASSE) (#13536), since 1999.
- Advanced Certificate of Achievement, Fire Science. Solano College, 1982.
- Certified Auditor OHSAS 18001 Safety Systems.
- Certified Fire Officer, Public Education Officer, Fire Instructor, and Fire Prevention Officer Office of the California State Fire Marshal.
- Registered Environmental Assessor, Class I California EPA, # REA I-06538, 1996-2012.
- Community College Lifetime Teaching Credentials Fire Technology, #251342 and Health and Related Technologies, #267782.
- Emergency Medical Technician 1 Instructor/ Mobile Intensive Care Paramedic (Previous).
- Instructor Levels I and II Office of the California State Fire Marshal.

HONORS/ASSOCIATIONS (PAST/PRESENT)

- Professional Member American Society of Safety Engineers/Professionals (ASSE/ASSP).
- Member National Safety Council (NSC).
- Member National Fire Protection Association (NFPA).
- Life Member California Fire Training Officers' Association.

TEXTS AND PROFESSIONAL ARTICLES AUTHORED

- 1. Gantt, P. (2009) Hazardous Materials; Regulations, Response, And Site Operations (2nd ed.). Independence, KY: Delmar/Cegage. Note: Also authored 1st Edition 1999.
- 2. Gantt, P. (2014). "PS Asks." Professional Safety. 59(5), 28-30.
- 3. Gantt, P. (2013). "Expert Witness 101: Beginning the Process." Advisor Technical Publication of the Consultancy Practice, American Society of Safety Engineers. 12(3).
- 4. Gantt, P. (2013). "Expert Witness 201- Avoiding the Pitfalls." Advisor Technical Publication of the Consultancy Practice, American Society of Safety Engineers. 13(1).
- 5. Gantt, P. (2014). "Expert Witness 301- Tips to Help You Become A Successful Expert Witness." Advisor Technical Publication of the Consultancy Practice, American Society of Safety Engineers. 13(2).
- 6. Gantt, P. (2010). "Expert Witness 201- Achieving Success in a Time of Economic Downturn." Advisor Technical Publication of the Consultancy Practice, American Society of Safety Engineers. 10(1).
- 7. Gantt, P. & O'Connell, M. (2012). "Safety DNA." Advisor Technical Publication of the Consultancy Practice, American Society of Safety Engineers. 12(2).
- 8. Gantt, P. & Gantt, R. (2012). "Disaster Psychology The Myths of Panic." Professional Safety. 57(6). (pp. 42-49).
- 9. Gantt, P. (2006-2008). "Confined Space Activities." (7-part series). Carolina Fire Journal. 20(4). 22(3).
- 10. Gantt, P. (2016). "The Role of the International Fire Code in Promoting Safety: What Safety Practitioners Need to Know." Proceedings of Safety 2016: The American Society of Safety Engineers Professional Development Conference. Des Plaines, IL: ASSE.
- 11. Gantt, P. (2015). "Safety, Not Good Enough." Proceedings of Safety 2015: The American Society of Safety Engineers Professional Development Conference. Des Plaines, IL: ASSE.
- 12. Gantt, P. (2014). "Safety by Accident: A Call to Action For The Safety Profession." Proceedings of Safety 2014: The American

- Society of Safety Engineers Professional Development Conference. Des Plaines, IL: ASSE.
- 13. Gantt, P. (2013). "There Is No Glory in Fighting a Fire That Could Have Been Prevented. Using The ANSI/ASSE Z590.3-2011 Process in Your Safety Prevention Programs." Proceedings of Safety 2013: The American Society of Safety Engineers Professional Development Conference. Des Plaines, IL: ASSE.
- 14. Gantt, P. (2012). "Now What? Case Studies on How to Be Successful As An Expert Witness." Proceedings of Safety 2012: The American Society of Safety Engineers Professional Development Conference. Des Plaines, IL: ASSE.
- 15. Gantt, P. & Gantt, R. (2011). "Disaster Psychology The Myths of Panic." Proceedings of Safety 2011: The American Society of Safety Engineers Professional Development Conference. Des Plaines, IL: ASSE.
- 16. Gantt, P. (2010). "Expert Witness 201." Proceedings of Safety 2010: The American Society of Safety Engineers Professional Development Conference. Des Plaines, IL: ASSE.
- 17. Gantt, P. (2009). "Expert Witness 101." Proceedings of Safety 2009: The American Society of Safety Engineers Professional Development Conference. Des Plaines, IL: ASSE.18.
- 18. Gantt, P. (2008). "30-Second Rules for Hazardous Materials Response." Proceedings of Safety 2008: The American Society of Safety Engineers Professional Development Conference. Des Plaines, IL: ASSE.
- 19. Gantt, P. (2007). "Multi-Employer Worksites: The Need to Effectively Pre-Qualify Contractors." Proceedings of Safety 2007: The American Society of Safety Engineers Professional Development Conference. Des Plaines, IL: ASSE.
- 20. Gantt, P. (2006). "What am I Supposed to Do? HazMat Incident Command Made Simple." Proceedings of Safety 2006: The American Society of Safety Engineers Professional Development Conference. Des Plaines, IL: ASSE.
- 21. Gantt, P. (2005). "ICS For Dummies." Proceedings of Safety 2005: The American Society of Safety Engineers Professional Development Conference. Des Plaines, II: ASSE.

APPENDIX B: Building Plans Legend: FE – Fire Extinguisher Emergency Exit N - LEVEL 1 SOME 1 Assembly



COMMUNITY BENEFITS & NEIGHBORHOOD COMPATIBILITY PLAN

As part of the merging of applications Pacific Roots Marina's Community Benefits and Local Enterprise Plans have been replaced on pages 146-153 with MCC Operation's Community Benefits and Local Enterprise Plans.

MCC Operations, LLC COMMUNITY BENEFIT PLAN

PREPARED FOR:
CITY OF MARINA
211 HILLCREST AVE.
MARINA, CA 93933

COMMUNITY BENEFIT PLAN

MCC is committed to strong public engagement and outreach to the community. Our community outreach has three goals: (i) to establish a process by which the community can express itself regarding our presence; (ii) to inform the community about the benefits of cannabis and its safe and responsible use; and (iii) to ensure that our approach genuinely reflects the community's needs. To reach our goals, we anticipate doing one or more of the following, depending on input at various stages of the project:

- Identifying and visiting civic, senior, and veteran organizations, health care support groups, and community meetings to introduce our organization, our mission, and our vision for an outstanding cannabis dispensary in Marina. We believe this type of outreach establishes our legitimacy with the community and our vested interest in its welfare. We will listen receptively and respond to any concerns about the project.
- Holding or participating in a community meeting to introduce MCC and present the project to any parties with similar goals. Again, our purpose would be to listen and find ways to be responsive. Completing our outreach efforts with a follow-up letter to community stakeholders, letting them know that we heard their concerns and what procedures we will follow in responding to such concerns.

MCC will implement public outreach in several ways:

Public Education

We can create public awareness of our dispensary and attract new members through our community outreach and education programs. By offering free workshops and seminars to potential customers and other healthcare providers on topics related to medical cannabis and the conditions for which it is typically recommended, as well as on legal issues surrounding cannabis, we make the existence of our organization known and attract members by positioning ourselves in the public mind as ambassadors of a socially responsible provider.

Industry Leadership and Sponsorships

We will keep our corporate "brand" visible through sponsorships of community and industry causes and through industry activism that reflects our community-focused public health agenda and its emphasis on compassion and social justice.

Public Relations

Carefully managed messaging and coverage in local media can be a very effective means to create public awareness.

Community Contributions

MCC is planning to give back to the community in several ways, aside from what is described above. We pledge to donate to at least three non-profit organizations each year. We will deepen our investment into what matters most to the business: our team members, our customers and our community.

The following is what MCC pledges to contribute back to the community:

- 1. Community Day Program: MCC has reviewed the City of Marina Volunteer Program including the Volunteer Candidate Application and will incorporate this into our Community Day program. Community Days are part of our benefits package for all team members. Everyone is given one paid day off per year to give back to the community in any way they'd like. This program is meant to connect our team with the community outside of the store. It's the idea that by genuinely caring about our team, the success of our clients and the fabric of our community, it creates an authentic cycle of care all around and sends it out to the universe!
- The Friends of the Marina Library: MCC will help give back to the education of our community by becoming an ally to the local library. We will commit to donate a minimum of \$2,500 to The Friends of the Marina Library in order to support their mission on an annual basis.
- The Marina Foundation: The objective of The Marina Foundation is to award annual financial grants and/or scholarships to Marina residents. MCC is excited to support the Marina Foundation with an annual, minimum, donation of \$2,500 on an annual basis.
- 4. Tree of Life: a Marina non-profit organization located at 445 Reservation Rd that is currently relocating to a new space. MCC will assist the Tree of Life Church in relocating to a new location by donating \$10,000 for moving expenses.
- 5. Friends of Marina Parks: a group that is dedicated to keeping City of Marina parks beautiful and updated. Friends of Marina Parks is fiscally sponsored

program of the ACTION Council of Monterey County (a 501(c)(3) California nonprofit). MCC will donate annually to ACTION Council of Monterey County and designate their contribution to Friends of Marina Parks. MCC is committed to donating a minimum of \$2,500 annually.

Local Tax Generation

MCC anticipates it's operation will generate approximately \$600,000 in cannabis tax revenue for the City of Marina. This money can be used to enhance the community of Marina and help to continue building the City into a great place to live.

MCC Operations, LLC LOCAL ENTERPRISE

PREPARED FOR:
CITY OF MARINA
211 HILLCREST AVE.
MARINA, CA 93933

LOCAL ENTERPRISE

MCC founders are both Monterey County natives. They have been going to school, working and living within the local community for over 30 years. Both of them still live in Monterey County. Andrew Gogliucci resides in Carmel and Joey Espinoza lives in Salinas. They own a business in Seaside and Joey Espinoza's consulting business is based out of Salinas. Having lived here their entire lives the founders have a vested interest in the community of Monterey County. They are not an outside cannabis corporation seeking licensure, but two individuals looking to expand their business into the City of Marina.

MCC is committed to hiring local as often as possible. MCC will immediately run job listings if a permit is awarded to hire more local employees. Our goal is to maintain a staff in which at least 70% lives within the local community. This means that MCC is committed to hiring a minimum of 7 individuals who reside within the City of Marina and/or Monterey County.

We have already had preliminary talks with several Marina locals about working for MCC, should a permit be awarded. The following list represents our immediate hires for the dispensary:

- Joey Espinoza, life-long Monterey County resident
- Andrew Gogliucci, life-long Monterey County resident
- Josh Martin, Marina resident
- Aja Gonzalez, Marina resident
- Masaji Masatani, Marina resident
- Monique Wilson, Marina resident
- Josh Kinzer, Marina resident

Exhibit LE-1 includes photo ID of the individuals in this list. To protect their privacy we have redacted their full address, DL number and signature. The purpose of this exhibit is to demonstrate our commitment to keeping our hiring local and start with a team that are Marina residents. MCC has not hired any employees as of now but will as soon as the permit is obtained and necessary construction is completed. *NOTE: ID's for Joey Espinoza and Andrew Gogliucci are available in the 'Application Documents' tab of our application binder.*

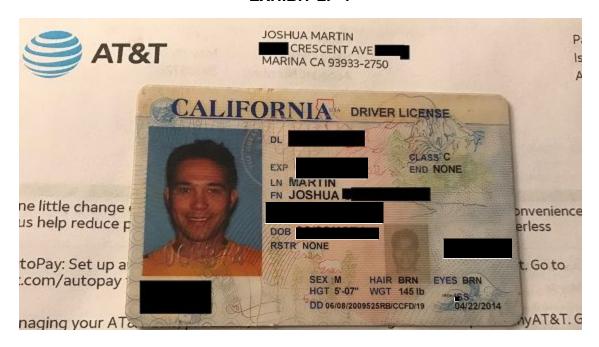
Commitment to Local Spending

We are committed to making our organization a source of economic stimulus for the City of Marina. We intend to contract, buy, and hire locally in order to keep our investment within the community.

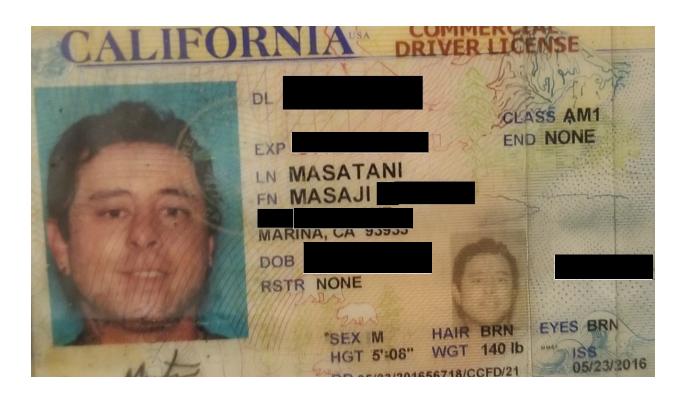
We estimate net direct spending for initial build-out and set-up will be approximately \$267,000 (this is excluding \$200,000 for starting inventory). We are planning to spend at least 60% of this on goods and services provided by local companies within the community.

We believe that the day-to-day operations will add up to 7 full-time employment opportunities to Marina's economy. The dispensary will contribute directly to the revitalization of its immediate neighborhood by funneling an influx of visitors from in and around Marina into the vicinity of the dispensary, bringing incidental business to shops, restaurants, and other services in the area.

EXHIBIT LP-1











3. NEIGHBORHOOD COMPATIBILITY

(A) OUTREACH AND COMMUNITY SUPPORT

Encouraging strong community support is pivotal to Pacific Roots' values and business development strategy. Accordingly, Pacific Roots has conducted a community support study across several weeks to determine support within 250 feet of the proposed facility. The study took the form of written correspondence that identified the neighborhood liaison and the physical address of the proposed site. The documentation further informed neighbors of intended design features, local hiring commitments, community benefits, the likely effect of increased security presence for the neighborhood, and waste disposal arrangements. In addition, the correspondence made clear that a zero-tolerance feature of all dispensaries run by Pacific Roots will be strict facility access controls to prevent cannabis diversion to neighborhood children, including secure displays and age verification measures. The full correspondence can be viewed at Appendix A below. In addition to the correspondence, representatives of Pacific Roots, led by Mr. Gesicki, canvassed the local area on foot to engage neighbors in discussions intended to provide further information about the project, answer queries, and alleviate any concerns.

Based on the above initiatives, Pacific Roots has determined majority support for the project across all respondents. Specifically, Pacific Roots has obtained a majority of owner signatures in connection with the properties within 250 feet of the proposed project. (See Appendix E for signatures.)

Where reservations were encountered, Pacific Roots will incorporate remedial measures to address them, for example, by providing additional neighborhood patrols by the security team. As a result of this outreach effort, Pacific Roots feels able to place its application before the licensing committee with full confidence that its presence will be a welcome addition to the intended neighborhood.

(B) COMPLIANCE, COMMUNICATION AND NUISANCE ABATEMENT

Pacific Roots understands that blending seamlessly into the neighborhood is crucial to growth and sustainability. As explained throughout this application, the owners of Pacific Roots have worked hard to earn the support of their neighbors in every location where they have previously operated a cannabis business. The letter from former Councilman David Benavides attests to the applicant's history of compliant operations. (See Appendix D)

In order to replicate this success in the City of Marina, Pacific Roots will pursue and maintain visually appealing premises finished to high quality specifications intended to harmonize and enhance the surrounding area. There will be no large or obtrusive signs, unlandscaped parking areas, overly bright or garish lighting, or design features that could represent a nuisance to the community. Pacific Roots employees will regularly patrol the property to pick up trash and make sure the location is tidy. Moreover, Pacific Roots employees will make sure patrons are not loitering or smoking in cars.

For the polite consideration of residential neighbors in the vicinity, the facility will operate only between the hours of 9:00 a.m. PST and 10:00 p.m. PST.

All business suppliers will be fully licensed and held to the high standards expected of their licensure when they are visiting the Pacific Roots facility (e.g. for transportation purposes).

In acknowledgment of the valid concerns of parents regarding children and cannabis exposure, apprehensions which are to be expected in all areas where cannabis is legalized, Pacific Roots will ensure that no cannabis products will be visible from outside the facility, no individuals under the age of 21 will be employed, no individuals under 18 will be allowed on site, and no advertising or promotional materials will be distributed to any children in the area at any time. This will minimize both exposure to youths as well as social harms that may arise from a cannabis business.

A key way in which Pacific Roots can assure friendly neighborhood relations is by the appointment of Mr. Gesicki, an experienced neighborhood liaison coordinator who takes his role very seriously and has a strong commitment to working with law enforcement. Mr. Gesicki will work proactively to ensure business operations run smoothly and disturbances are eliminated or kept to a minimum. Mr. Gesicki's full and current contact information will be provided to the City of Marina Police Department and all residents and property owners within 250 feet. The community can easily report directly to Mr. Gesicki any operating issues it might perceive and can expect a prompt resolution. In addition, Pacific Roots will ensure that a manager is present







on-site at all times the facility is open, and that the contact information for all managerial staff, including a 24-hours on call manager, will be forwarded to the Police Department. Mr. Gesicki will be available 24 hours per day to community members. His contact information will be posted on the exterior to the facility.

Mr. Gesicki will also ensure all state and city licenses and permits will be prominently displayed on site so that they are visible to the public. In addition, all signage regulations including Marina Municipal Code Section 17.40 will be observed. No alcohol or tobacco will be sold on the premises, and areas around and adjoining the facility will be closely monitored to ensure they are kept free of smoking (including cannabis use); noise disturbance; drinking; loitering; littering; and graffiti (any graffiti found will be removed within 24 hours). Adequate litter receptacles will be provided and closely maintained to ensure trash does not accumulate anywhere on site or in the vicinity, and cannabis waste will be disposed of in accordance with regulations. Cannabis odors will be prevented through the use of an appropriate air filtration system.

The premises will be protected by comprehensive security measures in accordance with a security plan approved by the Police Department and the Office of the City Manager, although these will be designed to operate in a manner that is non-conspicuous and unobtrusive so the tone and character of the neighborhood will not be altered by their presence. Security measures for the protection of employees and customers will include 24-hour on-site fully licensed and unarmed security guards who will carry a valid Department of Consumer Affairs "Security Guard Card" at all times. In addition, the premises will be equipped with 24 hour per day, seven days per week camera coverage of all dispensing areas, storage areas, cultivation areas, all doors, parking lots, and any place where new product is delivered. All surveillance footage will be retained for a period of 90 days and made available to the Marina Police Department for purposes of investigation of alleged crimes. Surveillance will be surrendered promptly upon request without the necessity of a warrant or subpoena. The premises will have adequate lighting that complies with Marina Municipal Code 15.34.080 and will extend to all areas of the parking lot. During non-operating hours, all cannabis goods and cash will be protected in a locked safe and all points of entry will be secured with commercial-grade locks and concealed bars, retractable, folding or sliding metal gates, or metal rollup or accordion doors. An alarm system will be activated and monitored by a security company licensed by and in good standing with the California Department of Consumer Affairs.

By strict compliance will all state and local regulations, Pacific Roots will carefully control its premises for the enjoyment and protection of its staff, customers, and community and will at all times welcome on-site inspections from law enforcement and regulators, with whom it is determined to foster excellent working relations.

CONCLUSION

Pacific Roots is confident that should its licensing application be approved, there are many ways in which its presence in the City of Marina will enhance the lives of the people who live in this community. Most obviously, Pacific Roots' objective will be to provide safe and easy access to high-quality cannabis products, but its business will also be capable of generating employment, educational, and advocacy opportunities, and producing significant tax revenue for the City of Marina. Finally, the city can count on Pacific Roots' support for the myriad community-enriching projects outlined in this plan that will pave the way to a prosperous future. In this way, Pacific Roots will repay the residents of the city for the privilege of becoming one of the first fully licensed cannabis operators open for service in the City of Marina.

APPENDIX A

The below is a sample neighborhood compatibility letter that was generated during the public consultation process.



Dear Neighboring Property Owner:

As you may know, the City of Marina recently opened its application process for commercial cannabis businesses. Pacific Roots of Marina is preparing to submit an application for a commercial cannabis retail business ("dispensary"), which is located at 3100 Del Monte Boulevard on a property that is within 250 feet of your property.

First, we are committed to working with and hiring within residents of Marina for all positions, including management, related to our dispensary. To find more information about the look, feel and vibe of our planned dispensary, please see www.budandbloomoc.com.

As a part of the application, the city is requesting that applicants demonstrate support from a majority of the property owners within 250 feet. We are reaching out to you to ask for your support of our proposed project and application.

Some of the benefits of our proposed business are as follows:

- Support of local businesses, nonprofits, community groups, and our local veterans who have been in the
 area for decades;
- Increased security to the area through 24-hour security personnel, who will oversee the interior and exterior of the property. In addition, the proposed operation will have professionally installed interior and exterior security cameras and an alarm system that will be monitored by a licensed security company. This will help keep the neighborhood safer and many studies have shown that increased private security deters crime;
- Strict controls for accessibility to the business and products, including secure displays and age verification; no children/minors will be allowed on the premises and we will provide for outreach and education regarding preventing diversion to minors;
- · Attractive renovation of the interior and exterior of the business; and,
- Secured waste disposal to be hauled by Waste Management. Any cannabis waste is destroyed and documented.

In addition, during the application process, and if the application is approved, the business will always have a Community Liaison with 24-hour contact information for anyone with issues, questions, or concerns. This contact information will be provided to the City of Marina, neighboring properties, and business owners, and will also be available to members of the public from the City of Marina. At this time, the community liaison is Brandon Gesicki. Brandon is a local resident of Monterey County and will reach out to you as needed with any questions or concerns that may affect the area around the property. Brandon can be reached at (831) 206-6460.

Please note that this is a competitive application process, and the city will score each application as part of the selection process with the objective of identifying projects with the highest likelihood of success. We would greatly appreciate your support and are available to speak with you further regarding our application and proposed business.

| By signing below, I, | (name), located at | | |
|----------------------|---|--|--|
| | (address), support Pacific Roots of Marina, located at 3100 Del Monte Blvd in Marina. | | |
| X | Email: | | |









APPENDIX B

CC

I so appreciate the opportunity to visit Bud & Bloom on the senior shuttle that was provided and all information I received from staff and vendors.

Becky Osborn

33

"

"has been a godsent. The education provided and opportunity to experience with peers is greatly appreciated."

Elaine Perez

66

CC

"Thank you for this stress-free time out from my otherwise hectic week days." Kay Van Gunst

"

"Great experience, nice people.
Thank you for caring about us."
Vivian Clark

i,

"This was my first time on the senior shuttle. I found it very well organized and very informative. Bud & Bloom has made understanding cannabis easy for seniors."

Jenny Rhodes

SEE OTHER REFERENCES FROM AARON HERZBERG 3 PAGE BIO



CC



John Nunes President

Jack Landes Secretary - Treasurer

Main Office:

United Food & Commercial Workers Union, Local 5 28870 Mission Blvd. Hayward, CA 94544 (510) 889-0870 Fax: (510) 889-6415 Toll Free: (877) 655-FIVE www.ufcw5.org

240 South Market Street San Jose, CA 95113-2382 (408) 998-0428 Fax: (408) 971-8355

208 Miller Avenue So. San Francisco, CA 94080 (650) 871-5730 Fax: (650) 871-3590

4121 Alhambra Ave. Martinez, CA 94553 (925) 228-8800 Fax: (925) 228-8355

1145 North Main St. Salinas, CA 93906 (831) 757-3094 Fax: (831) 757-9115

323 Geary Street, Room 709 San Francisco, CA 94102 (415) 693-0143 Fax: (415) 693-9352

85 Galli Drive, Suite H Novato, CA 94949 (415) 883-6833 Fax: (415) 883-1043

840 E Street, Suite 8 Eureka, CA 95501 (707) 442-1751 Fax: (707) 442-9572

To Whom It May Concern:

The purpose of this letter is to convey that Pacific Roots Marina, LLC and UFCW 5 have signed a "Labor Peace Agreement" with the intent to work together in partnership to provide good union jobs in the city of Marina.

The contours of this agreement would include a collective bargaining agreement that would guarantee fair wages sic pay, vacation, and health benefits for employees of Pacific Roots. Pacific Roots has committeed to comply with all aspects of local and state labor laws and will do the fair thing by their employees if they choose to organize a union.

It is with this understanding that UFCW 5 and the thousands of members we represent in Monterey County, that we support the application of Pacific Roots for a cannabis dispensary

Sincerely,

James Araby

Strategic Campaigns Director

UFCW 5



APPENDIX D

From the desk of **David Benavides**

To Whom It May Concern:

I am a former Councilmember for the City of Santa Ana having served three terms from 2006 to 2018. I am presently a Planning Commissioner for the City of Santa Ana. I am the Executive Director of KidWorks, a community based, non-profit organization, which nurtures and empowers children and families through academic support and leadership development. KidWorks works with youth and families in four of the most under-resourced neighborhoods in Orange County.

I have gotten to know Aaron Herzberg over the years through his involvement in the City of Santa Ana by way of his dispensary business, including Bud & Bloom, as well as his generous support of good community causes such as KidWorks. Mr. Herzberg opened one of the first licensed dispensaries in Orange County. Bud & Bloom has been compliant and exemplary in terms of the community outreach it conducts. I am personally aware of the community work Herzberg and Bud & Bloom have conducted in outreach to seniors, hiring local employees, and running a well-managed and highly regarded operation.

I am glad to serve as a reference for Mr. Herzberg. Should you have any questions please feel free to contact me at

Respectfully,

David Benavides

APPENDIX E

3100 Del Monte Blvd. Marina, California 93933 Neighborhood Compatibility 250 Feet Outreach

The City of Marina allocates 5 (five) points for demonstrating neighborhood support for a majority of property owners within 250 feet of our proposed dispensary's measured from the property lines in which the proposed establishment will be located. Pacific Roots Marina, LLC has demonstrated neighborhood support for a majority of property owners within 250 feet and is eligible for the 5 Points.

Per MMC 19.08.010 SELECTION CRITERIA: Neighborhood Compatibility

Applicants which demonstrate neighborhood support with a majority of property owners within 250 feet will receive five points. Applicants who do not demonstrate neighborhood support with a majority of property owners within 250 feet will receive zero points.

Furthermore, we requested the City of Marina clarify how this should be measured and the city's response was as follows (from the FAQ's):

Question: In the Ordinance, it states that applicants will be scored in a Neighborhood Compatibility (5 Points) category. The description states: "Applicants which demonstrate neighborhood support with a majority of property owners within 250 feet will receive five points. Applicants who do not demonstrate neighborhood support with a majority of property owners within 250 feet will receive zero points." The question asked was, will the 250 feet be measured from the front door of the proposed establishment or from the property line in which the cannabis establishment is proposed to be located?

Answer: For purposes of evaluating applicants in this category, the 250-foot distance will be measured from the property lines in which the proposed establishment will be located.

In order to determine the addresses that were considered within 250 feet from our property for the community outreach signatures, we started with the Monterey County GIS Map located at the following URL:

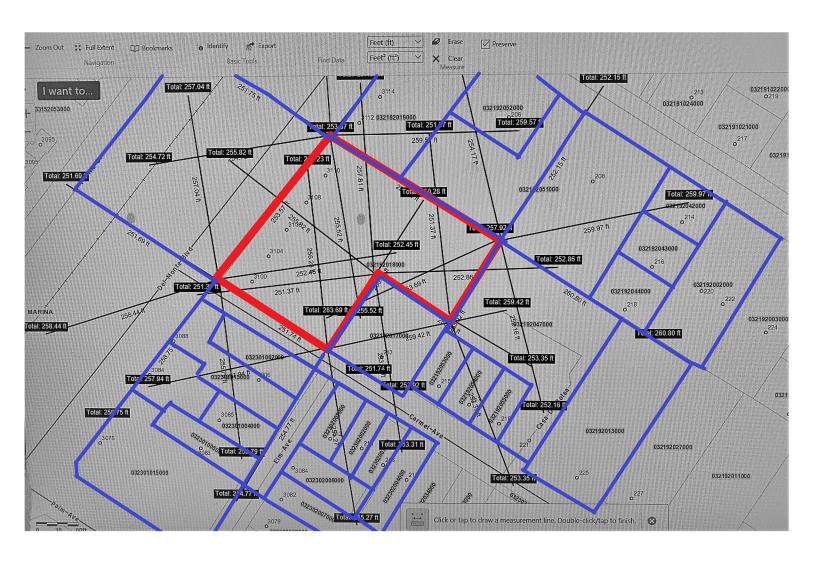
http://gis.co.monterey.ca.us/Html5Viewer/Index.html?configBase=http://gis.co.monterey.ca.us/Geocortex/Essentials/external/REST/sites/Base_Map_Out/viewers/BaseMapViewer/virtualdirectory/Resources/Config/Default

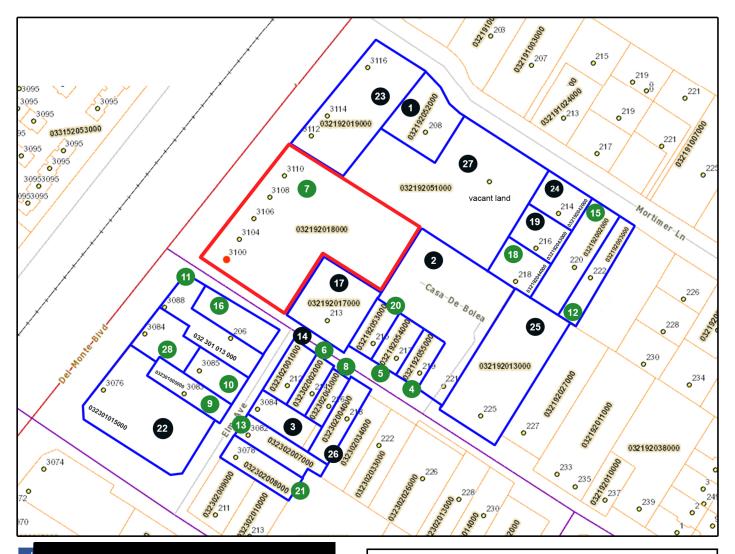
We used the measuring tool built into the site and drew lines that measured between 250 ft every direction possible (horizontal, vertical and diagonal) from each corner of the parcel on which our dispensary is located APN No. 032192018000. Attached hereto as is a screen shot from the Monterey County GIS Map website showing the properties

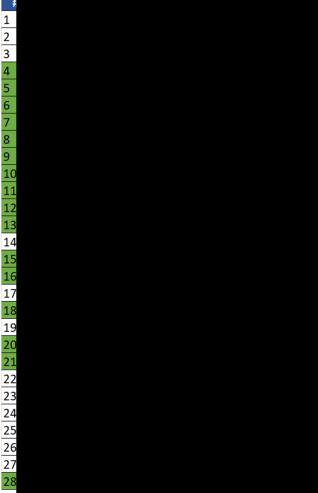
APPENDIX E

that fall within 250 feet and how we determined the measurements. The parcel highlighted in RED is APN No. 032192018000 containing our address 3100 Del Monte Blvd. The parcels highlighted in Blue are the parcels within 250 feet.

Also Attached is a map that again identifies the parcels within 250 feet and also contains a legend setting forth the APN's and addresses of properties that fall within 250 Feet.







- Demonstrates property owner support
- Properties within 250 ft
- Our address

PROPERTY OWNER SIGNATURE FORM

| Frank Calum ("Owner") is the current owner of the |
|--|
| property located at _ |
| Marina, California. I am aware that a permit application is being prepared for a |
| commercial cannabis retail business (dispensary) proposed at 3100 Del Monte |
| Boulevard, Marina, California, on a property located within 250 feet of my property. |
| I support the application going forward and the proposed business. |
| Frank Calanja 6-4-19 |
| Name Date |
| Signature |
| This form is Private and Confidential never to be made public or published. |
| Our 24-hour Community liaison is Brandon Gesicki who can be reached at community liaison is Brandon Gesicki who can be reached at community liaison is Brandon Gesicki who can be reached at community liaison is Brandon Gesicki who can be reached at community liaison is Brandon Gesicki who can be reached at community liaison is Brandon Gesicki who can be reached at community liaison is Brandon Gesicki who can be reached at community liaison is Brandon Gesicki who can be reached at community liaison is Brandon Gesicki who can be reached at community liaison is Brandon Gesicki who can be reached at community liaison is Brandon Gesicki who can be reached at community liaison is liaison is liaison l |
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FIRMA DE PROPIETARIO

| "Propietario") es el dueño actual de la propiedad localizada en |
|--|
| lo estoy al tanto de que una solicitud para un permiso está siendo preparado |
| para un negocio comercial de cannabis (dispensario) propuesto al 3100 Del Monte Blvd., Marina, California, en una propiedad localizada dentro de 250 pies de mi propiedad. |
| Yo apoyo la solicitud y el negocio siendo propuesto. |

Nombre

X Dalore Cablera

Dolores Cabrera

Este formulario es Privado y Confidencial, no debe hacerse público ni ser sujeto a publicación.

Puede comunicarse con nuestro intermediario de 24 horas, Brandon Gesicki, al con sus preguntas o preocupaciones.

PROPERTY OWNER SIGNATURE FORM

| SAL Ventung (.c. ("Owner") is the current owner of the |
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| Marina, California. I am aware that a permit application is being prepared for a commercial cannabis retail business (dispensary) proposed at 3100 Del Monte |
| Boulevard, Marina, California, on a property located within 250 feet of my property. |
| I support the application going forward and the proposed business. |
| SAL Ventinique 6/5/19 |
| Name Date |
| Signature |
| This form is Private and Confidential never to be made public or published. |
| Our 24-hour Community liaison is Brandon Gesicki who can be reached at |
| feel free to contact him for information or any questions or concerns. |
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PROPERTY OWNER SIGNATURE FORM

| GHES CUMMINGS "Qwner") is the current owner of the |
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| property located at |
| Marina, California. I am aware that a permit application is being prepared for a |
| commercial cannabis retail business (dispensary) proposed at 3100 Del Monte |
| Boulevard, Marina, California, on a property located within 250 feet of my property. |
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| Name Date |
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| This form is Private and Confidential never to be made public or published. |
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| Our 24-hour Community liaison is Brandon Gesicki who can be reached at |
| eel free to contact him for information or |
| any questions or concerns. |
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PROPERTY OWNER SIGNATURE FORM

| property located at permit application is being prepared for (dispensary) proposed at 3100 Del Mon property located within 250 feet of my property located within 250 feet of | te Boulevard, Marina, California, on a |
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| I support the application going forward | and the proposed business. |
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| This form is Private and Confident | ial never to be made public or published. |
| Our 24-hour Community liaison is Brandor any questions or concerns. | don Gesicki who can be reached at feel free to contact him for information or |



PROPERTY OWNER SIGNATURE FORM

| Christine M Leetch ("Owner") is the current owner of the |
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| property located at . Marina, California. I am aware that a |
| permit application is being prepared for a commercial cannabis retail business |
| (dispensary) proposed at 3100 Del Monte Boulevard, Marina, California, on a |
| property located within 250 feet of my property. |
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| I support the application going forward and the proposed business. |
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| Name M Leetch 06-05-19 Date |
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| Christine U Lelber Signature |
| Signature |
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| This form is Private and Confidential never to be made public or published. |
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| Our 24-hour Community liaison is Brandon Gesicki who can be reached at |
| feel free to contact him for information or |
| any questions or concerns. |

any questions or concerns.

PACIFIC ROOTS MARINA

PROPERTY OWNER SIGNATURE FORM

| Maria Lucido ("Owner") is the current owner of the |
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| property located at |
| Marina, California. I am aware that a permit application is being prepared for a |
| commercial cannabis retail business (dispensary) proposed at 3100 Del Monte |
| Boulevard, Marina, California, on a property located within 250 feet of my property. |
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| I support the application going forward and the proposed business. |
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| Maria Ucido 6419 |
| Name |
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| Signature |
| Signature |
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| This form is Private and Confidential never to be made public or published. |
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| Our 24-hour Community liaison is Brandon Gesicki who can be reached at |

any questions or concerns.

PACIFIC ROOTS MARINA

PROPERTY OWNER SIGNATURE FORM

| Joseph Dimaggio | "Owner" is the current owner of the |
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| Our 24-hour Community liaison is Branc | don Gesicki who can be reached at |
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PROPERTY OWNER SIGNATURE FORM

| Lester Henkel ("Owner") is the current owner of the |
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| property located at |
| Marina, California. I am aware that a permit application is being prepared for a |
| commercial cannabis retail business (dispensary) proposed at 3100 Del Monte |
| Boulevard, Marina, California, on a property located within 250 feet of my property. |
| I support the application going forward and the proposed business. |
| Lester Henkel 6/2/19 |
| Name Date |
| |
| Signature |
| This form is Private and Confidential never to be made public or published. |
| Our 24-hour Community liaison is Brandon Gesicki who can be reached at |
| feel free to contact him for information or |
| any questions or concerns. |

PROPERTY OWNER SIGNATURE FORM

| Lester Henkel | ("Owner") is the current owner of the | |
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| I support the application going forwa | ard and the proposed business. | |
| Lester Henkol | 6/2/19 | |
| Name | Date | |
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| Signature | | |
| This form is Private and Confident | ential never to be made public or published. | |
| Our 24-hour Community liaison is B | Brandon Gesicki who can be reached at | |
| | feel free to contact him for information or | |
| any questions or concerns. | | |



PROPERTY OWNER SIGNATURE FORM

| Lester Henkel | ("Owner") is the current owner of the |
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| property located at | permit application is being prepared for a |
| | (dispensary) proposed at 3100 Del Monte |
| | property located within 250 feet of my property. |
| I support the application going forwa | ard and the proposed business. |
| Lester Henkel | 6/2/19 |
| Name | Date |
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| Signature | |
| This form is Private and Confident | ential never to be made public or published. |
| Our 24-hour Community liaison is B | randon Gesicki who can be reached at |
| or | feel free to contact him for information or |
| any questions or concerns. | |

any questions or concerns.

PACIFIC ROOTS MARINA

PROPERTY OWNER SIGNATURE FORM

| GERALD AYLO/? ("Owner") is the current owner of the |
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| property located at |
| Marina, California. I am aware that a permit application is being prepared for a |
| commercial cannabis retail business (dispensary) proposed at 3100 Del Monte |
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| Our 24-hour Community liaison is Brandon Gesicki who can be reached at |
| feel free to contact him for information or |

MICHAEL MARKOVITCH

PACIFIC ROOTS MARINA

PROPERTY OWNER SIGNATURE FORM

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| property located at | |
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| Marina, California. I am aware that a permit ap | plication is being prepared for a |
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| MECKAEL D. MARKOVETCH Name | Date |
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| This form is Private and Confidential nev | er to be made public or published. |
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| Our 24-hour Community liaison is Brandon G | |
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| any questions or concerns. | |
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PROPERTY OWNER SIGNATURE FORM

| Marina, California. I am aware that a permit application is being prepared for a commercial cannabis retail business (dispensary) proposed at 3100 Del Monte Boulevard, Marina, California, on a property located within 250 feet of my property. |
|---|
| I support the application going forward and the proposed business. |
| M. Sus An Warreton 5/25/19 Name Date Signature |
| This form is Private and Confidential never to be made public or published. |
| Our 24-hour Community liaison is Brandon Gesicki who can be reached at feel free to contact him for information or any questions or concerns. |

PROPERTY OWNER SIGNATURE FORM

| ("Owner") is the current owner of the |
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| property located at _ |
| Marina, California. I am aware that a permit application is being prepared for a |
| commercial cannabis retail business (dispensary) proposed at 3100 Del Monte |
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| I support the application going forward and the proposed business. |
| I support the application going forward and the proposed business. |
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| Corey Wood 5/30/19 |
| 7/30/11 |
| Name Date |
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| Our 24-hour Community liaison is Brandon Gesicki who can be reached at |
| feel free to contact him for information or |
| any questions or concerns. |

PROPERTY OWNER SIGNATURE FORM

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| property located at _ |
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| commercial cannabis retail business (dispensary) proposed at 3100 Del Monte |
| Boulevard, Marina, California, on a property located within 250 feet of my property. |
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| any questions of concerns. |